

ACSA AWARDS

Overview of the Awards and Nomination Process

Executive Summary

These coveted awards recognise those who go above and beyond to support the Association, the industry and our clients.

The Awards provide an opportunity to publicly acknowledge individuals who are recognised by their peers for their professionalism, knowledge and willingness to contribute.

ACSA Awards are publically presented and communicated via media release, the ACSA website and social media.

Nominations must be received by the date advised in the call for nominations, and include all relevant information (see attached nomination form).

The ACSA Awards

The **ACSA Awards** affirm the industry's desire to recognise outstanding individual contribution. The Awards are intended to:

- Recognise the contribution of individuals to the industry, key stakeholders and the Association;
- Encourage greater participation from individuals and firms in industry-based initiatives, including working groups;
- Promote the role of the Association within the industry, and
- Highlight the level of professionalism and expertise within the ACSA community.

Eligibility

Any person who makes a significant contribution to the industry and Association is eligible.

Nomination Process

Nominations should be made by completing the attached form. There is no cost to make a nomination.

Judging and criteria

The ACSA Executive decides on the Awards.

- **Annual ACSA Awards** will be judged on the level, frequency and quality of contribution by nominees to industry activities, including working groups, taskforces and advocacy, over the prior 12 months.
- At the discretion of the ACSA Executive, **Service to Industry Awards** may also be made to acknowledge nominees who have made a consistent and significant contribution to the industry over an extended timeframe.

Notification

Nominees who are successful and their nominator are notified once approved by the ACSA Executive.

Past winners

The current Australian Custodial Services Association Limited (ACSA) Awards were initiated in 2009.

As acknowledged by their peers, each recipient made important and sustained contributions in their field of expertise to the custodial industry. The Honour Roll of past Award winners is shown overleaf.

Limitations

- A maximum of 3 Annual Awards may be made in any one award cycle.
- An individual may receive an Annual Award on multiple occasions (with no limit), but is not eligible:
 - to receive a further Annual Award for two years after their prior Award;
 - if the individual has already received a Service to Industry Award.
- Only one Service to Industry Award and be issued in any one year (award cycle).
- An ACSA Service to Industry Award can be awarded only once to an individual.

Version

Award criteria as at November 2019.

ROLL OF HONOUR

Past Recipients of ACSA Awards

2009

Ms Joanne O'Callaghan, Chief Legal Counsel, State Street Australia
Ms Sandra Powell, Senior Manager Product Development, HSBC
Mr Paul Toepfer, Head of Fund Operations, State Street Australia

2010

Ms Denise Hartman, Learning and Development Manager, BNP Paribas
Mr Andrew Gibson, Product Manager, Citi Global Transaction Services
Ms Lisa Simmons, Partner, Blake Dawson (now Ashurst Australia)

2011

Ms Nikki Bennett, Tax Manager, HSBC Securities Services
Ms Dañelle Michael, Senior Product Manager, Computershare Investor Services
Mr Tony Stolarek, National Tax & Tax Policy Services, Ernst & Young

2012

Mr Paul Dowd, Senior Manager Tax Operations, RBC Dexia Investor Services (now RBC Investor Services)
Mr Mick Giddings, Head of Tax Policy, NAB Asset Servicing
Ms Sally Surgeon, Senior Vice President, The Northern Trust Company

2013

Mr Emad Hanna, Technical Training Manager, Change Management, BNP Paribas
Mr John Leahy, Head of Risk, NAB Asset Servicing
Mr Nick Parker, Executive Director, Financial Services Risk Manager, EY
Mr Paul Talbot, Chairman Funds SPMG, RBC Investor Services
Ms Sandra Powell*, Manager Business Management, HSBC Securities Services

2014

Ms Marian Azer, Head of Global Funds Services, J.P. Morgan Investor Services Australia and New Zealand,
Mr Daniel Cheever, Vice President and Head of Superannuation, State Street Global Services
Mr Darryll Rogers, Manager of Superannuation and Regulatory Change, NAB Asset Servicing
Mr Paul Talbot*, Business Development Manager, OneVue Fund Services

2015

Mr Alvaro Martinez, Manager Custody, HSBC Securities Service
Mr Stephen Hacker, Head of Global Markets Operations Australia, Bank of America Merrill Lynch
Mr Miles O'Connor, Director Product Management, Citibank Australia
Ms Tracey Dawes-Lucas, Associate Director Marketing, RBC Investor & Treasury Services
Ms Sally Surgeon*, Senior Vice President, The Northern Trust Company, Melbourne Branch

2016

Mr Mick Giddings* – Associate Director, Tax Services and Policy, NAB Asset Servicing
Ms Josephine Maiorana – BNP Paribas
Mr Roger Chan – JP Morgan
Mr Suresh Chinnappa – NAB Asset Servicing

2017

Mr Scott Oakland – JP Morgan
Mr Mick Giddings – NAB Asset Servicing
Ms Vera Markovski – BNP Paribas
Mr Stephen Coutts – JP Morgan
Mr David Braga* – BNP Paribas

2018

Ms Narelle Rutter – BNP Paribas
Mr Fergus Walshe – BNP Paribas
Mr Gregory Wilkin* - HSBC
Mr Gordon Little* - RBC

2019

Mr James Edmonds - Northern Trust
Mr Roger Chan - JP Morgan
Ms Janet Corstorphin - State Street
Mr Suresh Chinnappa* - NAB Asset Servicing

2020

Mr Siv Vijayanathan - HSBC
Ms Teri Thomas - J.P. Morgan
Mr Daniel Cheever* - State Street

* Denotes recipients of **Service to Industry Awards**

ACSA AWARDS 2021: NOMINATION FORM

Nominations are open NOW and should be forwarded to ACSA Administration using this nomination form.

Nominations will be received up to 5pm – Friday 5 February, 2021

To be accepted, nominations must include all relevant information, including the citation

Send this form to

Kate Dent, ACSA Administration
admin@acsa.com.au

If you require more information, please contact ACSA CEO Robert Brown on 0414 649 507.

The following information must be included in the nomination

About **you** (the person MAKING the nomination):

Your name : _____
Position : _____
Company : _____
Telephone : _____
Email : _____

Your **nomination** (the person you are NOMINATING for the Award):

Nominee's name : _____
Position : _____
Company : _____
Telephone : _____
Email : _____

CITATION (it is mandatory to include a citation supporting the nomination):

Provide reasons to support your nominee – why should they win the award?

Be objective and specific as to the nature of the contribution over the course of the prior year (for example, name of working group, major activity, or submission where applicable - it may be more than one activity). Include information on how the nominee made their contribution – co-ordinating, drafting, researching, etc. Outline the approximate timeframe of contribution (one large effort, or consistent application over an extended period). Also include the outcome or benefit of the activity or contribution made.