

## ACSA AWARDS

### Overview of the Awards and Nomination Process

#### Executive Summary

These coveted awards recognise those who go above and beyond to support the Association, the industry and our clients.

The Awards provide an opportunity to publicly acknowledge individuals who are recognised by their peers for their professionalism, knowledge, and willingness to contribute.

ACSA Awards are publicly presented and communicated via media release, the ACSA website and social media.

Nominations must be received by the date advised in the call for nominations and include all relevant information (see attached nomination form).

#### The ACSA Awards

The **ACSA Awards** affirm the industry's desire to recognise outstanding individual contribution. The Awards are intended to:

- Recognise the contribution of individuals to the industry, key stakeholders and the Association;
- Encourage greater participation from individuals and firms in industry-based initiatives, including working groups;
- Promote the role of the Association within the industry, and
- Highlight the level of professionalism and expertise within the ACSA community.

#### Eligibility

Any person who makes a significant contribution to the industry and Association is eligible.

#### Nomination Process

Nominations should be made by completing the nomination form available from [admin@acsa.com.au](mailto:admin@acsa.com.au). There is no cost to make a nomination.

#### Judging and criteria

The ACSA Executive decides on the Awards.

- **Annual ACSA Awards** will be judged on the level, frequency and quality of contribution by nominees to industry activities, including working groups, taskforces and advocacy over the prior 12 months.
- At the discretion of the ACSA Executive, **Service to Industry Awards** may also be made to acknowledge nominees who have made a consistent and significant contribution to the industry over an extended timeframe.

## **Notification**

Nominees who are successful and their nominator are notified once approved by the ACSA Executive.

## **Past winners**

The current Australian Custodial Services Association Limited (ACSA) Awards were initiated in 2009.

As acknowledged by their peers, each recipient made important and sustained contributions in their field of expertise to the custodial industry. The Honour Roll of past Award winners is shown overleaf.

## **Limitations**

- A maximum of 3 Annual Awards may be made in any one award cycle.
- An individual may receive an Annual Award on multiple occasions (with no limit), but is not eligible:
  - to receive a further Annual Award for two years after their prior Award;
  - if the individual has already received a Service to Industry Award.
- Only one Service to Industry Award and be issued in any one year (award cycle).
- An ACSA Service to Industry Award can be awarded only once to an individual.

## **Version**

Award criteria as at November 2019.

## **ROLL OF HONOUR**

### **Past Recipients of ACSA Awards**

#### **2009**

Ms Joanne O'Callaghan, Chief Legal Counsel, State Street Australia  
Ms Sandra Powell, Senior Manager Product Development, HSBC  
Mr Paul Toepfer, Head of Fund Operations, State Street Australia

#### **2010**

Ms Denise Hartman, Learning and Development Manager, BNP Paribas  
Mr Andrew Gibson, Product Manager, Citi Global Transaction Services  
Ms Lisa Simmons, Partner, Blake Dawson (now Ashurst Australia)

#### **2011**

Ms Nikki Bennett, Tax Manager, HSBC Securities Services  
Ms Dañelle Michael, Senior Product Manager, Computershare Investor Services  
Mr Tony Stolarek, National Tax & Tax Policy Services, Ernst & Young

#### **2012**

Mr Paul Dowd, Senior Manager Tax Operations, RBC Dexia Investor Services (now RBC Investor Services)  
Mr Mick Giddings, Head of Tax Policy, NAB Asset Servicing  
Ms Sally Surgeon, Senior Vice President, The Northern Trust Company

#### **2013**

Mr Emad Hanna, Technical Training Manager, Change Management, BNP Paribas  
Mr John Leahy, Head of Risk, NAB Asset Servicing  
Mr Nick Parker, Executive Director, Financial Services Risk Manager, EY  
Mr Paul Talbot, Chairman Funds SPMG, RBC Investor Services  
Ms Sandra Powell\*, Manager Business Management, HSBC Securities Services

#### **2014**

Ms Marian Azer, Head of Global Funds Services, J.P. Morgan Investor Services Australia and New Zealand,  
Mr Daniel Cheever, Vice President and Head of Superannuation, State Street Global Services  
Mr Darryll Rogers, Manager of Superannuation and Regulatory Change, NAB Asset Servicing  
Mr Paul Talbot\*, Business Development Manager, OneVue Fund Services

#### **2015**

Mr Alvaro Martinez, Manager Custody, HSBC Securities Service  
Mr Stephen Hacker, Head of Global Markets Operations Australia, Bank of America Merrill Lynch  
Mr Miles O'Connor, Director Product Management, Citibank Australia  
Ms Tracey Dawes-Lucas, Associate Director Marketing, RBC Investor & Treasury Services  
Ms Sally Surgeon\*, Senior Vice President, The Northern Trust Company, Melbourne Branch

#### **2016**

Mr Mick Giddings\* - NAB Asset Servicing  
Ms Josephine Maiorana - BNP Paribas  
Mr Roger Chan - J.P. Morgan  
Mr Suresh Chinnappa - NAB Asset Servicing

## **2017**

Mr Scott Oakland – J.P. Morgan  
Mr Mick Giddings – NAB Asset Servicing  
Ms Vera Markovski – BNP Paribas  
Mr Stephen Coutts – J.P. Morgan  
Mr David Braga\* – BNP Paribas

## **2018**

Ms Narelle Rutter – BNP Paribas  
Mr Fergus Walshe – BNP Paribas  
Mr Gregory Wilkin\* - HSBC  
Mr Gordon Little\* - RBC

## **2019**

Mr James Edmonds - Northern Trust  
Mr Roger Chan – J.P. Morgan  
Ms Janet Corstorphin - State Street  
Mr Suresh Chinnappa\* - NAB Asset Servicing

## **2020**

Mr Siv Vijayanathan - HSBC  
Ms Teri Thomas - J.P. Morgan  
Mr Daniel Cheever\* - State Street

## **2021**

Mr Adam Taxakis - NAB Asset Servicing  
Mr James Crane - Northern Trust  
Ms Jodie Bosler - HSBC  
Mr Scott Oakland\* - J.P. Morgan

## **2021**

Mr Dunacn Lyon – JP Morgan  
Ms Nicole Carnovale - Northern Trust  
Ms Marian Azer\* - Milestone

\* Denotes recipients of **Service to Industry Awards**