Local government auditing is an important public service. As audit shops adapt to COVID-19, it has never been more important for auditors to grow and maintain professional and personal skills.

ALGA has developed a Summer CPE Series for 2020. We want to make sure our members have access to continuing professional education. This is especially needed since in-person training is not available, and many shops are facing additional budget pressures.

The Summer CPE Series provides our members with an opportunity to earn up to 22 credits of NASBA-certified CPE at a highly-discounted rate of $10 per CPE credit. These deep discounts are only available this summer, so don’t miss this opportunity to get extra benefit from your ALGA membership!

Go to algaonline.org to register or learn more about upcoming sessions!

<table>
<thead>
<tr>
<th>Training Dates</th>
<th>Cost</th>
<th>CPE</th>
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<tr>
<td>May 19</td>
<td>Free</td>
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<td>June 9</td>
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<td>August 18</td>
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Not a member yet?
Membership has its benefits, including discounted rates for the summer CPE series! If you are not a member of ALGA, go to algaonline.org/page/join to learn about all the benefits and membership levels.

Thank You to Our Sponsors
Thanks to the generosity of ALGA’s Corporate Associate Program members, we are able to offer our Summer CPE Series at the lowest possible rates. ALGA wishes to thank these corporate partners.
WELCOME

SESSION 1

IT Auditing for the Non-IT Auditor
(Information Technology) 1 CPE

Sometimes auditors lacking information technology (IT) experience or training may feel wary asking IT professionals questions in an audit setting or may not know the right questions to ask. Yet, technology brings risks as well as rewards, and our organizations depend upon their auditors to identify and evaluate those risks. This panel will discuss primary IT terms and auditing components and highlight non-IT audit applicable skills to help non-IT auditors become more comfortable with IT terminology, processes, and the identification and evaluation of potential IT risks in their organizations.

Joanne Prakapas, CPA, CIA, CFE, CRMA, CFF, Director of Internal Audit, Mecklenburg County;
Christopher Waddell, CIA, CRMA, Audit Manager, Mecklenburg County
Felicia Stokes, CIA, CISA, CRMA, Audit Manager, Mecklenburg County

Participant Learning Objectives:
• Define primary IT terms and auditing components.
• Classify non-IT applicable skills to help non-IT auditors become more comfortable with IT terminology, processes, and the identification and evaluation of potential IT risks in their organizations.

BREAK

SESSION 2

How to Launch and Advance an Internal Audit Data Analytics Program (Information Technology) 1 CPE

Data analytics has a lot of potential in the internal audit space. How do internal audit teams take the first step to establishing a successful program and then advancing it’s maturity? How do they get buy-in from leadership and demonstrate the value of data analytics? This course will bypass the buzzwords and offer practical insight into establishing and advancing data analytics program in a Public Sector internal audit functions. It will discuss the challenges and pitfalls of data analytics for internal audit and strategies to taking manageable steps to progressively build an internal audit data analytics program, enable sustainability, and advance beyond basic analytics. This course will also walk through a relevant case study from a governmental entity that implemented an advanced data analytic program.

Mark Maraccini, CPA, Partner Crowe LLP

Participant Learning Objectives:
• Learn down-to-earth steps for establishing an advanced data analytics program.
• Understand challenges and pitfalls to establishing a program.
• Understand the maturity model of data analytics programs.
• Obtain practical steps for making the program sustainable and mature.

BREAK

SESSION 3

Internal Controls: Are the New GAGAS Standards Making You Cringe? (Auditing-Governmental) 1 CPE

Internal control assessments have a heightened role in the new Yellow Book. Scoping those activities, however, can be tricky and relies on a lot of professional judgement. Presenters will discuss the new Yellow Book requirements, the benefits and challenges of internal control assessments, and how to use professional judgement to make these exercises the most useful to auditors and auditees.

Kristine Adams-Wannberg, Principal Management Auditor, Washington County (OR)
Madison Rorschach, Staff Auditor, City of Denton (TX)

Participant Learning Objectives:
• Understand Yellow Book requirements related to internal control assessments.
• Apply requirements using best practices to make professional judgements in audits.

BREAK

SESSION 4

Competencies to Improve Your Audit Skills: A Focus on the Soft Skills (Auditing-Governmental) 1 CPE

In order for an internal auditor to meet the ‘value-add’ component of the Mission of Internal Auditing, it is important
The Association of Local Government Auditors is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website www.nasbaregistry.org.

**Level of Knowledge:** Overview

**Education or Experience Prerequisite:** None; government auditors of all levels, and other interested parties are encouraged to attend.

**Advance Preparation:** No advance preparation is required.

**Delivery Method:** Group Internet-Based. All sessions include Q&A.

**Attendance Requirements:** In order to obtain CPE credit for this event, participants must submit attendance verification codes provided during each session.

**CPE:** Up to 4 credits

**Refund & Complaint Policy**
Full refunds will be given for cancellations up to one week prior to the event. Complaints may be directed to ALGA Member Services, 449 Lewis Hargett Circle, Suite 290, Lexington, KY 40503, (859) 276-0686.

**Questions**
Questions may be directed to Member Services at (859) 276-0686.

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**4:05 – 4:55 p.m. ET**

**SESSION 4**

**Competencies to Improve Your Audit Skills:**
**A Focus on the Soft Skills (cont.)**

To acknowledge and work to continuously improve the 'soft' skills involved in communicating and marketing the actions we are attempting to influence management and our organizations to undertake as a result of our audit work.

This presentation will focus on listing and describing competencies auditors can work on to enhance the way they work with auditees, management, the Audit Committee and other stakeholders both inside and outside their organizations. It will include competencies for all levels of audit staff and will recommend an exercise of self-reflection for attending auditors to consider their own path for self-improvement. It will also be beneficial for hiring managers to assist in conducting a gap-analysis of competencies current staff excel at and competencies to look for in candidates to round-out a solid audit team.

**Pamela Stroebel Powers**, Chief Audit Executive, Oregon Military Department

**Participant Learning Objectives:**
- Use communication skills to enhance all aspects of professional life.
- Understand competencies to improve marketable value to an organization's goals and activities.

**4:55 - 5:00 p.m. ET**

**WRAP UP**