



Embedding Infection Prevention into Healthcare Construction Advancing Safety Through ICRA 2.0

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**SANTA CLARA VALLEY
HEALTHCARE**

Objectives

Understand How to apply ICRA 2.0 principles

Identify Construction-related infection prevention (IP) risks

Describe Integration of engineering & Infection Prevention controls

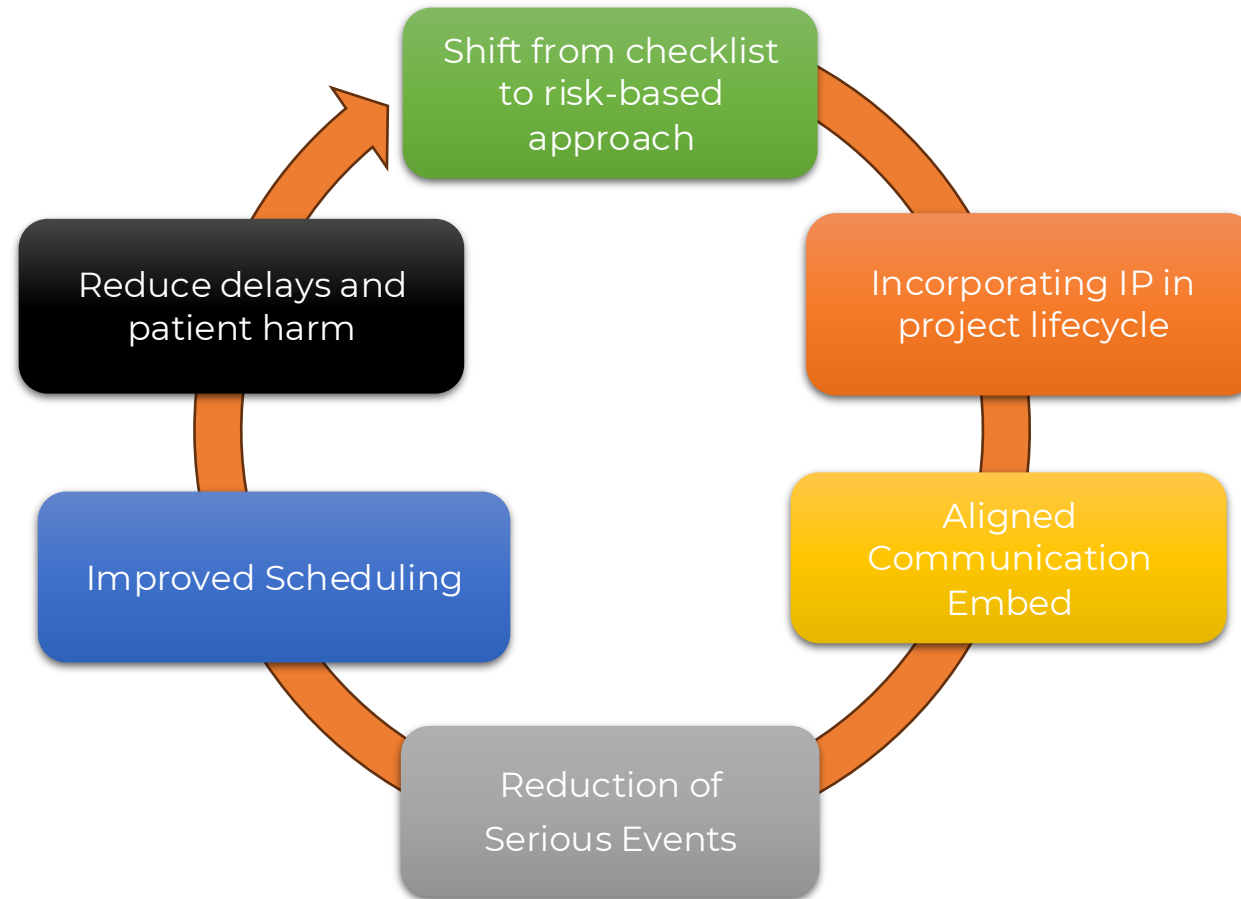
Understand Principles to strengthen compliance and safety

Why This Matters Now

- ❑ Increased construction in occupied healthcare settings
- ❑ Vulnerable patient populations
- ❑ Regulatory scrutiny
- ❑ Construction = infection risk



Benefits of Infection Prevention (IP) as a Construction Partner



**It was the first follower
that transformed the lone
nut into a leader.**

Derek Sivers

quote fancy



Regulatory & Accreditation Drivers

- ❑ CMS Conditions of Participation
- ❑ The Joint Commission
- ❑ State Departments of Health
- ❑ Liability and reputational risk



What is ICRA 2.0?

- ❑ Risk-based framework
- ❑ Lifecycle approach
- ❑ Strong accountability
- ❑ Integrated workflows



ICRA 2.0 Team and Roles

Stakeholders

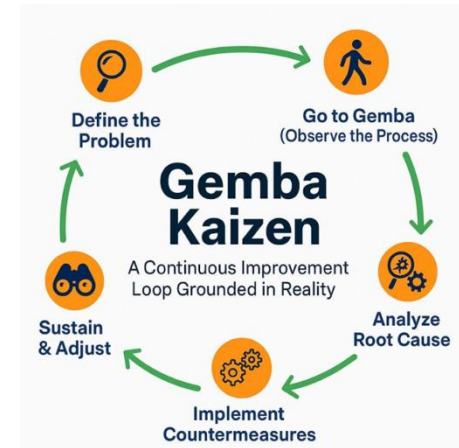
- ❑ Departments Affected
- ❑ Clinical Leaders
- ❑ Facility Leaders
- ❑ Biomed Leaders
- ❑ IT/Telecom Leaders
- ❑ Project Manager
- ❑ Life Safety Leaders
- ❑ Infection Prevention
- ❑ Risk Management
- ❑ Accreditation Regulatory & Licensure
- ❑ Environmental Services (EVS) Leaders



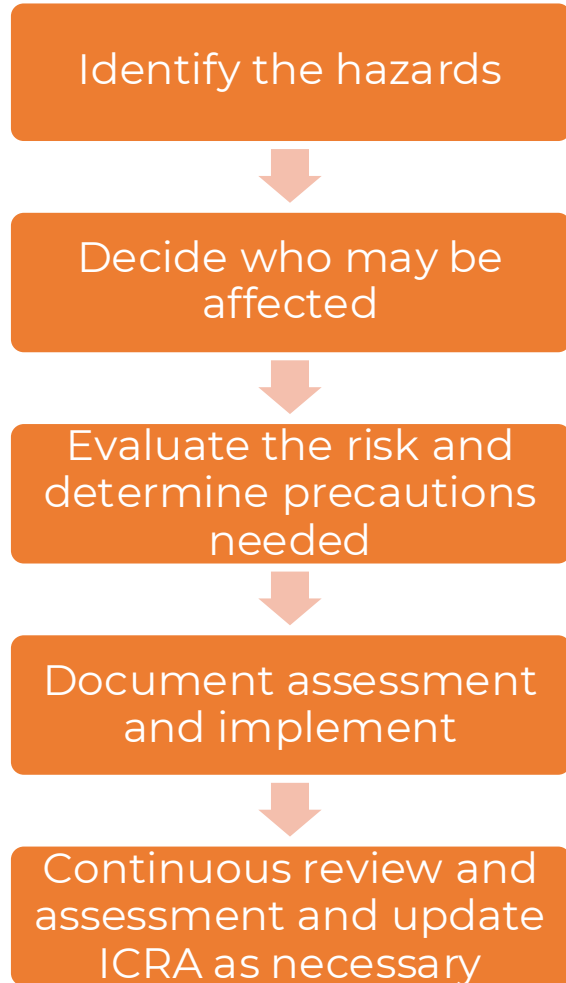
Human Factors and Risk Assessment Go to Gemba

Human factors engineering focuses on how systems work in actual practice, with human beings at the controls and utilizes what is learned to design systems/projects that optimize safety and minimize the risk of error.

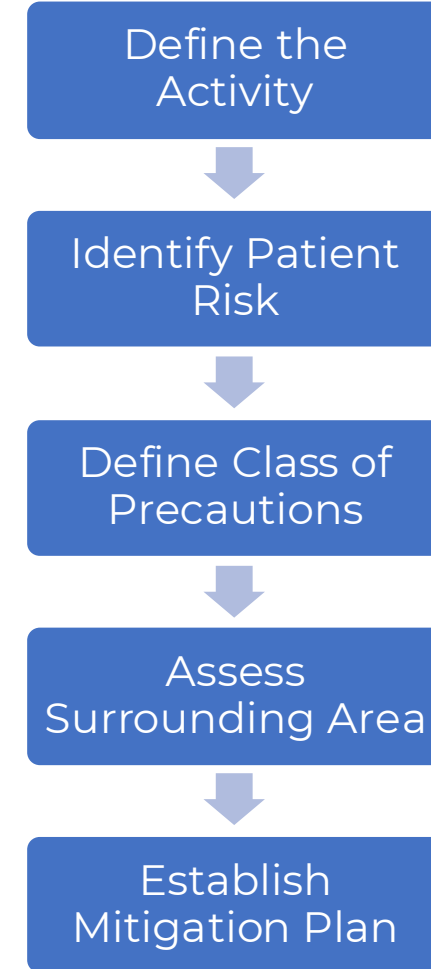
"Go to gemba" is a Japanese term meaning "the real place" the actual spot where work is done, such as hospital or clinic.



Risk Assessment Steps

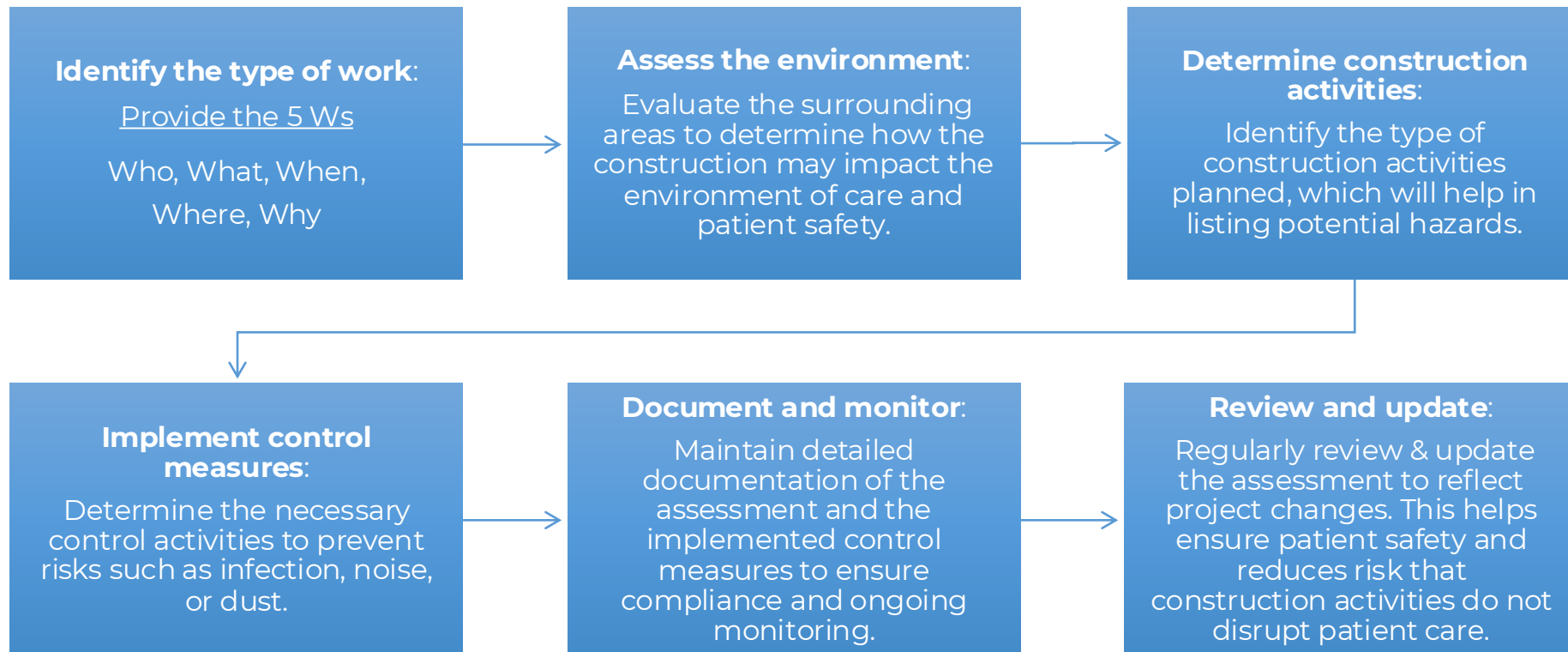


ICRA 2.0 Risk Assessment Steps

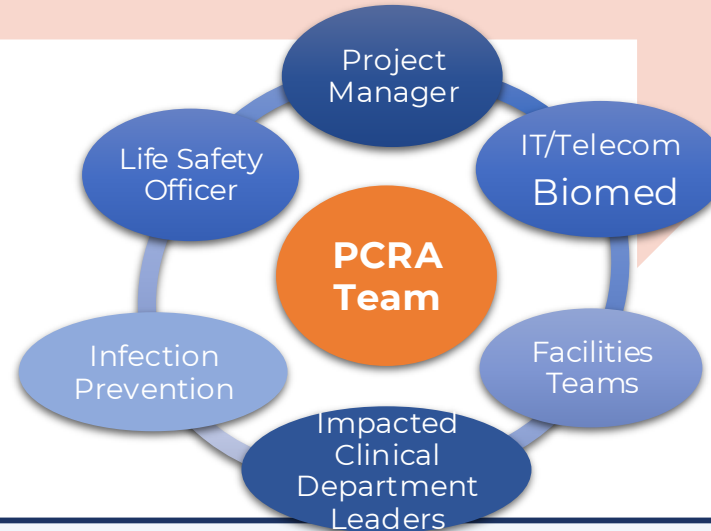
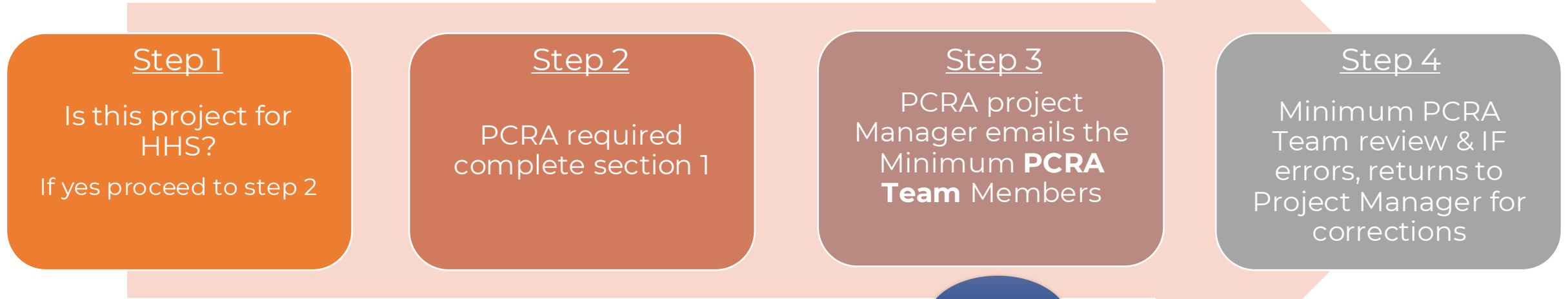


ICRA 2.0 Core Principles

- ❑ Risk stratification
- ❑ Hazard identification
- ❑ Engineering controls
- ❑ Monitoring and sustainment



Process Steps for ICRA 2.0



High Risk Construction Activities

- Demolition
- Ceiling access

- HVAC modifications
- Plumbing tie-ins

Low Risk Non-patient care areas such as:	Medium Risk Patient care support areas such as:	High Risk Patient care areas such as:	Highest Risk Procedural, invasive, sterile support and highly compromised patient care areas such as:
<ul style="list-style-type: none"> <input type="checkbox"/> Public hallways and gathering areas not on clinical units. <input type="checkbox"/> Office areas not on clinical units. <input type="checkbox"/> Breakrooms not on clinical units. <input type="checkbox"/> Bathrooms or locker rooms not on clinical units. <input type="checkbox"/> Mechanical rooms not on clinical units. <input type="checkbox"/> EVS closets not on clinical units 	<ul style="list-style-type: none"> <input type="checkbox"/> Waiting areas. <input type="checkbox"/> Clinical engineering. <input type="checkbox"/> Materials management. <input type="checkbox"/> Sterile processing department - dirty side. <input type="checkbox"/> Kitchen, cafeteria, gift shop, coffee shop, and food kiosks. 	<ul style="list-style-type: none"> <input type="checkbox"/> Patient care rooms and areas <input type="checkbox"/> All acute care units <input type="checkbox"/> Emergency department <input type="checkbox"/> Employee health <input type="checkbox"/> Pharmacy – general work zone <input type="checkbox"/> Medication rooms and clean utility rooms <input type="checkbox"/> Imaging suites: diagnostic imaging <input type="checkbox"/> Laboratory. 	<ul style="list-style-type: none"> <input type="checkbox"/> All transplant and intensive care units. <input type="checkbox"/> All oncology units. <input type="checkbox"/> OR theaters and restricted areas. <input type="checkbox"/> Procedural suites. <input type="checkbox"/> Pharmacy compounding. <input type="checkbox"/> Sterile processing department - clean side. <input type="checkbox"/> Transfusion services. <input type="checkbox"/> Dedicated isolation wards/units. <input type="checkbox"/> Imaging suites: invasive imaging.

Common Failure Points

- Poor containment
- Pressure loss
- Dust migration
- Lack of monitoring



Engineering Controls That Matter

- ❑ Pressure differentials
- ❑ HEPA filtration
- ❑ Barriers & anterooms
- ❑ Water management



Ventilation & Pressure Control

- ❑ Protects patients
- ❑ Prevents contaminant spread
- ❑ Requires continuous monitoring

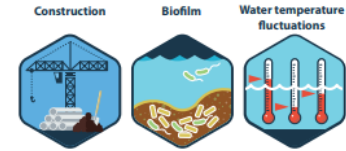


Water System Risks During Construction

- ❑ Legionella risk
- ❑ Flushing & recommissioning
- ❑ Stagnation
- ❑ Biofilm disruption

How *Legionella* affects building water systems and people

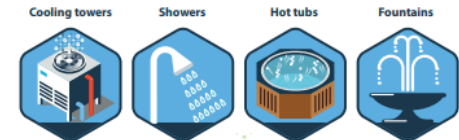
1. Internal and external factors can lead to *Legionella* growth in building water systems.



2. *Legionella* grows best in large, complex water systems that are not adequately maintained.



3. Water containing *Legionella* is aerosolized through devices.



4. People can get sick when they breathe in small droplets of water or accidentally swallow water containing *Legionella* into the lungs. Those at increased risk are adults 50 years or older, current or former smokers, and people with a weakened immune system or chronic disease.



www.cdc.gov/legionella

03/30/21

Monitoring & Documentation

- ❑ Barrier integrity
- ❑ Pressure readings
- ❑ Environmental controls
- ❑ Documentation = compliance



SCVH Operationalizing ICRA 2.0

- ❑ Standardized workflows
- ❑ Early IP engagement
- ❑ Stop-work authority
- ❑ Routine audits

Assessment for PCRA- Is it Needed?

Identify the type of work:

Is it for HHS?

If yes-Provide the 5 Ws
(Who, What, Where, When, Why)

Assess the environment:

Evaluate the surrounding areas to determine how the construction may impact the environment of care and patient safety.

Determine construction activities:

Identify the type of construction activities planned, which will help in listing potential hazards.

Next Steps-Follow Mitigation Strategies for PCRA

Implement control measures:

Determine the necessary control activities to prevent risks such as infection, noise, or dust.

Document and monitor:

Maintain detailed documentation of the assessment and the implemented control measures to ensure compliance and ongoing monitoring.

Review and update:

Regularly review and assess risk and update PCRA as needed to reflect any changes

This process is crucial for maintaining patient, visitor, and staff safety and ensuring that construction activities do not disrupt patient care or pose safety risks.

Let's begin the PCRA Process

★ Project Managers contact Facility Engineering Leader for the following HHS Locations:

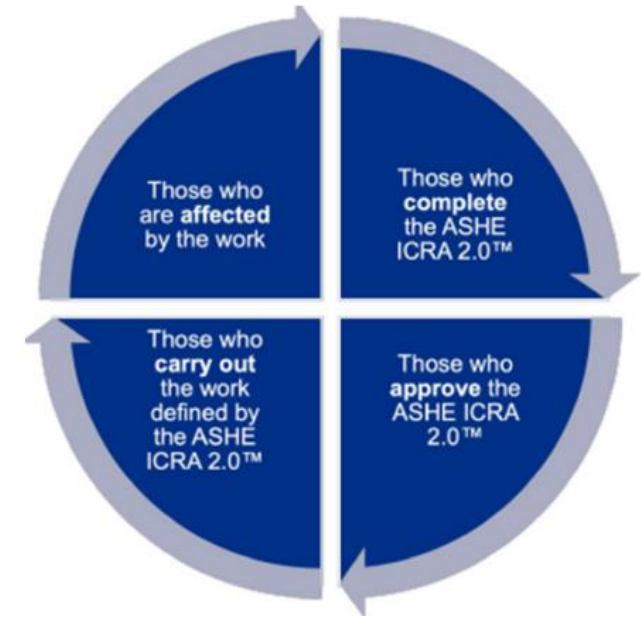
- Ambulatory & Community Health Services (ACHS)
- Custody Health Services (CHS)
- O'Connor Hospital (OCH)
- Regional Medical Center (RMC)
- St. Louise Regional (SLR)
- Valley Medical Center (VMC)

★ Facility Engineering Leader to loop in PCRA Team Members



Project Manager & PCRA Team Members Partner to Identify Any Additional Stakeholders for PCRA

- Departments Affected
- Clinical Leaders
- Facility Leaders
- Biomed Leaders
- IT/Telecom Leaders
- Life Safety Leaders
- Infection Prevention
- Risk Management
- Accreditation Regulatory & Licensure
- Environmental Services (EVS) Leaders



Project Managers Complete PCRA Sections 1 & 2

Hospital Preconstruction Risk Assessment (HPCRA) Form				
Click for instructions	Section 1: Project Information			
Item	Details			
Project Name				
Project Number or Work Order Number		WBS Element		Facilities Support Needed? <small>Select</small>
Location	Campus <small>Select</small>	Building <small>Select</small>		Select Floor <small>Select</small>
Department(s) where work is to be performed	<small>Select</small>	Project Coordinator		
Location of Work				
Project Start Date		Project Start Time		
Anticipated Completion Date		When will work be performed?		
Type of Work	<small>Select</small>	How many phases will project have?		<small>Select</small>
Is AHJ Permit Required?	<small>Select</small>	Has a site walk been performed?		Yes
Project Description: Write a brief narrative that captures the scope of work to be performed.				

Project Manager completes Section 1 & Section 2 Prior to Submitting to PCRA Project Team

Section 2: Team Members Reviewing PCRA				
Role	Title	Name	Department	Date
Emergency Management Leader				
Environmental Health and Safety Leader				
Fire Alarm Technician				
Facilities Operations Management				
Fire Alarm and Suppression Technician				
Biomed Leader				
Infection Prevention				
IT/Telecom (TSS)				
Nursing Representative				
Project Manager				
Risk Manager				
Safety Officer				
Security				

PCRA Team

- Project Manager
- Life Safety Officer
- Infection Prevention
- Impacted Clinical Department Leaders
- Facilities Teams
- TSS (IT/Telecom) Biomed

PROJECT MANAGER & PCRA TEAM REVIEW

THE AREAS OF RISK IN SECTION 3

- ❑ 3.1 Infection Control Risk Assessment (ICRA)
- ❑ 3.2 Interim Life Safety Measures (ILSM)
- ❑ 3.3 Method of Procedure (MOP)
- ❑ 3.4 Noise and Vibration Impact
- ❑ 3.5 Security Considerations
- ❑ 3.6 Environment Considerations
- ❑ 3.7 Interruption to Patient Services
- ❑ 3.8 Low Voltage Systems/Med Equipment



3.1 Infection Control Risk Assessment (ICRA)

Consideration	Risk Level	Mitigation Measures Required	Comments
Dust generation and containment	Select	<input type="checkbox"/> ICRA Required for all dust generation	
Impact on air handling systems	Select	<input type="checkbox"/> Supply air adjustment <input type="checkbox"/> Exhaust fan adjustments	
Water system disruption (e.g., Legionella risk)	Select	<input type="checkbox"/> Flushing protocols <input type="checkbox"/> Testing post-project	
Waste removal and containment	Select	<input type="checkbox"/> Sealed transport <input type="checkbox"/> Defined pathways	
Immunocompromised patient proximity	Select	<input type="checkbox"/> Schedule off-hours <input type="checkbox"/> Relocate patients	
Construction worker infection risk to Pts	Select	<input type="checkbox"/> PPE <input type="checkbox"/> Vaccinations <input type="checkbox"/> Entry Screen	

3.2 Interim Life Safety Measures (ILSM)

Consideration	Yes / No	Mitigation Measures Required	Comments
Egress route interruption	Select	<input type="checkbox"/> ILSM Required for Yes answer	
Fire suppression systems affected	Select	<input type="checkbox"/> ILSM Required for Yes answer	
Alarm systems impaired	Select	<input type="checkbox"/> ILSM Required for Yes answer	
Use of flammable materials	Select	<input type="checkbox"/> Safe storage <input type="checkbox"/> Provide additional fire extinguisher	

3.3 Method of Procedure (MOP)

Utility Affected	Yes / No	Mitigation Measures	Comments
Power	Select	<input type="checkbox"/> Backup generator test <input type="checkbox"/> Work during off-peak hours	
Water or Steam	Select	<input type="checkbox"/> Temporary supply <input type="checkbox"/> Post-restoration flushing	
Medical Gases, WAGD, Med Vacuum	Select	<input type="checkbox"/> System shutdown plan <input type="checkbox"/> Staff notification	
Energized Work	Select	<input type="checkbox"/> Temporary rerouting <input type="checkbox"/> IT support on standby	

3.4 Noise and Vibration Impact

Impact Area	Assessment	Controls Implemented	Comments
Patient care areas	Select	<input type="checkbox"/> Time restrictions <input type="checkbox"/> Physical noise reduction	
Diagnostic/treatment zones	Select	<input type="checkbox"/> Coordinate with departments	
Sensitive equipment	Select	<input type="checkbox"/> Pre/post testing of devices	

3.5 Security Considerations

Area of Concern	Risk Level	Controls	Comments
Access to sensitive areas	Select	Badged access Escort required	
Contractor ID compliance	Select	Daily check-in Logs maintained	
Impact on emergency response	Select	Notify security Alternate response plans	
Area where radioactive material is stored or potentially transported	Select		

3.6 Environment Considerations

Is a portion of work in:	Yes or No	Communication Plan	Action Approved?	Comments
Is hot work to be performed?	Select	Select	Select	
Confined space	Select	Select	Select	
Above Ceiling	Select	Select	Select	
Areas with Asbestos	Select	Select	Select	
Rooftop / Helipad	Select	Select	Select	


3.7 Interruption to Patient Services

Service Affected	Expected Impact	Communication Plan	Action Approved?	Comments
Diagnostic Imaging	Select	Select	Select	
Lab/Pharmacy	Select	Select	Select	
OR/Procedure Areas	Select	Select	Select	
Patient Rooms	Select	Select	Select	
Access to Facility	Select	Select	Select	
Outpatient Services	Select	Select	Select	

3.8 Low Voltage Systems/Med Equipment

Patient Care Equipment, Nurse Call	Select	<input type="checkbox"/> Temporary rerouting <input type="checkbox"/> Loaner equipment	
IT Systems	Select	<input type="checkbox"/> Temporary rerouting <input type="checkbox"/> IT support on standby	






After Review of PCRA Process Sections 1-3

- ❑ Additional information may be required (e.g., need for WICRA, MICRA permits)
- ❑ Identification of additional stakeholders to review PCRA
- ❑ Elaboration of project scope (e.g., adding more details)
- ❑ Once all the above is complete  Project Managers proceed to obtaining authorization on all required permits

Section 4 Project Manager sends required permits for authorization –via DocuSign

PCRA Team will assist Project Manager:

- Work with project manager to fill out permit
- Provide any required training/education
- Approve permit for use
- Monitor project to ensure compliance
- Modify permit when necessary
- File permit with issuer's department once complete

Permit / Form	Link	Required?	Approved?	User Orientated
		No	Select	Select
Interim Life Safety Measures		No	Select	Select
Infection Control Risk Assessment		No	Select	Select
Water Intrusion Response		No	Select	Select
Mold Remediation Permit		Yes	Select	Select
Utility Impact Notice		Yes	Select	Select
Confined Space Permit		No	Select	Select
Above Ceiling Permit		Yes	Select	Select
LOTO permit		No	Select	Select
Asbestos Abatement		No	Select	Select
Hot work Permit		Yes	Select	Select
Method of Procedure (MOP)		Yes	Select	Select

Sustaining Safety Post-Construction

- ❑ HVAC recommissioning
- ❑ Water system flushing
- ❑ Environmental cleaning

What are the health risks of construction dust?

01

Construction dust can contain silica, asbestos, and other hazardous materials. Exposure to these materials can lead to serious health conditions.

02

OSHA reports that construction dust can cause silicosis, lung cancer, and chronic obstructive pulmonary disease. Kidney disease is also a potential risk from construction dust exposure.

03

Comprehensive post-construction cleaning eliminates harmful particles from construction sites. This minimizes the risk of respiratory issues for future occupants of the space.

Renovation in Occupied Unit

- ❑ Risk classification
- ❑ Required controls

- ❑ Monitoring plan
- ❑ Escalation triggers

Clinical Overview of Aspergillosis

For Health Care Providers
APRIL 24, 2024

AT A GLANCE

- Transmission occurs through inhaling airborne *Aspergillus* spores.
- People with weakened immune systems or lung diseases are most at risk.
- Aspergillosis treatment depends on the type of infection.
- *Aspergillus fumigatus* (*A. fumigatus*) that is resistant to azole antifungal medications is emerging in the U.S.



How it spreads

Transmission occurs through inhaling airborne spores. Healthcare-associated infections are sporadic and associated with dust exposure during building renovation or construction. Occasional outbreaks of cutaneous infection have been linked to contaminated medical devices.



Dust from hospital construction can expose people to *Aspergillus* spores.

SCVH Infection Prevention Fundamentals

Who's Responsible for Infection Prevention & Control?



Clean 20

REMEMBER
20+ SECONDS
WITH SOAP AND WATER
OR USE THE ALCOHOL-BASED PRODUCT UNTIL IT IS DRY AND GONE (DO NOT REMOVE EXCESS WITH WATER OR TOWEL)

SANTA CLARA VALLEY MEDICAL CENTER
Hospital & Clinics

O'CONNOR HOSPITAL
A COMMUNITY HOSPITAL

ST. LOUISE REGIONAL HOSPITAL
A COMMUNITY HOSPITAL

The poster includes six diagrams illustrating handwashing techniques: 1. Rub hands palm to palm. 2. Right palm over left dorsum with interlaced fingers & vice versa. 3. Palm to palm with fingers interlaced. 4. Backs of fingers to opposing palms with fingers interlaced. 5. Rotational rubbing of left thumb clasped in right palm & vice versa. 6. Rotational rubbing, backwards & forwards with clasped fingers of right hand in left palm & vice versa.

Answer = Everyone 😊

Speak up for hand hygiene! Clean 20 is our motto



What Are Standard Precautions?

Standard Precautions

A simple, consistent and effective approach to infection control



Handwashing

Use of gloves

Personal protective equipment

Use of fluid resistant gown or apron

Safe handling of sharps

Safe handling of waste

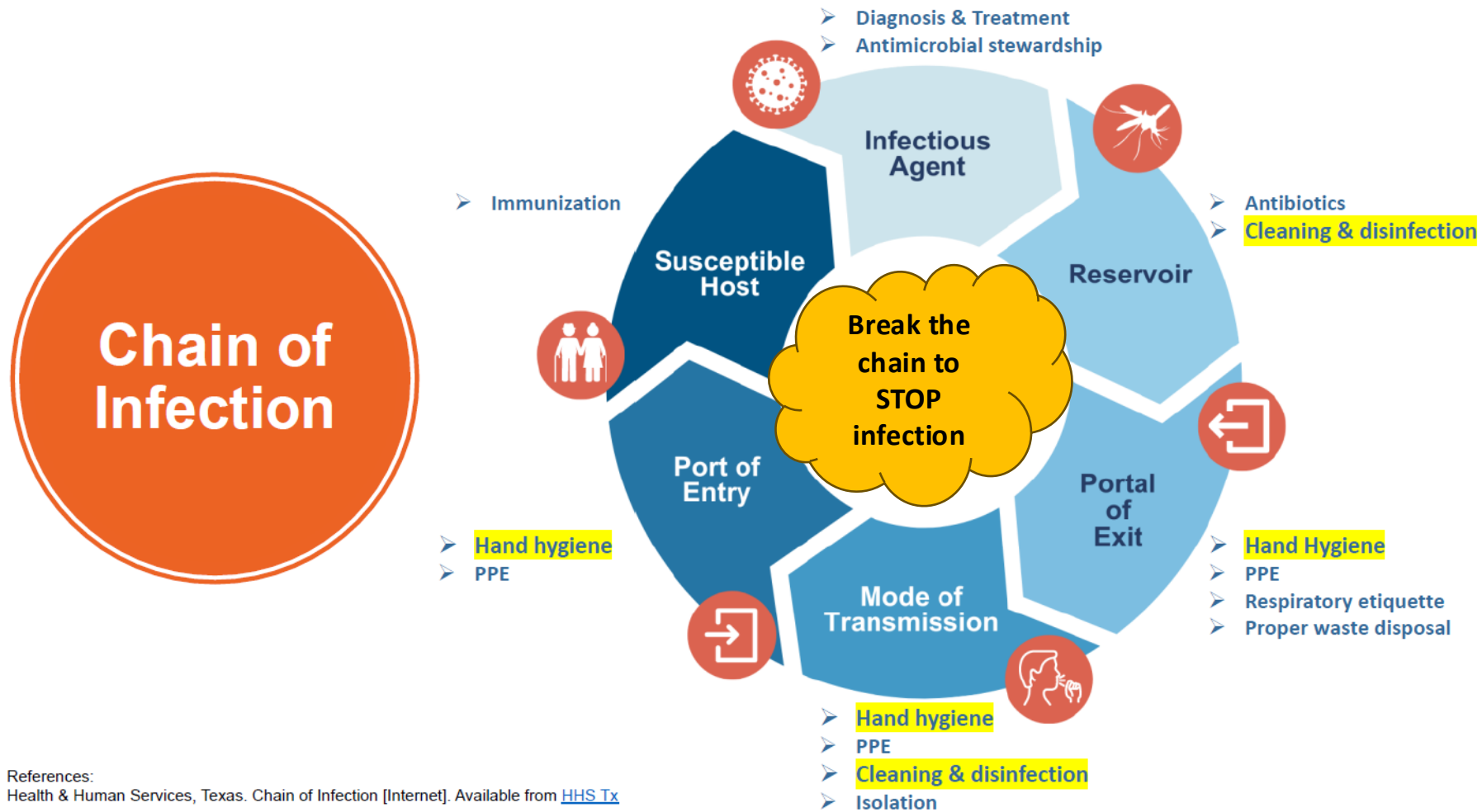
Safe handling of soiled linen

Environmental cleaning

Minimise contact with blood and body substances by utilising safe work practices and protective barriers.

ic

The Role of the Environment



References:
Health & Human Services, Texas. Chain of Infection [Internet]. Available from [HHS.Tx](#)

Pathogens Can Survive on Surfaces for Long Periods of Time and Cause HAIs!

Organisms Attributed to HAIs Such as CAUTIs, CLABSIs, VAEs, SSIs....	Environmental Survival Time
Enterococcus faecalis	1 day to 16 weeks
Vancomycin-resistant Enterococcus (VRE)	5 days to 4 months
Staphylococcus aureus, including MRSA	7 days to 7 months
Escherichia coli	4 hours to >300 days
Klebsiella pneumoniae	2 hours to >30 months



HAND HYGIENE, ENVIRONMENTAL AND EQUIPMENT CLEANING AND DISINFECTION, AND ADHERENCE TO CARE BUNDLES ARE ESSENTIAL!

Don't Tape - Laminate



The Joint Commission (TJC) does not explicitly forbid tape on walls, but adhesive residue is a major finding under infection control standards because it acts as a reservoir for contaminants increasing the risk of healthcare-associated infections (HAIs)

Tape also cannot obscure or interfere with the operation of fire doors, which is a major life safety violation

Infection control requires removing residue promptly using adhesive removers or oil-based solutions and then disinfecting the area with the IP approved healthcare disinfectant

Our Mottos

- **Clean 20!**

- Encourage EVERYONE to **speak up** for patient safety and hand hygiene compliance

- **If We Touch It, We Move It, We Clean It**

- **Polish to Protect**

- Once contact time has elapsed, remove residue on all clear surfaces (monitors, stainless steel, glass) with a clean damp paper towel/cloth
- Note: *If APPROVED by IP to use another disinfectant wipe, **always** check the container for contact time*

Leadership Takeaways



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Society for Healthcare Epidemiology of America. (2014). *Expert guidance for infection prevention in construction and renovation*.

Centers for Disease Control and Prevention. (2021). *Developing a water management program to reduce Legionella growth and spread in buildings*.

American Society for Health Care Engineering. (2022). *Health care facility water management program guidance*.

Agency for Healthcare Research and Quality. (2023). *High reliability organization principles*.

Any Questions?

“The names of the patients whose lives we save can never be known. Our contribution will be what did not happen to them. And, though they are unknown, we will know that mothers and fathers are at graduations and weddings they would have missed, and that grandchildren will know grandparents they might never have known, and holidays will be taken, and work completed, and books read, and symphonies heard, and gardens tended that, without our work, would never have been” – Don Berwick