



---

## ACADEMIC FORUM AGENDA

---

### Tuesday, November 13

**The Palace Hotel**  
2 New Montgomery Street, San Francisco, CA 94105

5:00 pm – 6:30 pm

**Welcome Reception**

### Wednesday, November 14

**PG&E Auditorium**  
77 Beale Street, San Francisco, CA 94177

8:00 am – 9:00 am

**Committee Meetings at The Palace Hotel (optional)**

8:30 am – 9:30 am

**Check-in & Breakfast at PG&E**

9:30 am – 9:35 am

**Opening Remarks**

Joshua Dietch, T. Rowe Price, DCIIA Retirement Research Board Chair

Catherine Collinson, Transamerica Institute, DCIIA Retirement  
Research Board Vice-Chair

9:35 am – 10:25 am

**Understanding the Longevity Economy & the Impacts of Global  
Demographic Change on Investment**

*This keynote address will explore how demographics impact investment innovations available through the workplace and marketplace. Drawing upon the latest MIT AgeLab research, Dr. Coughlin will discuss the transition from retirement planning to longevity management and the evolution of advice.*

Speaker:

- Joseph F. Coughlin, Ph.D., MIT Age Lab

10:25 am – 11:15 am

**Defined Contribution Asset Allocation and Mutual Fund  
Performance**

*Prudent selection and monitoring of investments is a core fiduciary responsibility. This panel will discuss how manager selection and approach impacts DC plan participants, fund performance, and industry flows.*

Speakers:

- Russ Wermers, Ph.D., University of Maryland
- Clemens Sialm, Ph.D., University of Texas Austin

Moderator: Matt O'Hara, Ph.D., BlackRock

11:15 am – 11:40 am

### **Networking Break**

11:40 am – 12:00 pm

### **DCIIA Research Initiative: Custom Target Date Funds (cTDFs)**

*This presentation will highlight key findings from DCIIA's inaugural cTDF research initiative.*

Speaker:

- Christopher Nikolich, AB

12:00 pm – 12:50 pm

### **Financial Literacy and Wellness**

*Financial literacy involves the knowledge and understanding which enable sound financial decision-making and effective management of personal finances while financial wellness is a state where one can meet current and ongoing financial obligations, can feel secure in his/her financial future, and is able to make choices that allow enjoyment of life. This panel will present new research on financial literacy and wellness and discuss the implications for enhancing Americans' retirement security.*

Speakers:

- Hector Ortiz, Ph.D., Consumer Financial Protection Bureau
- Andrea Hasler, Ph.D., George Washington University

Moderator: Brent Davis, Ph.D., TIAA Institute

12:50 pm – 1:00 pm

### **Lunch Pickup**

1:00 pm – 2:00 pm

### **A Great Third Act**

*Each life stage has its own constraints and freedoms. This luncheon talk looks at personal and relationship challenges and choices as retirement approaches.*

Speaker:

- Pepper Schwartz, Ph.D., University of Washington

2:00 pm – 2:25 pm

### **DCIIA Research Initiative: Academic Advisory Council**

*Members of DCIIA's Academic Advisory Council will share their research priorities and areas of potential collaboration.*

Speakers:

- Gopi Shah Goda, Ph.D., Stanford University
- Michael Finke, Ph.D., The American College of Financial Services
- Jack VanDerhei, Ph.D., EBRI

Moderator: Warren Cormier, DCIIA Retirement Research Center

2:25 pm – 2:50 pm

### **Networking Break**

2:50 pm – 4:00 pm

### **Aging, Working Longer, and Financial Preparedness**

*Most older workers are not on track to accumulate enough financial resources to retire full-time at age 65 under their pre-retirement standard of living. They will either need to work longer, reduce their standard of living, or some combination. How can employers and financial institutions help older workers make smart decisions as they transition from the workplace into retirement?*

Speakers:

- Gopi Shah Goda, Ph.D., Stanford University
- Brian Kaskie, Ph.D., University of Iowa

Presenter/Moderator: Steve Vernon, Stanford Center on Longevity

4:00 pm – 4:50 pm

### **Retirement Income Decision Making**

*To what extent can behavioral finance improve retirement income decisions? Academics will discuss psychological biases in decumulation and possible policy approaches to improve outcomes, including a case study of Israel's minimum annuity mandate.*

Speakers:

- Suzanne Shu, Ph.D., University of California Los Angeles
- Abigail Hurwitz, Ph.D., Visiting scholar at the University of Pennsylvania

Moderator: Drew Carrington, Franklin Templeton

4:50 pm – 4:55 pm

### **Closing Remarks**

Catherine Collinson, Transamerica Institute, DCIIA Retirement Research Board Vice-Chair

Joshua Dietch, T. Rowe Price, DCIIA Retirement Research Board Chair

5:00 pm – 6:30 pm

### **Closing Reception at the Palace Hotel**