

DCIIA's Vision: Enabling America's workers to retire with financial security

The Defined Contribution Institutional Investment Association (DCIIA) is a non-profit association dedicated to enhancing the retirement security of America's workers. To do this, DCIIA fosters a dialogue among the leaders of the defined contribution community who are passionate about improving defined contribution outcomes. DCIIA's diverse group of members include investment managers, consultants and advisors, law firms, record keepers, insurance companies, plan sponsors and other thought leaders who are collectively committed to the best interests of plan participants.

Mission: To provide a forum for industry participants to enhance the employer-based DC system, emphasizing access, innovation, best practices and institutional approaches, with the goal of improving financial security for America's workers.

Core Beliefs

- **Retirement Income Adequacy:** The primary role of DC plans is to create retirement income adequacy by helping plan participants build sufficient savings while working to support their spending needs in retirement.
- **Best Practices in Plan Design:** DCIIA supports innovation and sharing of best practices for well-designed retirement programs and serves as a forum for thought leadership in plan design that incorporates institutional asset management expertise and techniques as well as enhanced auto features, default investment options, and retirement income solutions.
- **Institutional Approaches:** The regulatory framework and industry infrastructure for the DC system should encourage and support institutional investment, retirement income, and advice approaches that focus on delivering higher returns and reduced risks while promoting improved fiduciary practices.
- **Choice:** Plan sponsors and their consultants should have the ability to select the best combination of partners to meet plan and participant needs, including for investment and retirement solutions, record keepers, custodians, managed accounts, advice and other service providers.
- **Transparency and Fees:** A full understanding of the scope and value of services being provided in relationship to fees and revenue sharing is critical for plan sponsors to evaluate the optimal combination of solutions to deliver improved retirement outcomes for their participants.

Core Activities

- **Independent Forums:** Provides independent forums for thought leadership to advance the employer-based DC system -- Activities: Academic Forum, Public Policy Forum and Innovation Forum, DCIIA's Ambassador Program, Town Halls, P&I/DCIIA Excellence and Innovation Awards, Plan Sponsor Roundtables
- **Actionable Insights and Research:** Advances best practices, and provides analysis and insights on important public policy, plan design and institutional investments to plan sponsors and industry participants -- Activities: Whitepapers, research briefs and studies, and webinars
- **Educate Policymakers:** An independent resource to educate legislators, regulators and other policymakers on opportunities and challenges in the DC system -- Activities: Provide a forum for policymaker and industry dialog, comment on regulatory initiatives, and provide research and analysis that helps inform the public policy debate