



Defined Contribution
Institutional Investment
Association

Dedicated to Enhancing Retirement Security

We Are DCIIA

Shining a spotlight on our members is the best way to tell our story. Here, we feature three of our member volunteer leaders from the recordkeeping industry.



Left to right: DCIIA Executive Committee members James Martielli, CFA, Head of Defined Contribution Advisory Services, Vanguard; David Musto, President, Ascensus; Derek Dorn, Managing Director, Special Assistant to the CEO, TIAA

DCIIA's Mission

To provide a forum for industry participants to enhance the employer-based DC system, emphasizing access, innovation, best practices and institutional approaches, with the goal of improving financial security for America's workers.



DEREK DORN

Managing Director, Special Assistant to the CEO, TIAA

Vice Chair, Public Policy Committee, DCIIA | Member, DCIIA Executive Committee

I first engaged with DCIIA in the organization's first year, when I was serving as a counsel in the U.S. Senate and was invited to speak at the organization's Washington forum. Subsequently, while working in public policy roles, I was fortunate to draw on nonpartisan expertise from DCIIA members. Based on these positive experiences, upon entering the defined contribution industry I was enthusiastic about engaging with DCIIA. The broad nature of DCIIA's membership – including recordkeepers, asset managers, insurers, mutual fund complexes, and plan sponsors – provides for a diversity of ideas that I have not found at other industry groups. We all share a common goal: Advancing retirement security for America's workers.



JAMES MARTIELLI, CFA

Head of Defined Contribution Advisory Services, Vanguard

Vice Chair, Global Committee, DCIIA | Member, DCIIA Executive Committee

As head of Vanguard's DC Advisory Services, it's critical to have a strong understanding of the latest DC trends and research. DCIIA provides a great opportunity to network with other like-minded professionals and it holds informative webcasts, publishes thought-provoking research, and has all of that material available on its website. Like other members of DCIIA, I think it's critically important to work with industry participants to find common ground in order to improve the DC retirement system. But just as importantly, I really enjoy forging professional relationships across the industry. DCIIA not only provides an opportunity to amplify Vanguard's advocacy voice on behalf of plan participants, DCIIA membership provides opportunities for our crew to learn, network, and take on broader industry leadership opportunities.



DAVID MUSTO

President, Ascensus

Chair, DCIIA Executive Committee | Member, DCIIA Operating Committee

I've been a member of the DCIIA Executive Committee since 2010. During that time, I've served as treasurer and member-at-large and have participated in various DCIIA Forum event committees. I'm also proud to have worked with the Executive Committee to develop the current DCIIA 2020 Vision. Benefits of being a DCIIA member include the ability to gain insight into the best minds in the industry along with access to innovative ideas and best practices. I also appreciate the opportunity to engage membership as a sounding board as new ideas are evaluated to better serve our clients and partners. When it comes to helping shape the retirement industry and better serve America's workers, I feel that DCIIA is uniquely positioned to have an impact. I feel privileged to support the cause. DCIIA also provides me with a venue through which I can fulfill my desire to help support the broader community of retirement industry professionals.

I believe that my participation in DCIIA helps Ascensus by increasing our profile among partners, providers, and clients. Furthermore, sharing takeaways from DCIIA helps to develop the thinking and skills of other Ascensus team members. In the end, this can help Ascensus to more efficiently contribute toward the mission of creating better retirement security for American workers.

Thank you to these organizations and to our other 160+ member firms for being part of DCIIA:

ASCENSUS

Ascensus is the largest independent recordkeeping services provider, third-party administrator, and government savings facilitator in the United States. The firm delivers technology and expertise to help millions of people save for what matters most—retirement, education, and healthcare. For more information about Ascensus, visit ascensus.com. View career opportunities at careers.ascensus.com.

TIAA

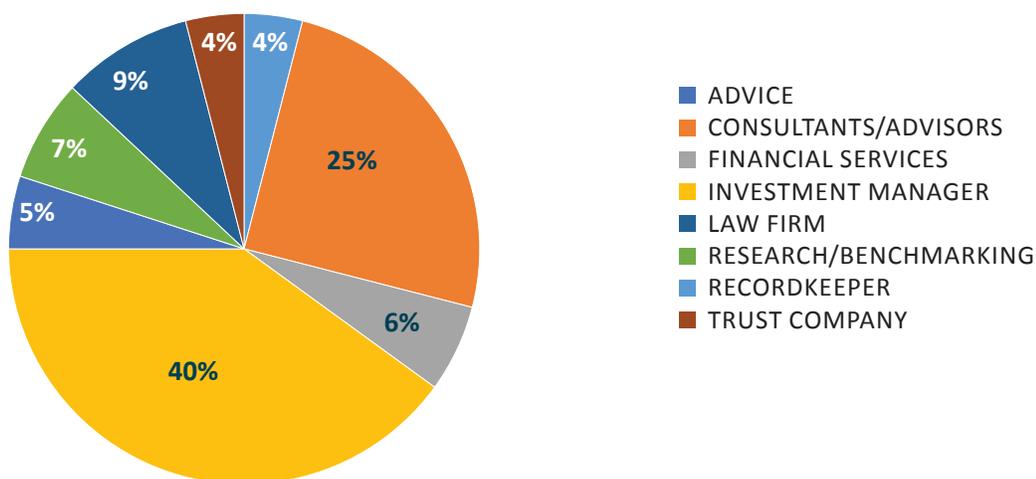
TIAA (TIAA.org) is a unique financial partner. With an award-winning track record for consistent performance, TIAA is the leading provider of financial services in the academic, research, medical, cultural and government fields. TIAA has nearly \$1 trillion in assets under management (as of 3/31/2018) and offers a wide range of financial solutions, including investing, banking, advice and education, and retirement services.

VANGUARD

With more than \$1 trillion in defined contribution (DC) assets under management, Vanguard serves as recordkeeper and strategic partner to approximately 8,000 plans—helping nearly 5 million participants save for retirement. Vanguard has long been recognized as an industry leader in DC plan design and services, providing sponsors and consultants with the tools, resources, and support to more effectively manage plans and have a clearer view into the retirement readiness of their employee populations. Vanguard is one of the world’s largest investment management companies. As of August 31, 2018, Vanguard managed \$5.3 trillion in global assets. The firm, headquartered in Valley Forge, Pennsylvania, offers more than 400 funds to its more than 20 million investors worldwide. For more information, visit vanguard.com.

DCIIA MEMBERSHIP

We have 160+ member organizations (shown here by type). More than 325 plan sponsors engage with DCIIA via our live events, webinars, and other educational initiatives.



As of December 31, 2017

About DCIIA

Founded in 2010, the Defined Contribution Institutional Investment Association (DCIIA) is a nonprofit association dedicated to enhancing retirement security. Toward this end, DCIIA fosters a dialogue among the leaders of the defined contribution community who are passionate about improving defined contribution outcomes. DCIIA's more than 160 member organizations include investment managers, consultants, law firms, record keepers, insurance companies, plan sponsors and others committed to the best interests of plan participants.

For more information, visit: www.dciia.org.