



## Evaluation: Drinking Water Utility

City/System Name and Water System ID:

Date:

<b>MANAGERIAL CAPACITY</b>	<b>Comments/Notes</b>
Water System Rules, Regulations or Ordinances	
Future Capital Needs or Strategic Plan (Facility Plan)	
Emergency Plan (Service, Drought, Flood)	
Secure and Accessible Water System & Billing Records	
Source Water or Wellhead Protection Plan	
Regular Communication with Customers	
<b>FINANCIAL CAPACITY</b>	
Formal Water System Budget	
Water Rate or Fee Structure Meets Expenses	
Capital Reserve & Depreciation Fund	
Asset Inventory & Replacement	
Current Rate Study	
Affordability	
<b>TECHNICAL CAPACITY</b>	
Adequate Source Water Capacity – Legal Rights	
Adequate System Capacity (Treatment, Distribution, & Pressure)	
Certified Operator(s) & Backup Operator(s)	
Operations & Maintenance Manual	
Cross-Connection Control Program	
As-Built Plans & Distribution Map	
Up to Date Asset Management (Maps & Inventory)	
Water Loss Accounting	
Means of Ascertaining Customer Usage	
<b>COMPLIANCE REVIEW</b>	
Outstanding Violations/Obligations	
Significant System or Service Deficiencies	
Forecast Capacity less than 80% of Need within 5 Years	
Violations of Maximum Contaminant Levels	
Monitoring and Reporting	
Lab Testing Facilities & Personnel	
Public Notice (Consumer Confidence Report or Other)	



## Evaluation: Reclaimed/Wastewater Utility

City/System Name and IPDES or Re-Use System ID:

Date:

<b>MANAGERIAL CAPACITY</b>	<b>Comments/Notes</b>
Sanitary System Rules, Regulations or Ordinances	
Future Capital Needs or Strategic Plan (Facility Plan)	
Emergency or System Overflow Plan	
Secure and Accessible System and Billing Records	
Pretreatment Ordinance or System Protection Plan	
Periodic Review of Usage by Non-Residential Users	
Regular Communication with Customers	
<b>FINANCIAL CAPACITY</b>	
Formal System Budget	
Rate and Fee Structure Meets Expenses	
Capital Reserve & Depreciation Fund	
Asset Inventory & Replacement	
Current Rate Study	
Affordability	
<b>TECHNICAL CAPACITY</b>	
Secondary or Equivalent Treatment Capacity	
Greater Treatment Capacity	
Certified Operator(s) & Backup Operator(s)	
Operations & Maintenance Manual	
As-Built Plans/Collection System Map	
Up to Date Asset Management (Maps & Inventory)	
Up to Date Operation Monitoring System	
Water Infiltration/Inflow Accounting	
Means of Ascertaining Customer Usage	
<b>COMPLIANCE REVIEW</b>	
Active Permit or Administratively Extended	
Active/Ongoing Schedule of Compliance	
Exceedance of Permit Effluent Limits	
Significant System, Service, or TMDL-Related Deficiencies	
Operating Capacity > 80% of Design within 5 Yrs	
Monitoring & Reporting	
Lab Testing Facilities & Personnel	
Notice Process for Bypass or Overflow	