

#### NAME OF PROJECT:

Guidance for evaluation and reporting of medication adherence for clinical trials of anticoagulants in children: Communication from the ISTH SSC Subcommittee on Pediatric and Neonatal Thrombosis and Hemostasis

#### Subcommittee:

SSC Subcommittee on Pediatric and Neonatal Thrombosis and Hemostasis/Anticoagulation Adherence Working Party

Person responsible (Chair / Principal Investigator): Lori Luchtman-Jones

#### **Description Abstract:**

In response to growing recognition that non-adherence to anticoagulant medication prevents children, adolescents, and young adults from achieving the therapeutic benefits of anticoagulant medication, the ISTH SSC Subcommittee on Pediatric and Neonatal Thrombosis and Hemostasis convened a working group on medication adherence. The primary aim of this manuscript is to synthesize recommendations from the larger adherence science literature to provide guidance regarding the classification, collection, and interpretation of anticoagulation adherence data. The secondary aim of this manuscript is to evaluate the degree to which trials published from 2013 to 2023 adhered to these guidelines. As less than half of all trials reported on adherence and none included all recommended elements, the proposed guidelines have the potential to significantly enhance the rigor and reproducibility of pediatric anticoagulant research.



Achieving the therapeutic benefits of anticoagulant medication requires adherence, or that patients and families demonstrate medication-taking behavior that aligns with the agreed-upon prescribed medication regimen. Specifically, anticoagulation adherence requires that patients and/or families: 1) start the anticoagulant as prescribed (initiation adherence); 2) demonstrate day-to-day medication-taking behaviors that align with the prescribed regimen dose, number of doses per day, and dose timing (implementation adherence); and 3) stop the anticoagulant when directed (discontinuation adherence). Patients and families face numerous barriers to medication-taking at the patient- (i.e., side effects, burden, motivation, forgetting, pain), family- (i.e., caregiver involvement), community- (i.e., social stigma), and healthcare system- (i.e., access, cost) levels. As a result of these and other barriers, 3-42% of children and young adults demonstrate nonadherence to anticoagulants. Among older adults, anticoagulation non-adherence has been linked to both hemorrhagic and thrombotic complications and we hypothesize that children and young adults who are non-adherent are at risk for similar significant and potentially preventable consequences. Medical teams recognize the critical nature of preventing these potentially devastating consequences. In previously published survey of 251 clinicians involved in the anticoagulation management of children and/or adolescents and young adults (administered last year at the SSC meeting), the majority worried about non-adherence and made regimen modifications (e.g., changed prescriptions) to promote adherence.

In addition to impacting patient outcomes, anticoagulation non-adherence has the potential to affect the outcomes of clinical trials. While rates of pediatric anticoagulation non-adherence within clinical trials have not been well-characterized, data from other populations suggest that even within the tight confines of clinical trials, non-adherence is present. A study including 16,907 patients with various medical conditions enrolled in 95 clinical trials found that 4% of patients never started the medication (initiation nonadherence) and by day 100, 20% of patients had discontinued treatment and 12% were not taking the medication as recommended. By the end of 12 months, only 45% of patients were still taking the medication as prescribed. When non-adherence is not assessed or accounted for, trials erroneously assume that the novel agent was received at the intended dose, as opposed to the actual, lower or less frequent, dose. When novel agent dosing is overestimated, it can result in type 2 errors in judging efficacy, underestimates of dose-dependent adverse effects, overestimated dosing requirements, and inappropriate dose escalations. In sum, the effects of novel anticoagulants cannot be accurately estimated without understanding daily medication adherence. As a result, many regulatory bodies, including the Food and Drug Administration, recommend assessing medication adherence in clinical trials.



# Design and methodology (Data expected to collect, sample size and statistical analysis):

This manuscript represents the efforts of the Anticoagulation Adherence Working Party (established in 2020) of the Pediatric and Neonatal Thrombosis and Hemostasis Subcommittee of the International Society on Thrombosis and Haemostasis (ISTH) Scientific and Standardization Committee (SSC). Working party members (co-authors) include experts in adherence science, anticoagulation, and pediatric hematology.

This group generated guideline content from the published literature and expert feedback. First, the first author extracted recommendations for assessing and reporting adherence within clinical trials from manuscripts identified via a literature review (PubMed/MEDLINE search using the terms "clinical trial" AND "medication adherence" AND pharmaceutical; forward and backward searches of included articles). Second, working party members (co-authors) provided additional recommendations. Third, the first and senior author synthesized recommendations into guidance related to clinical trial design, implementation, analysis, and reporting. Fourth, guidelines were presented at the ISTH 2023 Congress in Montreal to the audience attending the subcommittee session on Pediatric and Neonatal Thrombosis and Hemostasis. Attendees provided feedback via an anonymous survey administered via REDCap to inform refinements. Finally, the working group developed exemplar materials to supplement the agreed-upon guidelines.

Study population (Inclusion, exclusion, eligibility) (patient population; recruitment of participating institutions/physicians and subjects; minimum number needed; expected number):

NA: this is a literature review and guidance paper, supplemented by results from a REDCap survey administered at the 2023 ISTH SC meeting.

#### **Expected timeline:**

Project stage/set up 2022
Launch July 2022
Duration ongoing
Finalization/analysis We are reviewing the REDCap survey data and almost ready to submit manuscript



Reporting (as above)

Expected outcomes (ie. publications): hoping for publication in JTH

Publication type (SSC Communication, Guidance document or original article): Guidance document

**Description of project set/up and management, needed infrastructure and resources (summary):** It's pretty much done. No needs, other than ongoing virtual working group meetings.

#### Possible references:

- 1. Carpenter SL, Richardson T, Hall M. Increasing rate of pulmonary embolism diagnosed in hospitalized children in the United States from 2001 to 2014. *Blood advances*. 2018;2(12):1403-1408.
- 2. Raffini L, Huang YS, Witmer C, Feudtner C. Dramatic increase in venous thromboembolism in children's hospitals in the United States from 2001 to 2007. *Pediatrics*. 2009;124(4):1001-1008.
- 3. Tuckuviene R, Christensen AL, Helgestad J, Johnsen SP, Kristensen SR. Pediatric venous and arterial noncerebral thromboembolism in Denmark: a nationwide population-based study. *The Journal of pediatrics*. 2011;159(4):663-669.
- 4. Whitworth H, Clark HH, Hubbard RA, Witmer C, Leonard CE, Raffini L. High rate of recurrent venous thromboembolism in children and adolescents with unprovoked venous thromboembolism. *Journal of thrombosis and haemostasis : JTH.* 2023;21(1):47-56.
- 5. Monagle P, Newall F. Management of thrombosis in children and neonates: practical use of anticoagulants in children. *Hematology. American Society of Hematology. Education Program.* 2018;2018(1):399-404.
- 6. Jaffray J, Mahajerin A, Branchford B, et al. A New Risk Assessment Model for Hospital-Acquired Venous Thromboembolism in Critically III Children: A Report From the Children's Hospital-Acquired Thrombosis Consortium. *Pediatric critical care medicine: a journal of the Society of Critical Care Medicine and the World Federation of Pediatric Intensive and Critical Care Societies.* 2022;23(1):e1-e9.
- 7. Modi AC, Pai AL, Hommel KA, et al. Pediatric self-management: a framework for research, practice, and policy. *Pediatrics*. 2012;129(2):e473-485.
- 8. Vrijens B, De Geest S, Hughes DA, et al. A new taxonomy for describing and defining adherence to medications. *British journal of clinical pharmacology*. 2012;73(5):691-705.



- 9. Todd K, Luchtman-Jones L, Blackmore A, Hennessey C, McGrady ME. Barriers to medication adherence in children, adolescents, and young adults prescribed anticoagulation. *Pediatric blood & cancer*. 2023;70(2):e30076.
- 10. Todd KE, Jr., McGrady ME, Starbuck E, Previtera M, Luchtman-Jones L. A systematic review of adherence to anticoagulation regimens in pediatric patients. *Pediatric blood & cancer.* 2022;69(7):e29698.
- 11. Chen SY, Wu N, Gulseth M, et al. One-year adherence to warfarin treatment for venous thromboembolism in high-risk patients and its association with long-term risk of recurrent events. *Journal of managed care pharmacy : JMCP.* 2013;19(4):291-301.
- 12. Borne RT, O'Donnell C, Turakhia MP, et al. Adherence and outcomes to direct oral anticoagulants among patients with atrial fibrillation: findings from the veterans health administration. *BMC cardiovascular disorders*. 2017;17(1):236.
- 13. Luchtman-Jones L. Venous thromboembolism and anticoagulation safety: who is watching the children? *Pediatric blood & cancer*. 2014;61(11):1916-1917.
- 14. McGrady ME, Todd K, Ignjatovic V, et al. Results of an international survey on adherence with anticoagulation in children, adolescents, and young adults: Communication from the ISTH SSC Subcommittee on Pediatric and Neonatal Thrombosis and Hemostasis. *Journal of thrombosis and haemostasis : JTH.* 2022;20(7):1720-1728.
- 15. Czobor P, Skolnick P. The secrets of a successful clinical trial: compliance, compliance, and compliance. *Molecular interventions*. 2011;11(2):107-110.
- 16. Blaschke TF, Osterberg L, Vrijens B, Urquhart J. Adherence to medications: insights arising from studies on the unreliable link between prescribed and actual drug dosing histories. *Annual review of pharmacology and toxicology*. 2012;52:275-301.
- 17. Vrijens B, Urquhart J. Methods for measuring, enhancing, and accounting for medication adherence in clinical trials. *Clinical pharmacology and therapeutics*. 2014;95(6):617-626.
- 18. Shiovitz TM, Bain EE, McCann DJ, et al. Mitigating the Effects of Nonadherence in Clinical Trials. *Journal of clinical pharmacology*. 2016;56(9):1151-1164.
- 19. Vander Stichele R. Measurement of patient compliance and the interpretation of randomized clinical trials. *European Journal of Clinical Pharmacology*. 1991;41(1):27-35.
- Administration UFaD. Enrichment Strategies for Clinical Trials to Support Determination of Effectiveness of Human Drugs and Biological Products Guidance for Industry. In: Services USDoHaH, ed2019.
- 21. Eliasson L, Clifford S, Mulick A, Jackson C, Vrijens B. How the EMERGE guideline on medication adherence can improve the quality of clinical trials. *British journal of clinical pharmacology.* 2020;86(4):687-697.
- 22. Kastrissios H, Blaschke TF. Medication compliance as a feature in drug development. *Annual review of pharmacology and toxicology*. 1997;37:451-475.
- 23. Valgimigli M, Garcia-Garcia HM, Vrijens B, et al. Standardized classification and framework for reporting, interpreting, and analysing medication non-adherence in cardiovascular clinical trials: a consensus report from the Non-adherence



- Academic Research Consortium (NARC). *European heart journal*. 2019;40(25):2070-2085.
- 24. Baumgartner PC, Haynes RB, Hersberger KE, Arnet I. A Systematic Review of Medication Adherence Thresholds Dependent of Clinical Outcomes. *Frontiers in pharmacology*. 2018;9:1290.
- 25. Gossec L, Dougados M, Tubach F, Ravaud P. Reporting of Adherence to Medication in Recent Randomized Controlled Trials of 6 Chronic Diseases: A Systematic Literature Review. *The American Journal of the Medical Sciences*. 2007;334(4):248-254.
- 26. Gellad WF, Thorpe CT, Steiner JF, Voils CI. The myths of medication adherence. *Pharmacoepidemiology and drug safety.* 2017;26(12):1437-1441.
- 27. Cramer JA, Roy A, Burrell A, et al. Medication compliance and persistence: terminology and definitions. *Value in health: the journal of the International Society for Pharmacoeconomics and Outcomes Research.* 2008;11(1):44-47.
- 28. Haynes RB, Taylor DW, Sackett DL, Gibson ES, Bernholz CD, Mukherjee J. Can simple clinical measurements detect patient noncompliance? *Hypertension*. 1980;2(6):757-764.
- 29. Sackett DL, Haynes RB, Gibson ES, et al. Randomised clinical trial of strategies for improving medication compliance in primary hypertension. *Lancet (London, England)*. 1975;1(7918):1205-1207.
- 30. Karve S, Cleves MA, Helm M, Hudson TJ, West DS, Martin BC. Good and poor adherence: optimal cut-point for adherence measures using administrative claims data. *Current medical research and opinion*. 2009;25(9):2303-2310.
- 31. Burnier M. Is There a Threshold for Medication Adherence? Lessons Learnt From Electronic Monitoring of Drug Adherence. *Frontiers in pharmacology*. 2019;9.
- 32. Dodd S, White IR, Williamson P. A framework for the design, conduct and interpretation of randomised controlled trials in the presence of treatment changes. *Trials*. 2017;18(1):498.
- 33. Vitolins MZ, Rand CS, Rapp SR, Ribisl PM, Sevick MA. Measuring adherence to behavioral and medical interventions. *Controlled clinical trials*. 2000;21(5 Suppl):188s-194s.
- 34. Grayek EN, Fischhoff B, Davis AL, Krishnamurti T. The value of adherence information during clinical pharmaceutical trials. *Clinical trials (London, England)*. 2022;19(3):326-336.
- 35. Zelikovsky N, Schast AP. Eliciting accurate reports of adherence in a clinical interview: development of the Medical Adherence Measure. *Pediatric nursing*. 2008;34(2):141-146.
- 36. Plevinsky JM, Gutierrez-Colina AM, Carmody JK, et al. Patient-Reported Outcomes for Pediatric Adherence and Self-Management: A Systematic Review. *Journal of Pediatric Psychology.* 2019;45(3):340-357.
- 37. Kellier DJ, Marquez de Prado B, Haagen D, et al. Development of a text message-based headache diary in adolescents and children. *Cephalalgia : an international journal of headache*. 2022;42(10):1013-1021.



- 38. Psihogios AM, Li Y, Ahmed A, et al. Daily text message assessments of 6-mercaptopurine adherence and its proximal contexts in adolescents and young adults with leukemia: A pilot study. *Pediatric blood & cancer*. 2021;68(2):e28767.
- 39. Wiener L, Riekert K, Ryder C, Wood LV. Assessing medication adherence in adolescents with HIV when electronic monitoring is not feasible. *AIDS patient care and STDs.* 2004;18(9):527-538.
- 40. El Alili M, Vrijens B, Demonceau J, Evers SM, Hiligsmann M. A scoping review of studies comparing the medication event monitoring system (MEMS) with alternative methods for measuring medication adherence. *British journal of clinical pharmacology.* 2016;82(1):268-279.
- 41. Landier W, Chen Y, Hageman L, et al. Comparison of self-report and electronic monitoring of 6MP intake in childhood ALL: a Children's Oncology Group study. *Blood*. 2017;129(14):1919-1926.
- 42. Rudd P, Byyny RL, Zachary V, et al. The natural history of medication compliance in a drug trial: limitations of pill counts. *Clinical pharmacology and therapeutics*. 1989;46(2):169-176.
- 43. Osman H, Alghamdi R, Gupta P. Review of the methods to measure non-adherence with a focus on chemical adherence testing. *Translational Metabolic Syndrome Research*. 2022;5:1-9.
- 44. Canfield SL, Zuckerman A, Anguiano RH, et al. Navigating the Wild West of Medication Adherence Reporting in Specialty Pharmacy. *Journal of managed care & specialty pharmacy*. 2019;25(10):1073-1077.
- 45. Rasu RS, Hunt SL, Dai J, Cui H, Phadnis MA, Jain N. Accurate Medication Adherence Measurement Using Administrative Data for Frequently Hospitalized Patients. *Hospital pharmacy*. 2021;56(5):451-461.
- 46. McGrady ME, Holbein CE, Smith AW, et al. An Independent Evaluation of the Accuracy and Usability of Electronic Adherence Monitoring Devices. *Annals of internal medicine*. 2018;169(6):419-422.
- 47. Dabbous MK, Sakr FR, Malaeb DN. Anticoagulant therapy in pediatrics. *Journal of basic and clinical pharmacy.* 2014;5(2):27.
- 48. Hepponstall M, Chan A, Monagle P. Anticoagulation therapy in neonates, children and adolescents. *Blood cells, molecules & diseases.* 2017;67:41-47.
- 49. Ababneh M, Nasser SA, Rababa'h A, Ababneh F. Warfarin adherence and anticoagulation control in atrial fibrillation patients: a systematic review. *European review for medical and pharmacological sciences*. 2021;25(24):7926-7933.
- 50. Turen S, Turen S. Determination of Factors Affecting Time in Therapeutic Range in Patients on Warfarin Therapy. *Biological research for nursing*. 2023;25(1):170-178.
- 51. Zhao S, Fanham A, Stewart J, Franssen E, Newall F. Participant-reported Warfarin Adherence in Community-based Pediatric Patients. *Journal of Pediatric Hematology/Oncology*. 2020;42(5):e328-e333.
- 52. Jones S, McLoughlin S, Piovesan D, Savoia H, Monagle P, Newall F. Safety and Efficacy Outcomes of Home and Hospital Warfarin Management Within a Pediatric Anticoagulation Clinic. *J Pediatr Hematol Oncol.* 2016;38(3):216-220.



- 53. Bauman ME, Black K, Kuhle S, et al. KIDCLOT: the importance of validated educational intervention for optimal long term warfarin management in children. *Thrombosis research*. 2009;123(5):707-709.
- 54. Gaw JR, Crowley S, Monagle P, Jones S, Newall F. The economic costs of routine INR monitoring in infants and children--examining point-of-care devices used within the home setting compared to traditional anticoagulation clinic monitoring. *Thrombosis research*. 2013;132(1):26-31.
- 55. McCann DJ, Petry NM, Bresell A, Isacsson E, Wilson E, Alexander RC. Medication Nonadherence, "Professional Subjects," and Apparent Placebo Responders: Overlapping Challenges for Medications Development. *Journal of clinical psychopharmacology*. 2015;35(5):566-573.
- 56. Sheng D, Kim MY. The effects of non-compliance on intent-to-treat analysis of equivalence trials. *Statistics in medicine*. 2006;25(7):1183-1199.
- 57. Butcher NJ, Monsour A, Mew EJ, et al. Guidelines for reporting outcomes in trial reports: the CONSORT-Outcomes 2022 extension. *JAMA*. 2022;328(22):2252-2264.
- 58. Nich C, Carroll KM. Intention-to-treat meets missing data: implications of alternate strategies for analyzing clinical trials data. *Drug and alcohol dependence*. 2002;68(2):121-130.
- 59. De Geest S, Zullig LL, Dunbar-Jacob J, et al. ESPACOMP Medication Adherence Reporting Guideline (EMERGE). *Annals of internal medicine*. 2018;169(1):30-35.
- 60. Squibb B-M. Apixaban for the Acute Treatment of Venous Thromboembolism in Children. 2023; <a href="https://clinicaltrials.gov/ct2/show/study/NCT02464969">https://clinicaltrials.gov/ct2/show/study/NCT02464969</a>. Accessed 18 May 2023.
- 61. Daiichi Sankyo I. Hokusai Study in Pediatric Patients With Confirmed Venous Thromboembolism (VTE). 2023; <a href="https://clinicaltrials.gov/ct2/show/NCT02798471">https://clinicaltrials.gov/ct2/show/NCT02798471</a>. Accessed 18 May 2023.
- 62. Faustino EVS, Shabanova V, Raffini LJ, et al. Efficacy of Early Prophylaxis Against Catheter-Associated Thrombosis in Critically III Children: A Bayesian Phase 2b Randomized Clinical Trial. *Critical care medicine*. 2021;49(3):e235-e246.
- 63. Goldenberg NA, Kittelson JM, Abshire TC, et al. Effect of Anticoagulant Therapy for 6 Weeks vs 3 Months on Recurrence and Bleeding Events in Patients Younger Than 21 Years of Age With Provoked Venous Thromboembolism: The Kids-DOTT Randomized Clinical Trial. *JAMA*. 2022;327(2):129-137.
- 64. Greiner J, Schrappe M, Claviez A, et al. THROMBOTECT a randomized study comparing low molecular weight heparin, antithrombin and unfractionated heparin for thromboprophylaxis during induction therapy of acute lymphoblastic leukemia in children and adolescents. *Haematologica*. 2019;104(4):756-765.
- 65. Halton J, Brandão LR, Luciani M, et al. Dabigatran etexilate for the treatment of acute venous thromboembolism in children (DIVERSITY): a randomised, controlled, open-label, phase 2b/3, non-inferiority trial. *The Lancet. Haematology.* 2021;8(1):e22-e33.
- 66. Hartman LR, Nurmeev I, Svirin P, et al. A phase 2 pharmacodynamic dose-finding, safety, and efficacy study of dalteparin for pediatric venous



- thromboembolism treatment in children with and without cancer. *Pediatric blood* & cancer. 2022;69(8):e29764.
- 67. Lensing AWA, Male C, Young G, et al. Rivaroxaban versus standard anticoagulation for acute venous thromboembolism in childhood. Design of the EINSTEIN-Jr phase III study. *Thrombosis Journal*. 2018;16(1):34.
- 68. Male C, Lensing AWA, Palumbo JS, et al. Rivaroxaban compared with standard anticoagulants for the treatment of acute venous thromboembolism in children: a randomised, controlled, phase 3 trial. *The Lancet. Haematology.* 2020;7(1):e18-e27.
- 69. McCrindle BW, Manlhiot C, Cochrane A, et al. Factors associated with thrombotic complications after the Fontan procedure: a secondary analysis of a multicenter, randomized trial of primary thromboprophylaxis for 2 years after the Fontan procedure. *Journal of the American College of Cardiology.* 2013;61(3):346-353.
- 70. McCrindle BW, Michelson AD, Van Bergen AH, et al. Thromboprophylaxis for Children Post-Fontan Procedure: Insights From the UNIVERSE Study. *Journal of the American Heart Association*. 2021;10(22):e021765.
- 71. Pina LM, Dong X, Zhang L, et al. Rivaroxaban, a direct Factor Xa inhibitor, versus acetylsalicylic acid as thromboprophylaxis in children post-Fontan procedure: Rationale and design of a prospective, randomized trial (the UNIVERSE study). *American heart journal*. 2019;213:97-104.
- 72. O'Brien SH, Li D, Mitchell LG, et al. PREVAPIX-ALL: Apixaban Compared to Standard of Care for Prevention of Venous Thrombosis in Paediatric Acute Lymphoblastic Leukaemia (ALL)-Rationale and Design. *Thrombosis and haemostasis*. 2019;119(5):844-853.
- 73. Payne RM, Burns KM, Glatz AC, et al. A multi-national trial of a direct oral anticoagulant in children with cardiac disease: Design and rationale of the Safety of ApiXaban On Pediatric Heart disease On the prevention of Embolism (SAXOPHONE) study. *American heart journal*. 2019;217:52-63.
- 74. Portman MA, Jacobs JP, Newburger JW, et al. Edoxaban for Thromboembolism Prevention in Pediatric Patients With Cardiac Disease. *Journal of the American College of Cardiology*. 2022;80(24):2301-2310.