



Institute of International Bankers

PROGRAM

**Annual
Anti-Money Laundering
Seminar**

Wednesday, May 14, 2014

**Harold Proshansky Auditorium
CUNY Graduate Center
365 Fifth Avenue | New York City**



Institute of International Bankers

Annual Anti-Money Laundering Seminar

Wednesday, May 14, 2014

Harold Proshansky Auditorium

City University of New York Graduate Center

365 Fifth Avenue (between 34th and 35th streets)

8:30 a.m. – 8:55 a.m.
Continental Breakfast

Sponsored by:



8:55 a.m. – 9:00 a.m.

Welcome and Introductory Remarks

Sally Miller

Chief Executive Officer
Institute of International Bankers

9:00 a.m. – 9:30 a.m.

Opening Address

Frederick Reynolds

Deputy Director
Financial Crimes Enforcement Network
Department of the Treasury

9:30 a.m. – 10:30 a.m.

Policymakers' Perspectives on Regulatory and Enforcement Developments
Affecting Internationally Active Banks

Koko Ives

Manager
BSA/AML Compliance Section
Board of Governors of the Federal Reserve System

James Vivenzio

Senior Counsel for BSA/AML
Office of the Comptroller of the Currency

Jean Walsh

Acting Executive Deputy Superintendent for Banks
New York State Department of Financial Services

Steven Beattie
(moderator)

Principal
Ernst & Young LLP

10:30 a.m. – 10:45 a.m.
Coffee Break

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10:45 a.m. – 11:45 a.m.

Meeting the Key BSA/AML Challenges for Internationally Active Banks in Today's Environment

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| Michael Dawson | Managing Director Promontory Financial Group LLC |
| Michael A. Mancusi <i>(and moderator)</i> | Partner Kilpatrick Townsend & Stockton LLP |
| Ellen S. Zimiles | Managing Director Global Head of Investigations & Compliance Navigant Consulting |

11:45 a.m. – 12:45 p.m.

Iran Sanctions and other Developments Relating to OFAC Compliance and Enforcement

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| Michael Dondarski | Chief, Regulated Industries Oversight and Evaluation Office of Foreign Assets Control Department of the Treasury |
| David D. DiBari <i>(and moderator)</i> | Partner Clifford Chance US LLP |
| Peter Flanagan | Partner Covington and Burling LLP |
| Paul Marquardt | Partner Cleary Gottlieb Steen & Hamilton LLP |

12:45 – 2:00 p.m.
Luncheon

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**C L I F F O R D
C H A N C E**

Keynote Speaker:

Jaikumar Ramaswamy
Chief
Asset Forfeiture and Money Laundering Section
Criminal Division
Department of Justice



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2:00 p.m. – 3:15 p.m.

BSA/AML Examination Priorities for Banks and Broker-Dealers

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|----------------------------------|--|
| John Reynolds | Examining Officer Federal Reserve Bank of New York |
| Indira Crum | BSA/AML Compliance Policy Specialist Office of the Comptroller of the Currency |
| Sheila Haney | Surveillance Director Financial Industry Regulatory Authority |
| Richard H. Neiman (moderator) | Vice Chairman Global Financial Services Regulatory Practice PricewaterhouseCoopers LLP |

3:15 p.m. – 3:30 p.m.
Coffee Break

Sponsored by:



3:30 p.m. – 5:00 p.m.

Practical Suggestions and Tips for an Effective BSA/AML Compliance Function

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| Lynne Federman (moderator) | Managing Director Regional Head of FCR Compliance – Americas Standard Chartered Bank |
| Susan Tuccillo | SVP/Head of Compliance Nordea Bank Finland |
| Peter Fitzgerald | Principal Deloitte & Touche LLP |
| K.D. Mehra | Managing Director Accume Partners |
| Teresa Pesce | Principal KPMG LLP |
| Victor T. Samuel | Director, Risk Advisory Services McGladrey LLP |

5:00 p.m.

Adjournment



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