

Association of Life Insurance Counsel

General Counsel Panel Discussion on Diversity and Inclusion

Date: Monday, October 22, 2018; 6:00 p.m. – 8:00 p.m.

Place: Debevoise & Plimpton LLP, 919 Third Avenue, New York, NY 10022

The Membership and Diversity Committee of the Association of Life Insurance Counsel invites you to attend a General Counsel panel discussion on the successes and challenges of establishing and nurturing a diverse and inclusive environment in law departments of life insurance companies. The panelists will share their ideas for creating a culture that provides opportunities for all members of their legal departments to flourish. This is a networking opportunity for junior attorneys of your organization – please share this invitation with them. Attached is an ALIC membership brochure which can be shared as well. Attendees are invited to a networking reception following the event.

Please RSVP to ALIC Executive Director Kate Brunswick by email: kate@assnoffices.com. **We need to know by October 17 if you plan to attend.**

Panelists:

Sheila Davidson, New York Life

Sheila Kearney Davidson is Executive Vice President, Chief Legal Officer & General Counsel of New York Life Insurance Company. In addition to leading the company's legal operations, she also oversees its compliance, governance and operational risk functions.

Ms. Davidson joined New York Life in 1991 and has served in many leadership positions in legal, compliance and administrative areas. She was appointed to New York Life's Executive Management Committee in January 2001.

Ms. Davidson is a member of the New York State Bar. She serves on the boards of Fairfield University, Madison Square Park Conservancy, the Citizens Budget Commission and the Life Insurance Council of New York. She is a frequent speaker on topics such as legal and compliance best practices and diversity.

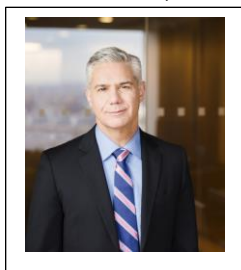


In 2018, the New York City Bar Association honored Ms. Davidson with their Diversity and Inclusion Champion Award. In 2016, the *National Law Journal* named Ms. Davidson one of America's 50 Outstanding General Counsel citing her commitment to diversity. She is the founding executive sponsor of The Women's Initiative, New York Life's Employee Resource Group that connects and empowers women across the company.

Ms. Davidson graduated *cum laude* from Fairfield University with a B.A. She received her J.D. from George Washington University.

Eric Dinallo, Guardian Life Insurance

Eric Dinallo is Executive Vice President and General Counsel of The Guardian Life Insurance Company of America. In this role, he is responsible for leading Guardian's Legal, Regulatory, Compliance and Government Relations functions, in addition to all corporate governance activities.



Mr. Dinallo has a broad range of public and private sector experience. Most recently, he co-lead the insurance group of the law firm Debevoise & Plimpton LLP, where he represented financial services firms on regulatory, compliance, litigation and transactional issues.

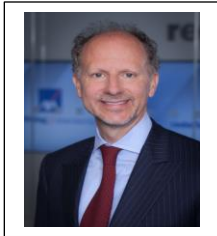
His prior experience includes senior-level leadership positions as Superintendent of Insurance for New York State, Global Head of Regulatory Affairs at Morgan Stanley and General Counsel at Willis Group Holdings. As Superintendent of Insurance, Mr. Dinallo has been credited for helping to lead New York and the insurance industry through the financial crisis.

Active across the industry, Mr. Dinallo serves on the Board of Directors of the American Institute for Stuttering and Nazareth Housing, a non-for-profit committed to finding supportive and emergency housing for families in need.

Mr. Dinallo has a bachelor's of arts degree in philosophy from Vassar College, a master of arts from Duke University School of Public Policy and a Juris Doctor from New York University School of Law.

Dave Hattem, AXA Equitable

Dave S. Hattem is Senior Executive Director, General Counsel and Corporate Secretary for AXA Equitable and a member of AXA Equitable Holdings' management committee. He is responsible for the oversight of the Law Department, including the compliance, government relations and corporate secretary's functions, helping the company navigate the legal and regulatory environment to achieve its strategic goals.



Prior to his election as General Counsel, Mr. Hattem served as Senior Vice President and Deputy General Counsel from 2004 to 2010. As Deputy General Counsel, Mr. Hattem held a variety of leadership positions, including oversight responsibility for the compliance, corporate, investment, benefits, litigation, employment and customer relations practice groups.

He joined the law department in 1994 after serving in senior management positions in the Office of the United States Attorney for the Eastern District of New York. He began as an Assistant United States Attorney in 1985, and went on to become Acting Chief and Deputy Chief of the Criminal Division. Mr. Hattem began his legal career in 1981, as an associate in the Litigation Department of Barrett Smith Schapiro Simon & Armstrong.

In December 2017, he was elected chair of the Life Insurance Council of New York Board of Directors. He earned a Juris Doctor degree from Brooklyn Law School, where he was managing editor of the Law Review, and a B.A. degree with honors from Hunter College of the City of New York.

Trish Walsh, Voya

Trish Walsh is executive vice president and chief legal officer (CLO) for Voya Financial (NYSE: VOYA), which helps Americans plan, invest and protect their savings — to get ready to retire better. Serving the financial needs of approximately 14.3 million individual and institutional customers in the United States, Voya is a *Fortune 500* company that had \$8.6 billion in revenue in 2017. The company had \$528 billion in total assets under management and administration as of June 30, 2018.



Walsh, who joined Voya in 2015 as CLO and serves on Voya's Executive Committee, oversees all aspects of Voya's Law, Compliance and External Affairs department, serving as an advisor to senior management and the board of directors on legal, compliance, securities and corporate governance matters. In 2018, Walsh also assumed responsibility for Corporate Development and Corporate Audit.

Prior to joining Voya, Ms. Walsh served as deputy general counsel and global chief compliance officer for Cigna, Inc., a global health service company with 88 million customer relationships in 30 countries and \$32 billion in annual revenue. She joined Cigna in 2011 as chief counsel for the company's U.S. businesses.

Previously, she held several leadership roles during her tenure at Massachusetts Mutual Life Insurance, serving as senior vice president and deputy general counsel for the company, and, most recently, as senior vice president and chief of staff to the chairman and CEO. Prior to MassMutual, she was an associate at the law firm Cleary, Gottlieb, Steen, and Hamilton in Washington, D.C.

Walsh received her bachelor's degree from Mount Holyoke College, a master's degree in public affairs from Princeton University, and a J.D. from Yale Law School. Walsh serves on the boards of the Life Insurance Council of New York (LICONY) and PASE (Partnership for After School Education).

Moderators:

This panel will be moderated by Kenyatta Bolden, VP Corporate Counsel, Prudential Financial and Susan Gittes, Partner at Debevoise and Plimpton LLP.