Internal (and External) Institutional Partnerships

Who else do you need to be talking to?
Internal and External Institutional Partnerships

- Gretchen Brodnicki – Dean for Faculty and Research Integrity, Harvard Medical School

- Shelley Bizila – Research Integrity Officer, Indiana University

- Jen Yucel - Associate Vice President and RIO, The Ohio State University
The other players...

We all know that every research misconduct case is different from any other one.

There are often special circumstances that require collaboration and assistance by other university offices that are not normally involved in the Research Misconduct process.

Who are they, and when might you seek them out?
“The usual partners”

- Legal Affairs
- Information Technology/CIO
- Human Resources
- IRBs/IACUC/IBCs
- Office of Sponsored Programs
- Department Chair and Administrator
Other internal partners to consider

- Public Records Office
- University Communications
- Office of International Affairs
- Ombudsman
- University Police
Other internal partners to consider

- Export Control Officer
- Facility Security Officer
- Title IX Coordinator
- Dean of Students/Student Affairs
- Thesis Committee
- Postdoctoral Fellow Office
Looking outside

More and more often, our cases involve allegations that span more than one institution.

In these cases, institutions need to collaborate together, which can set up challenging situations as you try to align different processes. What are some strategies to facilitate these situations?
Shared Investigations

When institutions share investigations it is very helpful to have one institution take the lead.

Shared investigations can be done informally or you can formalize the relationship with a Joint Cooperation and Data Sharing Agreement.

This can be very especially helpful if things get complicated (e.g., legal challenges).
Shared Investigations, cont.

• If rely upon another individual/consortium, be sure:
  • The person/consortium/other institution is qualified by practice and experience to conduct research misconduct proceedings, and
  • The person/consortium/other institution follows there requirements of the regulations. 42 CFR 93.306.
Outside Partners

- Outside Counsel
- NIH/NSF/DoD/ORI
- OIG
- U.S. Attorney’s Office
- Local Law Enforcement
- Board of Registration (in Medicine and others)
- Insurers