Administrative Actions/Penalties/Sanctions

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Four Possible Outcomes

- Exoneration
- Guilty of Misconduct
- Guilty of Unacceptable Research Practices
- Guilty of Questionable Research Practices
“Misconduct” means Fabrication, Falsification, Plagiarism, or any other practice that seriously deviates from practices commonly accepted in the discipline or in the academic and research communities generally in proposing, performing, reviewing, or reporting Research and Creative Activities. Misconduct does not include appropriative practices in the Creative Arts insofar as they accord with accepted standards in the relevant discipline. Misconduct does not include honest error or honest differences in the interpretation or judgment of Research data.
“Unacceptable Research Practices” means practices that do not constitute Misconduct but that violate applicable laws, regulations, or other governmental requirements, or University rules or policies, of which the Respondent had received notice or of which the Respondent reasonably should have been aware, for proposing, performing, reviewing, or reporting Research or Creative Activities.
“Questionable Research Practices” means practices that do not constitute Misconduct or Unacceptable Research Practices but that require attention because they could erode confidence in the integrity of Research or Creative Activities.
Guilty of something, now what?

- What is my role?
- Very minimal!
However...

- the RIO, after consultation with the Office of the General Counsel and the VPRGS, shall attempt to correct, and/or seek retraction of, any part of the Research Record materially affected by the Misconduct. The Respondent will not interfere with the RIO’s efforts in these regards.
Who is in charge of the Disciplinary Action?

- Vice-President for Research and Graduate Studies
- Provost
- Responsible Administrator
- Dean of the Graduate School (sometimes)

- The RIO is NOT a part of this group, and usually does NOT find out the outcome
So, what can happen?
Most Serious Discipline

- Degree Revocation
- Termination
- Government Sanctions
Less Serious Discipline

- Must have someone review grant applications
- Must have someone review ms submissions
- No raises for xx semesters
- Must attend RCR sessions

- Some of these folks turn out to be the RIO’s biggest supporters
Questions, Comments?