

# ASPPB Disciplinary Data System: Historical Discipline Report

*Reported Disciplinary Actions for Psychologists: 1974 – 2014*

Total Number of Reported Actions in the ASPPB Disciplinary Data System: 5,582

## Disciplinary Actions Taken Per Year (Past 5 Years)

Type of Sanction	2014	2013	2012	2011	2010
<b>Total Reported Actions</b>	153	234	216	222	254
<b>Revocations</b>	7	18	13	13	15
<b>Suspensions</b>	28	35	32	33	43
<b>Probations</b>	25	34	39	39	58
<b>Reprimands</b>	54	32	54	53	56

Note: Each disciplinary action could contain multiple sanctions including other sanctions not listed such as supervision, mandatory continuing education, etc. Therefore, the total number of sanctions reported above does not equal the total number of disciplinary actions reported.

## Top 10 Reasons for Disciplinary Action

*Historical Information: Data Compiled From All DDS Entries*

Reason for Disciplinary Action	Number Disciplined
<i>Unprofessional Conduct</i>	919
<i>Sexual Misconduct</i>	893
<i>Negligence</i>	586
<i>Non-Sexual Dual Relationship</i>	585
<i>Conviction of Crime</i>	466
<i>Failure to Maintain Adequate or Accurate Records</i>	395
<i>Failure to Comply with Continuing Education or Competency Requirements</i>	346
<i>Incompetence</i>	307
<i>Improper or Inadequate Supervision or Delegation</i>	285
<i>Substandard or Inadequate Care</i>	282
<i>Other (the combined total of the 76 remaining reasons)</i>	4205

Note: Based on the 5,582 total reports of disciplinary action submitted to the ASPPB Disciplinary Data System. Each action could contain multiple reasons for discipline such that the total number of reasons reported far exceeds the total number of actions.

## Top 10 Disciplinary Reasons by Year

Reason for Disciplinary Action	Number Disciplined
<b>2014</b>	
Negligence	21
Non-Sexual Dual Relationship	16
Conviction of Crime	12
Practicing without a License	12
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	12
Failure to Meet Licensing Board Reporting Requirements	11
Failure to Comply w/ Continuing Education or Competency Requirements	9
Sexual Misconduct	9
Violation of Federal or State Statutes, Regulations or Rules	8
Unprofessional Conduct	8

<b>2013</b>	
Failure to Comply w/ Continuing Education or Competency Requirements	56
Negligence	29
Unprofessional Conduct	22
Sexual Misconduct	15
Incompetence	15
Practicing with an Expired License/Certification/Registration	15
Violation of Federal or State Statutes, Regulations or Rules	14
Conviction of Crime	13
Non-Sexual Dual Relationship	12
Failure to Meet Licensing Board Reporting Requirements	11

<b>2012</b>	
Unprofessional Conduct	27
Non-Sexual Dual Relationship	27
Negligence	20
Incompetence	17
Professional Opinion/Testimony Without Adequate Foundation	17
Failure to Maintain Adequate or Accurate Records	17
Failure to Obtain Informed Consent	14
Failure to Meet Licensing Board Reporting Requirements	13
Substandard Testing/Assessment Procedures	13
Conviction of Crime	13

## Top 10 Disciplinary Reasons by Year (Continued)

Reason for Disciplinary Action	Number Disciplined
<b>2011</b>	
Failure to Comply w/ Continuing Education or Competency Requirements	43
Unprofessional Conduct	26
Conviction of Crime	24
Sexual Misconduct	24
Negligence	17
Substandard or Inadequate Care	14
Professional Opinion/Testimony Without Adequate Foundation	14
Non-Sexual Dual Relationship	13
Incompetence	13
Failure to Maintain Adequate or Accurate Records	13

<b>2010</b>	
Unprofessional Conduct	31
Sexual Misconduct	31
Non-Sexual Dual Relationship	28
Conviction of Crime	21
Failure to Maintain Adequate or Accurate Records	21
Professional Opinion/Testimony Without Adequate Foundation	18
Failure to Comply w/ Continuing Education or Competency Requirements	17
Negligence	15
Incompetence	15
Improper or Inadequate Supervision or Delegation	12