

ASPPB Disciplinary Data System: Historical Discipline Report

Reported Disciplinary Actions for Psychologists: 1974 – 2012

Total Number of Reported Actions in the ASPPB Disciplinary Data System: 5,147

Disciplinary Actions Taken Per Year (Past 5 Years)

Type of Sanction	2012	2011	2010	2009	2008
Total Reported Actions	180	216	254	213	272
Revocations	10	12	16	19	23
Suspensions	24	32	43	28	31
Probations	29	38	58	37	50
Reprimands	45	53	55	53	56

Note: Each disciplinary action could contain multiple sanctions including other sanctions not listed such as supervision, mandatory continuing education, etc. Therefore, the total number of sanctions reported above does not equal the total number of disciplinary actions reported.

Top 10 Reasons for Disciplinary Action

Historical Information: Data Compiled From All DDS Entries

Reason for Disciplinary Action	Number Disciplined
<i>Unprofessional Conduct</i>	886
<i>Sexual Misconduct</i>	867
<i>Non-Sexual Dual Relationship</i>	550
<i>Negligence</i>	532
<i>Conviction of Crime</i>	437
<i>Failure to Maintain Adequate or Accurate Records</i>	375
<i>Incompetence</i>	282
<i>Failure to Comply with Continuing Education or Competency Requirements</i>	279
<i>Immediate Threat to Health or Safety</i>	277
<i>Improper or Inadequate Supervision or Delegation</i>	276
<i>Other (the combined total of the 71 remaining reasons)</i>	3828

Note: Based on the 5,147 total reports of disciplinary action submitted to the ASPPB Disciplinary Data System. Each action could contain multiple reasons for discipline such that the total number of reasons reported far exceeds the total number of actions.

Top 10 Disciplinary Reasons by Year

Reason for Disciplinary Action	Number Disciplined
2012	
Unprofessional Conduct	24
Non-Sexual Dual Relationship	23
Negligence	17
Incompetence	13
Professional Opinion/Testimony Without Adequate Foundation	13
Failure to Comply w/ Continuing Education or Competency Requirements	13
Improper or Inadequate Supervision or Delegation	12
Sexual Misconduct	11
Failure to Maintain Adequate or Accurate Records	11
Practicing without a License	10

2011	
Failure to Comply w/ Continuing Education or Competency Requirements	43
Unprofessional Conduct	26
Conviction of Crime	24
Sexual Misconduct	23
Negligence	16
Professional Opinion/Testimony Without Adequate Foundation	14
Substandard or Inadequate Care	14
Non-Sexual Dual Relationship	13
Incompetence	13
Failure to Maintain Adequate or Accurate Records	12

2010	
Unprofessional Conduct	31
Sexual Misconduct	31
Non-Sexual Dual Relationship	26
Conviction of Crime	22
Failure to Maintain Adequate or Accurate Records	21
Professional Opinion/Testimony Without Adequate Foundation	18
Failure to Comply w/ Continuing Education or Competency Requirements	17
Negligence	15
Incompetence	15
Improper or Inadequate Supervision or Delegation	12

Top 10 Disciplinary Reasons by Year (Continued)

Reason for Disciplinary Action	Number Disciplined
2009	
Unprofessional Conduct	46
Non-Sexual Dual Relationship	27
Sexual Misconduct	23
Failure to Maintain Adequate or Accurate Records	23
Substandard or Inadequate Care	22
Negligence	21
Conviction of Crime	20
Professional Opinion/Testimony Without Adequate Foundation	13
Incompetence	12
Failure to Obtain Informed Consent	11

2008	
Unprofessional Conduct	45
Failure to Maintain Adequate or Accurate Records	32
Sexual Misconduct	27
Non-Sexual Dual Relationship	24
Professional Opinion/Testimony Without Adequate Foundation	23
Negligence	23
Substandard or Inadequate Care	21
Conviction of Crime	20
Failure to Meet Licensing Board Reporting Requirements	19
Improper or Inadequate Supervision or Delegation	18