

ASPPB Disciplinary Data System: Historical Discipline Report

Reported Disciplinary Actions for Psychologists: 1974 – 2013

Total Number of Reported Actions in the ASPPB Disciplinary Data System: 5,368

Disciplinary Actions Taken Per Year (Past 5 Years)

Type of Sanction	2013	2012	2011	2010	2009
Total Reported Actions	193	207	215	252	211
Revocations	17	12	13	15	19
Suspensions	35	28	32	43	26
Probations	31	37	38	58	37
Reprimands	25	49	53	56	53

Note: Each disciplinary action could contain multiple sanctions including other sanctions not listed such as supervision, mandatory continuing education, etc. Therefore, the total number of sanctions reported above does not equal the total number of disciplinary actions reported.

Top 10 Reasons for Disciplinary Action

Historical Information: Data Compiled From All DDS Entries

Reason for Disciplinary Action	Number Disciplined
<i>Unprofessional Conduct</i>	908
<i>Sexual Misconduct</i>	881
<i>Non-Sexual Dual Relationship</i>	563
<i>Negligence</i>	562
<i>Conviction of Crime</i>	452
<i>Failure to Maintain Adequate or Accurate Records</i>	389
<i>Failure to Comply with Continuing Education or Competency Requirements</i>	332
<i>Incompetence</i>	298
<i>Improper or Inadequate Supervision or Delegation</i>	282
<i>Substandard or Inadequate Care</i>	281
<i>Other (the combined total of the 76 remaining reasons)</i>	4008

Note: Based on the 5,368 total reports of disciplinary action submitted to the ASPPB Disciplinary Data System. Each action could contain multiple reasons for discipline such that the total number of reasons reported far exceeds the total number of actions.

Top 10 Disciplinary Reasons by Year

Reason for Disciplinary Action	Number Disciplined
2013	
Failure to Comply w/ Continuing Education or Competency Requirements	51
Negligence	27
Unprofessional Conduct	20
Sexual Misconduct	14
Incompetence	13
Violation of Federal or State Statutes, Regulations or Rules	12
Conviction of Crime	11
Practicing with an Expired License/Certification/ Registration	9
Professional Opinion/Testimony Without Adequate Foundation	8
License Revocation, Suspension, or Other Disciplinary Action Taken by Federal, State, or Local Licensing Authority	8

2012	
Non-Sexual Dual Relationship	27
Unprofessional Conduct	26
Negligence	20
Incompetence	16
Professional Opinion/Testimony Without Adequate Foundation	16
Failure to Maintain Adequate or Accurate Records	16
Failure to Comply w/ Continuing Education or Competency Requirements	15
Improper or Inadequate Supervision or Delegation	12
Failure to Meet Licensing Board Reporting Requirements	16
Sexual Misconduct	10

2011	
Failure to Comply w/ Continuing Education or Competency Requirements	43
Unprofessional Conduct	26
Conviction of Crime	24
Sexual Misconduct	23
Negligence	16
Professional Opinion/Testimony Without Adequate Foundation	14
Substandard or Inadequate Care	15
Non-Sexual Dual Relationship	13
Incompetence	13
Failure to Maintain Adequate or Accurate Records	13

Top 10 Disciplinary Reasons by Year (Continued)

Reason for Disciplinary Action	Number Disciplined
2010	
Unprofessional Conduct	31
Sexual Misconduct	31
Non-Sexual Dual Relationship	27
Conviction of Crime	21
Failure to Maintain Adequate or Accurate Records	21
Professional Opinion/Testimony Without Adequate Foundation	18
Failure to Comply w/ Continuing Education or Competency Requirements	17
Negligence	15
Incompetence	15
Improper or Inadequate Supervision or Delegation	12

2009	
Unprofessional Conduct	46
Non-Sexual Dual Relationship	27
Sexual Misconduct	23
Failure to Maintain Adequate or Accurate Records	23
Substandard or Inadequate Care	22
Negligence	21
Conviction of Crime	19
Professional Opinion/Testimony Without Adequate Foundation	13
Incompetence	12
Failure to Obtain Informed Consent	11