Comprehensive Case Information System (CCIS)

2017 Regional Training Workshops

CCIS Background

CCIS

Comprehensive Case Information System

- ✓ Statewide Court Case Data and Records

- ✓ Florida Statute - 28.24(12)(e)

- ✓ Provides controlled access to court records for governmental agencies

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CCIS Background

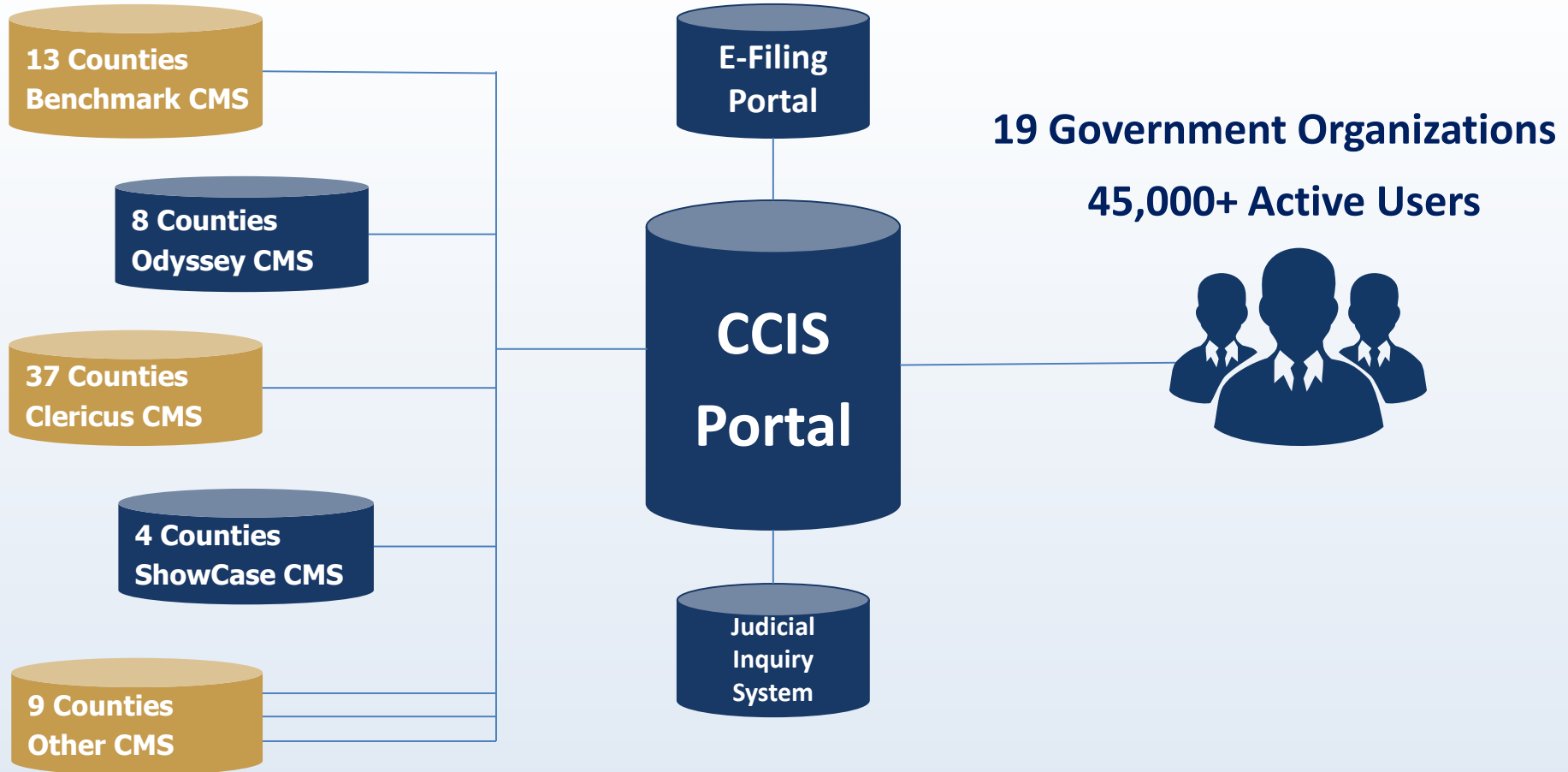- Established in 2002 as an initiative to view court case information across county and circuit lines

- Developed and maintained by Florida's Clerks, pursuant to s. 28.24(12)(e), Florida Statutes

- CCIS provides a method to share and report information related to all court cases maintained by the Clerks

- Provides a statewide methodology for data sharing among the judiciary, criminal justice and information user agencies

- Searchable by name or case number, through a secured point of access, and is available 24/7

CCIS – Current Statutory Language

- 28.2405 Comprehensive Case Information System.—All clerks of the circuit court shall participate in the Comprehensive Case Information System of the Florida Association of Court Clerks and Comptrollers, Inc., and shall submit electronic case data to the system based on the case types designated by the Supreme Court.

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CCIS is an Internet Portal used for accessing Clerk Court Data Statewide

67 Clerks of the Court

13 Counties Benchmark CMS

8 Counties Odyssey CMS

37 Counties Clericus CMS

4 Counties ShowCase CMS

9 Counties Other CMS

E-Filing Portal

CCIS Portal

Judicial Inquiry System

19 Government Organizations

45,000+ Active Users

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CCIS – Current Available Data

+ All Court Case Types in the 67 Clerks' offices
  + Criminal, Civil, Juvenile, Probate, Traffic
+ Data Elements
  + Individual Name Demographic Information
  + Case/Charge Information
  + Court Events
  + Progress Dockets
  + Financial (Assessments/Collections)
  + Warrant/Summons Information
  + Sentencing Information
  + Document images
+ 150+ Million Cases, 400+ Million Names

CCIS Current Users

- CCIS Users are restricted to governmental agencies from the Federal, State and Local levels

- Each user is assigned a security level which complies with access to court records as defined in Florida Statutes
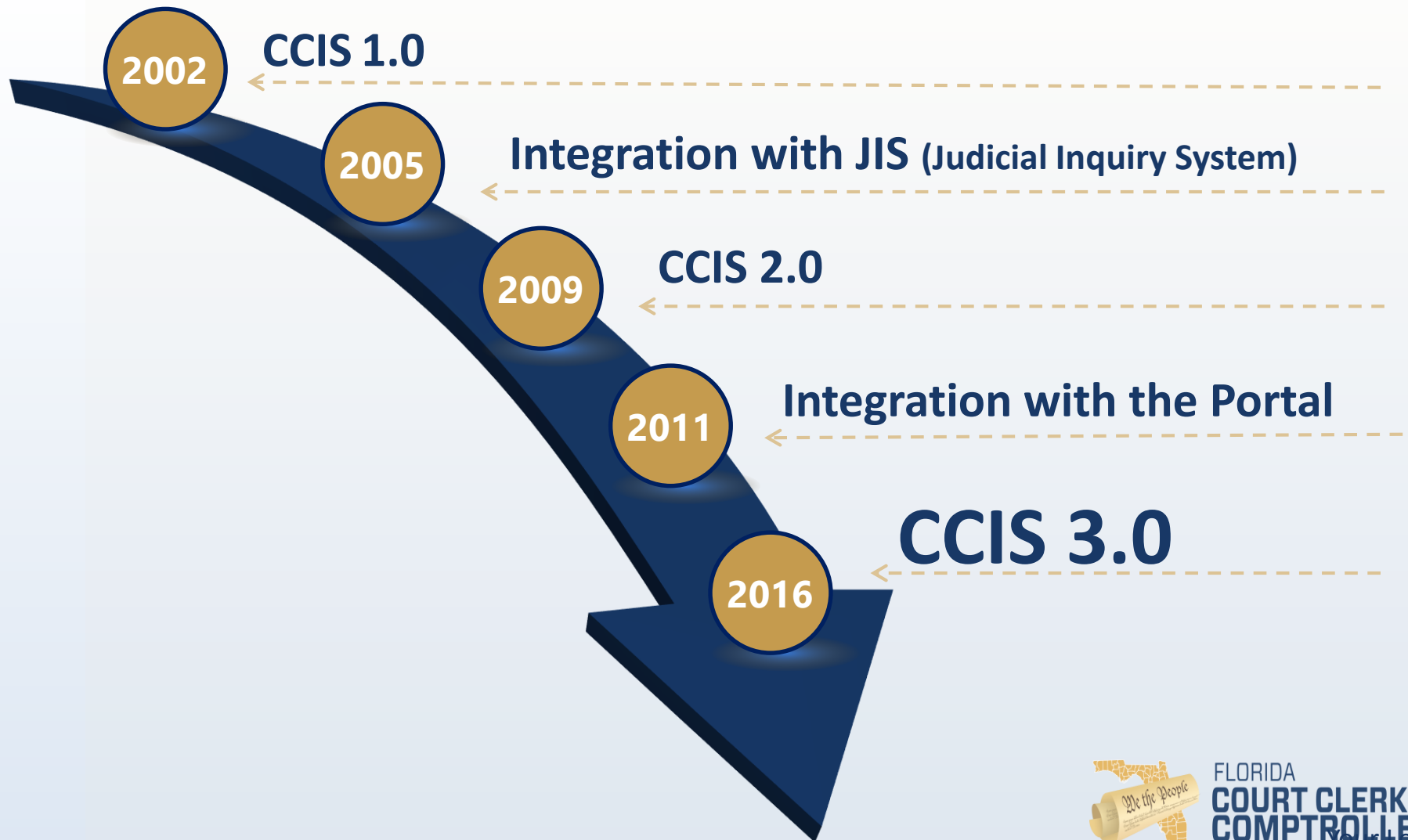
- There are 45,000+ active users

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CCIS "Power User" Agencies

Organization	Active Users
FLORIDA DEPT OF CHILDREN & FAMILIES	6226
FLORIDA COUNTY SHERIFF	3396
FLORIDA DEPT OF CORRECTIONS	3051
FLORIDA STATE ATTORNEY	2279
FLORIDA LOCAL POLICE	1850
U S DEPT OF HOMELAND SECURITY	1706
FLORIDA DEPT OF REVENUE	1685
FLORIDA PUBLIC DEFENDER	1485
FLORIDA COURTS (20 CIRCUITS AND 5 DCAS)	986
FLORIDA DEPT OF JUVENILE JUSTICE	640
FLORIDA DEPT OF LAW ENFORCEMENT	520
FLORIDA DEPT OF HIGHWAY SAFETY & MOTOR VEHICLES	494
FLORIDA FISH & WILDLIFE COMMISSION	450
U S PROBATION OFFICE	450
FLORIDA ATTORNEY GENERAL	415

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Data Source for Court's Judicial Inquiry System (JIS) Since 2006

- Per the Memorandum of Understanding with the Office of the State Courts Administrator (OSCA), CCIS is the sole provider of Clerk Case Data to JIS

- CCIS Data is provided via Secured Web Service, utilizing nationally recognized XML standards

- CCIS Data Elements are provided per specifications of the Court

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CCIS Version History

2002 — CCIS 1.0

2005 — Integration with JIS (Judicial Inquiry System)

2009 — CCIS 2.0

2011 — Integration with the Portal

2016 — CCIS 3.0

CCIS 3.0 Funding Sources

$1,790,000

National Criminal History Improvement Project Grant Award through FDLE

FCCC CiviTek

$179,000
10% match

Plus:
Significant Support and Resources contributed by Clerks' Offices statewide

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CCIS 3.0 Benefits

CCIS 3.0 Provides:

✓ **Real-time Case Data**
Local CMS sends new or changed data to CCIS immediately

✓ **Access to Electronic Court Documents**
Court documents are retrieved from local CMS in real-time

✓ **More Case Information**
Additional data in local databases retrieved in real-time

✓ **Enhanced Search**
Additional data elements added to improve search capabilities

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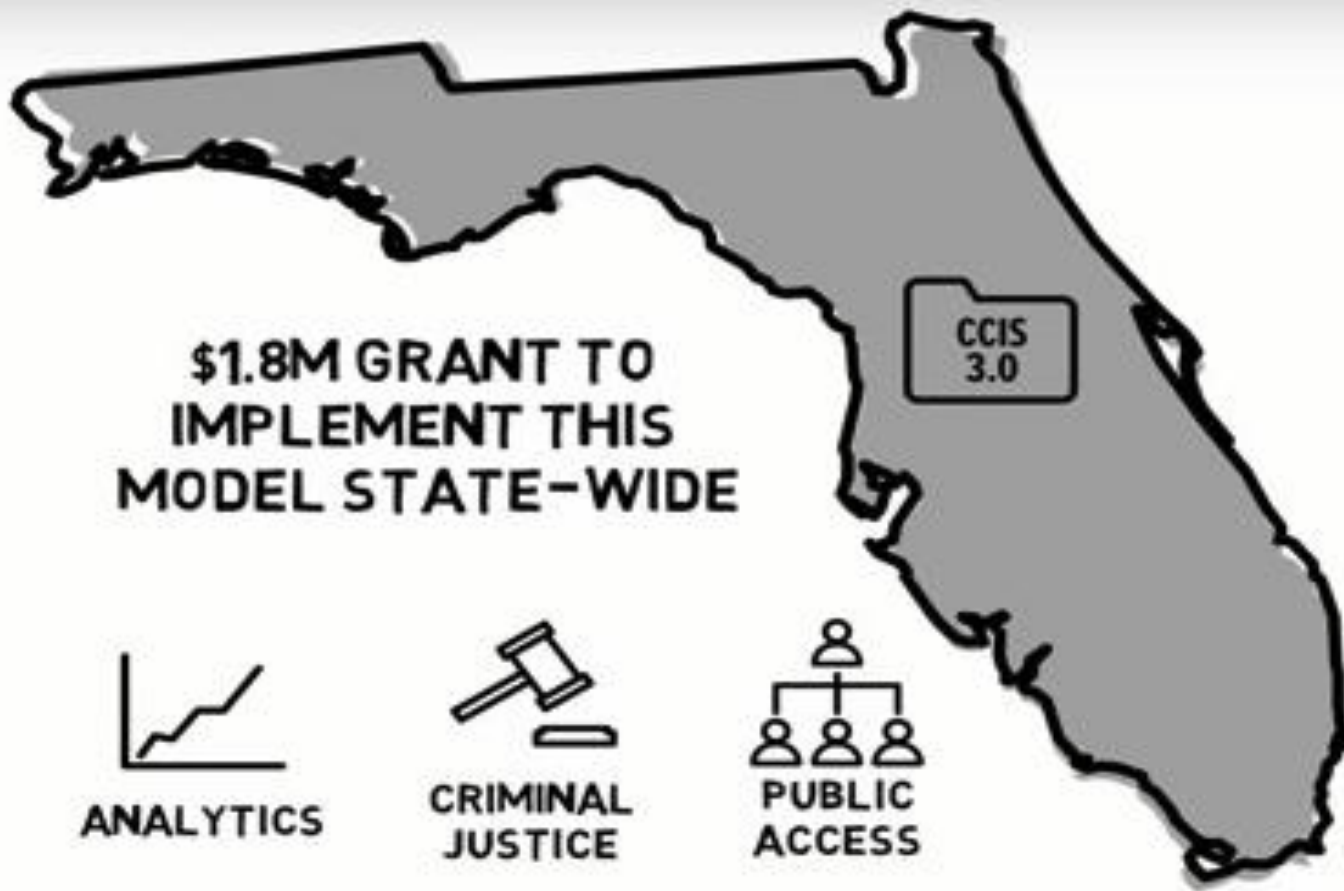
CCIS 3.0 Standards

CCIS 3.0 Utilizes
National and Statewide Standards

- ✓ National Information Exchange Model (NIEM) and Awarded 2015 Best of NIEM Awards

- ✓ Florida Courts Technology Commission (FCTC) Data Exchange Workgroup Standards

- ✓ AOSC 16-14 Access to Electronic Records Security Matrix (will implement in 2017)
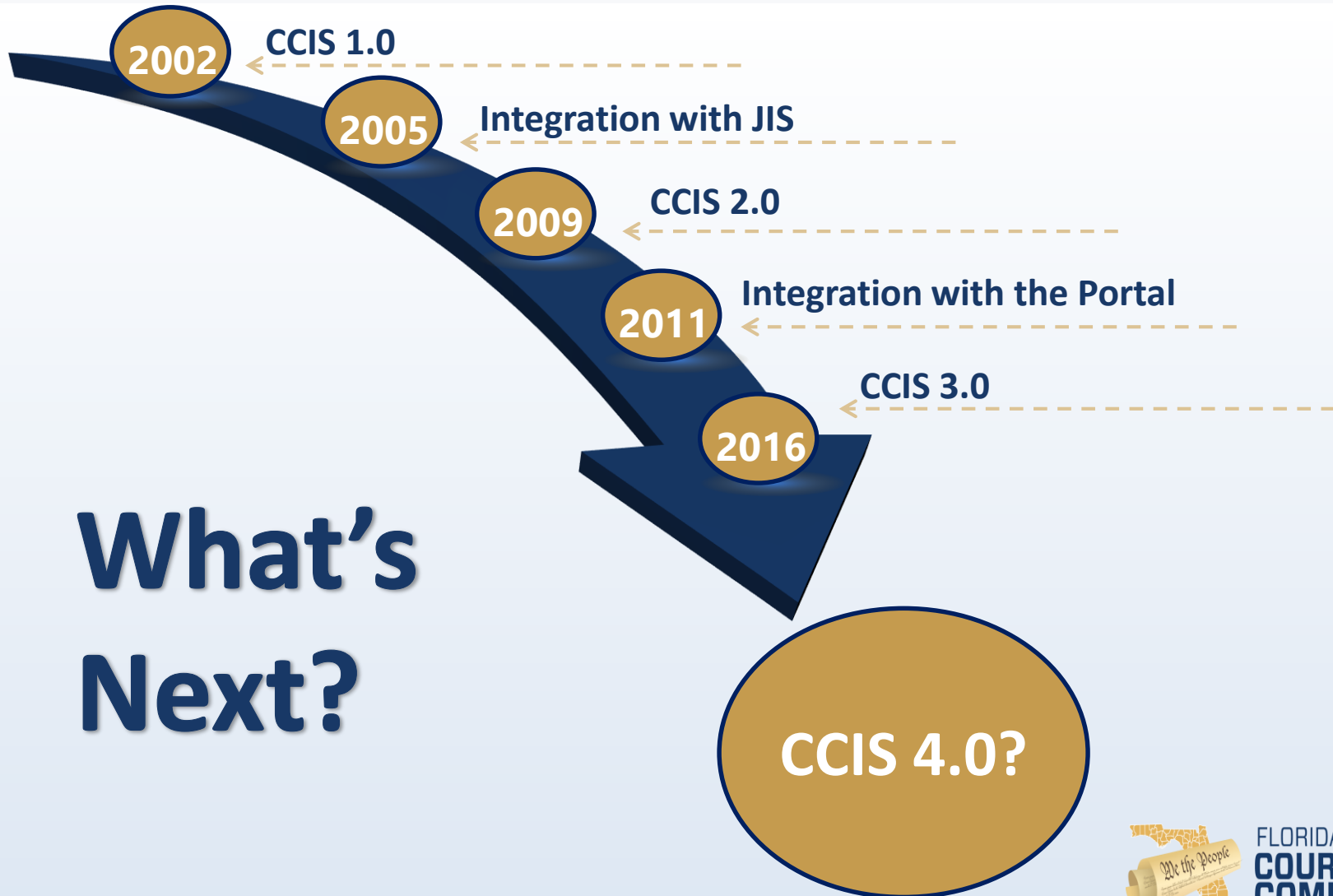
CCIS 3.0 NIEM Award

CCIS Future Direction

2002 — CCIS 1.0

2005 — Integration with JIS

2009 — CCIS 2.0

2011 — Integration with the Portal

2016 — CCIS 3.0

What's Next?

CCIS 4.0?

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Clerk's 2017-19 I&T Strategic Plan

1 — **Digitize the Paper**
Filings, Files & Processes

2 — **Enhance CCIS**

3 — **Pilot Reporting & Analytics for Clerks**

4 — **Grow a Digital Framework for Clerks**

Clerks' Guiding Principles

Be accountable and service driven

Comply with Legislative, Judicial, State, and Federal mandates

Provide outstanding customer service

Promote collaboration, partnerships, transparency and information sharing

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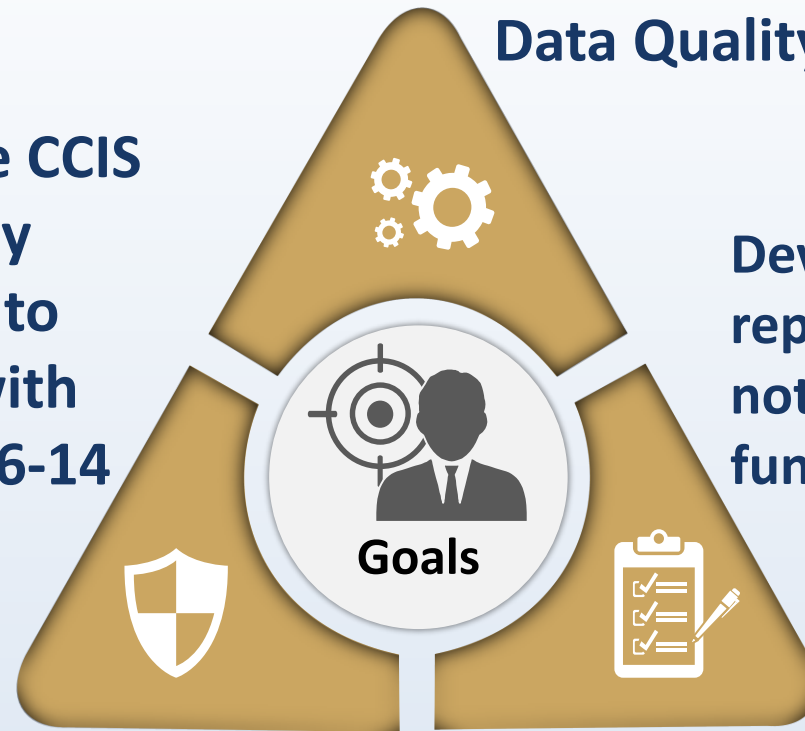COURT CLERKS & COMPTROLLERS

Enhance CCIS

2   **Enhance CCIS**

Implement a CCIS Data Quality Process

Update CCIS Security Model to align with AOSC16-14

Develop new reporting & notification functionality

Goals

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Enhance CCIS

2 CCIS Data

Validated

Available

Reliable

Audited

Secure

Goals

Enhance CCIS

Create Savings & Efficiencies

Data Services for Justice Partners

State and other reporting for Clerks

Remaining CCIS 3.0 Implementations

Current CCIS Version	County	Implementation Status
2.0	Seminole	Final Testing in Progress
1.12	Brevard	Testing in Progress

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Impact of Noncompliant Counties

- Online Functionality Limited for 2 Counties
  - Data elements for display
    - Judge history tab
    - Reopen history tab
    - Attorney
    - Standard look for dockets/images
- Completion of several initiatives delayed
  - Access Security Matrix Implementation
  - Statewide Data Analytics/Reporting
    - New data elements
    - New record types
    - Code tables
- Recommendation: Set deadline of October 2017 for remaining 2 counties to comply with CCIS 3.0

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July Stats

Metric	Stat
Batch Records	147,889,793
Counties > 4% Data Error Rate	6
Counties > 4% Processing Error Rate	19
Statewide Error Rate	4.01%
Push XMLs	65,623,258
Counties > 4% Data Error Rate	0
Statewide Error Rate	0.25%
Duplicate XMLs	88.03%

CCIS Project List – In Progress

- Reconciliation of CCIS Judicial Caseload data with 67 counties' CMS data
  - Report specification completed and shared with CCIS Reports Workgroup and DQW Workgroup
  - CCIS development in progress
  - Limited CMS pilot to start
  - Statewide reporting and reconciliation deadline September
- Enhancement of standard CCIS Reports
  - Workgroup reviewing all CCIS Reports
  - Workgroup to make enhancement recommendations
- Enhancement of CCIS Audit Reports
  - Workgroup defining error messages
  - Workgroup making enhancement recommendations

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Reconciliation of CCIS and Local CMS Judicial Caseload Data

+ Pioneer Benchmark (PTG)
  + Flagler took lead in working with the vendor and conducted extensive testing
  + PTG will be notifying their counties early this week
  + Escambia developed the report and has almost completed reconciliation
+ Tyler Odyssey
  + Lee county to have CMS report completed by 9/1/17 to be shared with the other Odyssey counties
+ Clericus
  + CiviTek developed the report and completed comparison reports
+ Aptitude Showcase
  + Showcase has developed and sent the report to their counties
  + Collier is completed the comparison report
+ Polk, Alachua, Gadsden, and Volusia - reporting is in progress
+ Unknown – Brevard and Seminole

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CCIS Project List – In Progress

- Enhancement of Judge Code Maintenance
  - Developed Judge Code Maintenance Process documentation
  - Began developing Florida Bar Judge Name standardization / drop down list for mapping
  - FCCC available to assist with manual refresh of Judge Names

- Business Intelligence (BI)
  - Grant submitted by FDLE for approval
  - Piloting BIRT and Pentaho software for comparison

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Additional CCIS Projects – In Progress

+ **Data Quality Workgroup (DQW)**
  + Dissolution of (DQW)
    + Short-term Goals Completed – Judicial Caseload Activity Report, Judge Code Maintenance, and CCIS Audit recommendations
+ **Data Quality Management (DQM)Program**
  + New Chair, Paul Jones, Palm Beach Clerk
  + Documenting DQM Framework and Charter
  + Three (3) Workgroups
    + Data Quality Workgroup
    + Reporting Workgroup
    + Security Workgroup

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CCIS Project List – In Progress

- Implementation of CCIS 3.0 for remaining counties

- Alignment of CCIS Security Model with AOSC16-14

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Alignment of CCIS Security Model with AOSC16-14

- The Scope of the Project:
  - Validate the existing CCIS Security Model against the AOSC16-14 Access Security Matrix and define strategies to accommodate gaps
  - Recommend policy changes, if applicable, to the CCIS Committee and Access Governance Board
  - Define the CCIS VOR and Redaction process
  - Create a conversion process to convert existing active CCIS user security profiles to the new security model
  - Define new user authorization processes
  - Analyze and update the CCIS Security Administration functionality to handle new roles and processes
  - Collaborate with counties and CMS vendors
  - Create a Quality Assurance (QA) process to test and validate the new security model
  - Train CCIS Security Administrators and authorized users on the new security model

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Alignment of CCIS Security Model with AOSC16-14

- Proposed Viewable on Request (VOR) Process
  - Web service architecture to facilitate the VOR process in CCIS
    - Modification to the CCIS Docket Pull Service providing an image security code in CCIS representing the image privacy as dictated by the AOSC16-14 Access Security Matrix
    - Implementation of a web service method in local CMS
    - User can request notification of VOR process completion
    - User's request will call local web service method and set the image security to "VOR Pending".
    - After county completes VOR process, and mark the image "Public" making the image available for viewing in CCIS

Alignment of CCIS Security Model with AOSC16-14

- CCIS Committee – under review
  - Add three (3) new CCIS user roles
    - Attorney of Record
    - Registered User
    - Party Access
  - Exclude Public Anonymous users

- Future Considerations
  - Re-authorization / new agreement process for existing users
  - Notarized agreements for current and new users

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CCIS Project List - Future

- CCIS Future Projects
  - Development of automated data quality tool for CCIS data and CMS data
  - Development of automated process with FDLE to report records to MECOM
  - Development of automated process with the Justice Administrative Commission (JAC) for court-appointed attorneys
  - Development of support data reports for Clerk and CCOC initiatives

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CCIS Project Update