

# SUPPLIER AUDIT MANAGEMENT

WHERE IS YOUR FOXHOLE?

May, 2018

# INTRODUCTION

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- Senior Quality Auditor, Bausch + Lomb, Clearwater
- Today's presentation



Sir, Where is  
my foxhole?



You're  
standing on it!

# WHY A FOXHOLE?

- Manage risk
- Drivers
  - Regulatory Requirements-Changes
  - Business Case
- Confirm QMS is **effective**
- Building partnerships with supplier

# SURVEY THE LANDSCAPE

## Survey the Landscape



## Scheduling

- Risk of material/service
- Location
- Timing/Frequency:
  - Routine surveillance
  - Qualification
  - For cause
  - Geography
  - Other partners
  - Supplier's availability

# PLAN THE DIG

## Plan the Dig



## Audit Plan

- Audit purpose
- Audit scope
- Audit criteria
- Audit participants
- Time constraints
- Product/service issues
- Process complexities

# SELECT THE TOOLS

## Select the tools



## Audit Inputs

- Quality agreements
- Specifications
- Prior audit reports
- Supplier metrics
- Changes at supplier
- External info
- Standards/Regulations
- Supplier-provided info
- Supplier Inputs & outputs

# DIG EFFICIENTLY

## Dig Efficiently



## Perform Audit

- Starts prior to arrival
  - Request & provide info
  - Review inputs/tools
  - Review roles w/ team
- Opening Meeting
- Stick to plan & flexible
- Immediate feedback
- Closing meeting

# AFTER DIG/CONCLUSION

## After Dig/Conclusion



## Audit Follow-up

- Issue NC's to supplier
- CAPA Plans
  - Supplier & Internal
- Reports
- Verify Effectiveness

# HAPPY DIGGING!

