www.fpahouston.org

2015 Q4 Newsletter

Upcoming FPA Meetings & Events

2016 Chapter Meeting Dates

January 12	Junior League
March 1	Junior League
June 14	Junior League
August 9	Junior League
September 13	Junior League
November 8	Junior League

2016 FPA Houston Financial Planning Symposium

April 21- New Location!
Norris Conference Center

2016 FPA Breakfast Study Groups

Dates Coming Soon!

FPA National Events
April 25-28
FPA Retreat - Phoenix AZ

September 14-16
FPA Annual Conference
BE BALTIMORE 2016

2016 FPA Houston Season Tickets

Season Tickets Available for a Limited Time

The FPA Houston Season Ticket remains the best value ever! Pricing still remains the same as it was in 2008. This is a great way to attend the FPA Houston programs in 2016 at a significantly discounted price. Approximately 25+ CE credit hours can be earned throughout the year.

Season Ticket Package Options - Best Value!

- **FPA Professional Member Season Ticket Package: $325**
  Includes all chapter education programs and admission to the FPA of Houston Financial Planning Symposium - ***A savings of $200+***

- **FPA Member Season Ticket: $175**
  Season Ticket Package includes all chapter education programs -
  A savings of $150+

Season ticket sales will end on 3/31/16. To purchase a Season Ticket or renew online, visit FPAHouston.org; click the Members Area dropdown menu; select 2016 FPA Season Ticket. FPA Members must log in with name and password gain access. Season tickets apply to the 2016 calendar year.

Free Internship Postings in CFP Board's Career Center!

Post your internship between now and December 31, 2015, and it will run for 90 days at no charge. (See "Internship Guidelines" in in the Resources for Employers area.) For more details, contact CareerCenter@cfpboard.org.

careers.cfp.net/employers/

FPA Houston Financial Planning Symposium

NORRIS CONFERENCE CENTER I APRIL 21, 2016 I HOUSTON, TX

New Location!

Norris Conference Center

803 Town & Country Blvd., #210

Houston TX 77024

norriscenters.com/houston-citycentre

2016 Symposium…
A tradition like no other

The FPA Houston Financial Planning Symposium is designed for all financial planning industry professionals. More than 10 educational sessions will be available, most of which qualify for Continuing Education credit.

This premier Conference has a national reputation for providing knowledgeable inspiring speakers and excellent networking opportunities for the more than 200 financial professionals who attend. Attendees will have the opportunity to meet face-to-face with leading industry professionals and visit with over 25 event exhibitors.

FPA 2016 Highlights

- Top speakers in the nation! Industry expert keynote and breakout presentations on financial planning topics across all areas
- Over 25 companies in the exhibit hall displaying the latest products and resources to support your practice
- A flexible schedule that allows you to customize your learning experience based on your needs and schedule
- Regarded as one of the best financial conferences in the country….and it's right in your own backyard
- Tons of continuing education
- Networking with top financial planners and industry vendors for new friendships and partnerships!

FPA Houston Career Center

Your destination for exciting Financial Planning job opportunities and the best resource for qualified candidates within the Financial Planning Industry.

Searching for a job within your industry?

We feature various Financial Planning jobs.

Looking to fill a position?

This job board is custom tailored for the Financial Planning industry, which means we attract the most qualified professionals in Houston.

http://fin.tx.hou.associationcareernetwork.com

FPA Houston wishes you a joyous Thanksgiving and a Happy Holiday Season!

An Investment With a Return

CFP® Certification Education Program

Learn more about our offerings during an information session. Spring 2016 registration is now open.

The Rice University CFP® Certification Education Program – available in classroom and online formats – teaches the core curriculum necessary to take the CFP® certification exam. Our comprehensive program includes a financial plan development (capstone) course, fulfilling the educational requirements to sit for the CFP® exam. Students successfully completing the course will have the opportunity to earn a Rice University Certificate of Achievement.

Classroom Format

Section Dates: Tuesdays and Thursdays between January 7 and December 15, 2016, 6-9 p.m.

Fee: If registering by December 2: $4,675   After December 2: $4,975

CEUs: 22.8

Register Today

Online Format

To be offered fall 2016

Rice University

Susanne M. Glasscock School of Continuing Studies

glasscock.rice.edu/cfp

david.frels@rice.edu

713-348-6167

RICE

Susanne M. Glasscock School of

CONTINUING STUDIES

FPA Houston Past President's

The FPA of Houston would like to thank all of our past, present and future Presidents for their leadership and direction of the Houston chapter. A special gratitude also goes out to all of the past presidents of the IAFP and ICFP, prior to the FPA in 2000.

FPA Houston Past Presidents, since 2000:

2000	Alan Trott
2001	Scott Wallace, CFP®
2002	Paul Palmer, CFP®, MBA
2003	Donnie Roberts, CFP®
2004	Les E. Vicain, Jr., CFP®
2005	Paige Guinn, CFP®
2006	Shannon J. Pike, CFP®
2007	Gary Houle, CFP®
2008	Shannon J. Pike, CFP®
2009	Andrew Gardener, CFP®
2010	Cole Campbell, CFP®
2011	W. Ben Keel, III, CFP®, CLU, ChFC, CFEd®
2012	W. Ben Keel, III, CFP®, CLU, ChFC, CFEd® & Kurt Box, CFP®, AIF, MS
2013	Kurt Box, CFP®, AIF, MS
2014-15	Michael Misner, CFP®, MBA, CLU, ChFC, CRPC, APMA, CMFC

Future FPA Houston Presidents

2016	Ben Simiskey, CFP®, CPA/PFS
2017	Adam Frinsco, CFP®

Contact Us

FPA HOUSTON

P.O. Box 261690

Plano, Texas 75026-1750

713.518.1785

execdir@fpahouston.org

www.FPAHOUSTON.org

Shannon J. Pike, CFP® Voted 2016 President-Elect of the Financial Planning Association

DENVER - The Financial Planning Association® (FPA®) today announced that the Board of Directors has elected Shannon J. Pike, CFP® as the 2016 FPA President-Elect for a one-year term. Pike's term will begin on January 1, 2016, succeeding incoming 2016 FPA President Pamela Sandy, CFP®.

With more than 20 years of experience in the financial services industry, Pike is Vice President and Senior Financial Advisor at Asset Management Advisors in Houston, TX, a firm specializing in tax-efficient wealth management for individuals, families, trusts and foundations.

Pike was a member of the board of directors with FPA of Houston for six years, which included stints as the chapter's membership director, president and chair. Additionally, Pike has served on several FPA national task forces including the Leadership Advisory Council, Practitioner Advisory Council, the Heart of Financial Planning Task Force, and chaired the former FPA Chapter Leadership Advisory Council (CLAC) in 2011.

Pike holds a bachelor's degree from the University of Illinois in Consumer Economics with a concentration in financial planning, and a banking degree from the American Institute of Banking. He earned the Certified Financial Planner™ (CFP®) marks in 2002 after completing studies at the C.T. Bauer College of Business at the University of Houston.

The FPA Board of Directors is responsible for setting the vision and strategic direction for the association, using FPA's core beliefs as the key filters for making decisions in a fiduciary capacity on behalf of members and constituents.

The 2016 Board will be comprised of 15 total members, which includes the three newly elected members and FPA Executive Director/CEO Lauren M. Schadle, CAE.

2016 FPA Houston Board Elected

The slate of candidates proposed to serve as the officers and directors of the Financial Planning Association of Houston for 2016 was recently elected by the chapter members as follows. FPA Houston wishes to thank each of these individuals for their willingness to serve our organization and wish them well as they assume their roles in 2016.

2016 FPA HOUSTON BOARD OF DIRECTORS	
Chairman	Michael Misner, CFP®, CLU, ChFC, CRPC, APMA, CMFC, MBA Ameriprise Financial Services
President	Ben SImiskey, CFP®, CPA/PFS PLS Advisory, LLC
President Elect	Adam Frinsco, CFP® Cypress Advisory Services, Ltd.
Membership Director	Natalie Navellier Touchstone Investments
Education/Programs Director	Will Goodson, CFP® Financial Synergies Asset Management
Symposium Directors	Matt Caire, CFP®, CMT Houston Trust Company & Lindsey McCarron
Corporate Partnership Director	Carrie Ousley Hub International Personal Insurance
Technology Director	Frank Marrone, CFP®, CRPC Fieldstone Financial
Pro Bono Director	J. Don McCurdy Independent Financial Planning

We Need You!

If you have an interest in serving on the FPA Houston Board of Directors and are interested in any of the following positions contact FPA Houston at execdir@fpahouston.org.

2016 committee positions for all areas are also available.

Houston NexGen

Secretary

Treasurer

Technology

Public Relations

Allied Professionals

Advocacy

Do1Thing. One Thing Leads to Another.

FPA Houston Member Anniversaries

Congratulations to the following Houston chapter members who are celebrating significant FPA Membership Anniversaries in...

OCTOBER - NOVEMBER - DECEMBER

Welcome New Members

Jaqueline Branda, CFP®
Branda Financial Services

Chelsey Coyne
R.M.D. Financial Group

Skyler Denny

Shelitha Ferraro

James Hall
Crystal & Co

Byron Haney
Brazos Valley Financial
Fitness Center

Peter Hans
Harvest Exchange Corp.

Austin Lankford

Robert Markle, CFP®
Principal Financial Group

Jeanette Mattiza, CFP®
UBS Financial Services

Keith Mitcham, CFP®, ChFC, MS
Strategic Financial Advisors

Natalie Navellier
Touchstone Investments

Thomas Orr
Georgetown Mortgage Company

Jason Powell, CFP®
JP & Associates

Chad Rippy

Monica Santiago, CFP®
Fidelity Investments

James Starnes
MetLife

Jonathan Swanburg, J.D., CFP®
TriStar Advisors

Dwaine Thompson
Peoples Choice Wealth
Solutions

Taylor Thorne

Madison Toughi
UBS Financial Servies

20 Years

Michael Booker, CFP®
Financial Synergies Asset
Management

Edwin Langley, CFP®, CPA/PFS
Lanley, O'Grady & Associates

Rasesh Shah, CFP®, CPA

Loyd Stegent, CFP®, CPA/PFS
Stegent Equity Advisors, Inc.

15 Years

David DeRoode, CFP®
Professional Practice Capital, LLP

Thomas Devine, CFP®
Devine Lending

Karen Ho, CFP®
Nationwide Financial Network

John Null, CFP®
Whitley Penn LLC

Geoffrey Oshman, CFP®

10 Years

Susan Dingle, CFP®, ChFC
Aventura Partners

Craig Parks, CFP®, CRPC®
Houston Wealth Management

Kevin Pickett, CFP®
M.D. Anderson Cancer Center

5 Years

Aaron Hansz, AIF, CRPC
Doulos Wealth Management

David Hill, CFP®
Aventura Retirement Income Partners

Craig Miller, CFP®

Personal Insurance Risk Management

Most families with substantial assets know that they have serious exposures to financial and personal risk. HUB International is a leading insurance broker who is committed to helping you understand and manage the full scope of that risk.

- We specialize in offering solutions to the unique insurance needs of high-net-worth clients
- Licensed in all 50 states and offer a one insurance broker solution for multiple state exposures
- One of the largest privately held personal insurance practices in the U.S.
- Representing the nation's finest insurers, including Chubb, PURE, AIG, ACE and Safeco
- Broad coverage for luxury homes, automobiles, valuables and personal liability insurance
- Specialty coverage for vacation homes, jewelry, fine art, wine, yachts and other unique coverage needs such as family offices, domestic staff EPLI and Kidnap & Ransom

For a confidential review, contact HUB International Personal Insurance

Carrie Ousley, CIC, CISR | Private Client Advisor

HUB International Personal Insurance

Direct Phone 832.681.5020 | Mobile: 281.224.0762
Toll-Free: 800.257.6576 | Fax 832.681.5025
Carrie.ousley@hubinternational.com

Personal Trust Services

Make Sure Your Trustee is Up to the Task

Choosing a trustee is a critical decision in estate planning. It's crucial your designee understands and can perform the important—and, often, complex—responsibilities of a trustee, such as:

- Making distributions as outlined in the trust document
- Properly accounting for all assets and transactions in compliance with state law
- Reviewing and filing all required income tax returns
- Implementing fiduciary duties by remaining impartial toward the beneficiaries, loyal to the trust, prudent with regard to investment management and accountable to the beneficiaries

Choose a Professional Trustee

Naming a friend or family member as your trustee may seem like a simple solution, but often it's not the best solution. Failure to perform any of the trustee's duties properly could lead to a "breach of trust" charge against your friend or family member. This may result in their removal and/or financial liability. In addition, most individuals lack the expertise and experience to serve as trustee. Given the extent of the responsibilities involved and the potential liability in the event of an error, selecting a professional trustee may be a better alternative.

Choose Bank of Texas

When you select Bank of Texas as your trustee, you'll enjoy a full range of professional services delivered locally and with an emphasis on personal attention and service. Specifically, we offer:

- Experienced, non biased, dedicated and accessible trust officers working in our local offices, with no impersonal "call centers"
- Close communication with your trusted advisor while they continue to manage the trust's financial assets
- Management expertise in oil and mineral assets for the trust
- Our own team of real estate, tax and mineral managers, eliminating the need to outsource these functions

What's more, the cost associated with hiring a professional trustee may be less than you expect. Bank of Texas has been serving clients as trustee or co-trustee since 1918. Our commitment to these responsibilities has never been stronger, as evident by the growth of our trust assets and our professional staff. Before burdening a friend or family member by naming them as trustee, consider appointing Bank of Texas as your professional trustee. Our experienced team will be there when needed with the skills, expertise and capabilities to fulfill the trustee's duties—all delivered with a level of personal attention and service we believe is unmatched in the industry.

Advisor Trust Services

Rosemary Hueser

Vice President, Manager of Advisor Trust Services

E-Mail I rhueser@bokf.com

Toll Free I 888.957.6678

BANK OF TEXAS

FPA HOUSTON DOUBLE PLATINUM PARTNER

equinox funds

PLATINUM LEVEL PARTNERS

HUB International Personal Insurance

Texas Advantage Insurance Brokerage

Ameriprise Financial

Salient

GOLD LEVEL PARTNERS

Morningstar

Cohen & Steers

First Trust

Scottrade Advisor Services

Walton Investing on Solid Ground

Legg Mason Global Asset Management

PPCLOAN

SEI New ways. New answers.

SILVER LEVEL PARTNERS

Allianz

Aon

BlackRock

Bank of Texas Advisor Trust Services

Franklin Templeton Investments

Frost Investment Advisors

charles schwab Own your tomorrow

Keeley funds

Jensen Investment Management

Touchstone Investments Your Active Share Partner™

Vanguard

Texas Tech University Personal Financial Planning

Steben & Company

Pioneer Investments

Thank You to our 2015

FPA Houston Corporate Partners