



FWA News & Events

October 03, 2019

NYC EVENTS

Banking Culture & Behaviours – Where Compliance, Ethics & Leadership Meet to Create Positive Change

Across the global financial world there has been a crisis of ethics and a need for a different type of compliance culture. This has been recognized by executives and workers, regulators and politicians, and by the public at large. Despite the resources, time and attention devoted to ethics and compliance within financial services, very little has changed. Join us as we will cover such topics as:

- **Global backdrop:** What are companies and regulators focusing on today around the globe to address these challenges- what is working and what isn't.
- **Incentives:** A crisis is a good catalyst for change, but it isn't enough to make the change last. Among the flurry of new policies, regulations, and leader messages, organizational habits and processes also need to be fundamentally changed. Changing incentive structures alone has indeed not proven to be effective to trigger meaningful lasting change.
- **Culture:** Don't make culture change the reason for a culture change. Articulate a rationale for change based on doing the best business for the long run.
- **Implementation pitfalls:** Make the vision real. Focus on specific behaviors and symbols to make the necessary behaviors tangible.
- **Developing talent:** Build in ways to reinforce the behaviors and turn them into sustainable habits.
- **How is the media and mass communication shaping ethics and compliance** in a globalized economy? What solutions are available to change the narrative?



Catherine Flax (*moderator*)
Advisor to Senior Executives
and Leadership Teams
Managing director, CRA, Inc.



Krisztina Anspach
Leader
Admired Leadership® | Asia



Terri Austin
Legal Analyst and Adjunct
Professor, University of
Southern California

Date & Time: Wednesday, October 16, 2019 | 12 Noon – 2:00 PM

Location: MUFG | 1221 Avenue of the Americas | NYC

Cost: \$30 FWA Members | \$40 Non-Members | **Refreshments included**

[Register Now](#)

Vision Lab: Empowering Yourself for Exponential Success

High achievers are driven to succeed, but success can hold you back from creating a future you really want – keeping you tethered to old ways of thinking and doing, blinding you to new possibilities.

A powerful creative space away from the daily grind, Vision Lab includes interactive tools and on-the-spot coaching. Dare to imagine your next big dream and then craft your next steps to making it real!



Kate Gardner
Advisor and Coach

This Vision Lab workshop will enable participants to:

- Create a powerful vision for their future -- using a proven technique;
- Identify the biggest obstacles to making that vision a reality and how they can overcome them;
- Craft next steps for implementing their vision.

Date & Time: Tuesday, October 29, 2019 | 5:30 PM – 8:00 PM

Location: BNP Paribas | Ninth Floor Client Center | NYC

Cost: \$25 FWA Members | \$50 Non-Members | **Refreshments included**

[Register Now](#)

REGIONAL EVENTS

FWA-NJ: Meet & Mingle

The FWA-NJ meet and mingles are always popular events. The FWA-NJ community attracts like-minded women interested in expanding their networks as well as supporting other women.



Invariably attendees come away with new connections, ideas to advance their careers, and industry intelligence. It's a terrific way, in a relaxed and informal setting, to invest in yourself.

FWA Meet & Mingles are popular! Be sure to put it on your calendar and join us!

Date & Time: Thursday, October 24, 2019 | 5:30 PM – 7:30 PM

Location: Delta Hotel | 80 Allen Road | Basking Ridge, NJ 07920

Cost: None, attendees purchase their own beverages and food

FWA-LI: My Journey to CEO

Join the FWA at Hofstra University and receive essential business advice from Karyn Schoenbart, CEO of NPD Group, a large marketing research firm whose clients are top Fortune 500 retailers and manufacturers. Karyn, a wife, mother and author, who has led NPD's strategic expansion through the introduction of analytics solutions and new type of data, will share her own story during her presentation.



Karyn B. Schoenbart
CEO, The NPD Group

Following her presentation, there will be Q&A and a signing of Karen's best-selling book titled **Mom.B.A. – Essential Business Advice from One Generation to the Next**. Books will be available and distributed to all attendees free of charge on a first come, first serve basis. NPD Group is located in Port Washington, NY.

Date & Time: Monday, October 28, 2019 | 5:30 PM – 8:00 PM

Location:

Hofstra University Club | 225 Hofstra University Blvd. | Hempstead, NY 11549

Cost:

\$35 FWA Members | \$50 Non-Members | \$15 Hofstra Faculty and Staff and Non-Hofstra students | Free, Hofstra students | **Refreshments included**

Register Now

PRESIDENT'S CIRCLE NEWS

Our President's Circle Members publish award-winning white papers, receive industry recognition for their innovative programs and generous community support.



BMO Capital Markets to Donate C\$1.6 Million Following Equity Through Education Trading Day, Celebrating 15 Years and Over C\$21 Million in Funds Raised – **BMO Capital Markets**

LPL Financial Technology and Diversity Efforts Earn Industry Recognition – **LPL Financial**

Industry Executives Confident About Diversity, Inclusion – [S&P Global](#)

WOMEN IN THE NEWS

19 of the Most Powerful Women in Global Finance – [BUSINESS INSIDER](#)

"Make Yourself Uncomfortable:" Best Buy's Corie Barry's Advice to Women in Business– [CNBC](#)

10 Powerful Women in Finance Share Their Ideas on Gender Parity – [ENTREPRENEUR](#)

Three Tips to Improve Your Success as a Female Entrepreneur – [FORBES](#)

What Happens to Women After #MeToo Claims: The Broadsheet – [FORTUNE](#)

3 of the Most Common Challenges Women Face in Negotiations – [HARVARD BUSINESS REVIEW](#)

4 Steps to Enhance Workplace Diversity – [INC](#)
New Research on How We Teach STEM is Promising – [FORBES](#)

AMAZON SMILE NEWS

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- View Settings and select AmazonSmile.
- Follow the in-App instructions to complete the process.

If you do not have the latest version of the Amazon Shopping App, update your App. [CLICK HERE](#) for instructions.

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-- Contact Us at --

fwamembership@fwa.org

The FWA: Accelerating the Leadership and Success of Women across the financial community in all industries, by advancing careers, fostering alliances and preparing the current and next generation of professionals.

