

How to Perform Control Adequacy Evaluations (PCAE) **NEW**

DAY/S: 2

CPD: 16

LEVEL: 1,2,3,4

TECHNICAL SKILLS



COURSE CONTENT

To determine whether control systems are adequate to minimise or manage the risks.

Identifying and analysing the relevant risks and the accompanying controls to validate whether the controls linked to the risks are adequate in order to manage the risks. This step is performed during the planning phase and provides guidance as to whether the audit should continue as an assurance engagement or whether the assignment should be redesigned as a consulting engagement.

Participants will be provided with the essential tips on how to evaluate the adequacy of controls during the planning phase of the audit.

Working through case studies, within a group format.



COURSE OBJECTIVE

- Course Content using available technological resources.
- Determine the extent and types of controls being used by the client.
- Determine which of the key controls the auditor intends evaluate further
- Based on the first two steps, determine which audit procedures should be expanded or reduced.
- Providing workable solutions to audit clients.

All participants will be provided with a case study and templates for the evaluations.