Tools & Techniques for the Internal Auditor - Block 1 (TTB1)

Any person entering internal audit should be aware of the essential elements of internal auditing.

TOOLS AND TECHNIQUES SERIES.

There are four tools and techniques block courses - together they provide a fully comprehensive exposure to the discipline of internal auditing. Please note that each successive block course presupposes knowledge and understanding of the information presented in the previous block course.

COURSE BENEFIT

Upon completion of this course, the first of a series of four tools and techniques blocks, participants will have a comprehensive understanding of the essential elements of internal auditing.

COURSE CONTENT

- Why are we here?
- What is Internal Audit?
- Different types of auditors
- Roles and responsibilities of internal audit
- Standards for the Professional Practice of Internal Audit
- The Basic Audit: Logic Sequence
  - Planning
  - Understand the auditee
  - Set the audit objectives
  - Determine the required evidence
  - Decide what audit procedures to use
  - Collect and analyse the audit evidence
  - Develop audit findings and conclusions
  - Report on the audit
- Planning and preliminary survey
  - Establishing audit objectives
  - Work program development
  - Understanding the customer
- Overall concepts of risk and control strategies
- Adequacy, Efficiency
  - System descriptions
  - Flow-charting
  - Benchmarking
- Effectiveness
  - Effective Audit Sampling
  - Evaluating results of testing, and generating findings
- Audit evidence
- Working papers
- Audit report procedures
- Follow up
- The internal auditor and fraud
- The concept of Control Self-Assessment
- What did we learn?
- The components of controls in an organisation
- Using COSO as an Audit Framework
- COSO ERM as a Risk Management Framework
- Comprehensive case study

WHO SHOULD ATTEND?

Persons entering the internal audit profession or those who have been practicing internal audit, but require introductory level training on internal auditing.

A 10% group discount will apply to organisations placing a simultaneous booking for 2 or more registrants.

Please click here for general course information

Delegates are also requested to review the content and the levels of the courses presented before booking, to ensure they are attending the right course.