ANNUAL WASHINGTON CONFERENCE

MARCH 4-6, 2018

PROGRAM SPONSORS

MIZUHO | UBS

Trump International Hotel
1100 Pennsylvania Avenue, NW
Washington, DC
AGENDA

SUNDAY, MARCH 4
4pm-5pm: Registration

5pm-7pm: Welcoming Reception
Lincoln Library
Sponsored by

MONDAY, MARCH 5
7:30am-8:30am: Continental Breakfast
Sponsored by

8:45am: Chairman's Welcome
Angelo Aldana, Managing Director
Mizuho Americas

CEO's Remarks
Sally Miller, Chief Executive Officer
Institute of International Bankers

9am-9:30am: Opening Keynote Address
Craig Phillips, Counselor to the Secretary
Department of the Treasury

9:30am-10am: The Honorable J. Christopher Giancarlo
Chairman
Commodity Futures Trading Commission

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MONDAY, MARCH 5

10am-10:30am: Coffee Break
Sponsored by

10:30am-11am: Andreas Dombret
Member of the Executive Board
Deutsche Bundesbank

11am-12noon: 2018 Elections
Sponsored by
Charlie Cook, Editor and Publisher
The Cook Political Report

12noon-2pm: Reception and Luncheon
Sponsored by
Keynote Luncheon Speaker
The Honorable Randal K. Quarles
Vice Chairman for Supervision, Federal Reserve Board

2pm-2:45pm: “Fireside Chat”
Bharat Masrani
Group President and CEO, TD Bank Group
with Glenn Gibson
Vice Chairman, TD Securities USA

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MONDAY, MARCH 5

2:45pm-3:15pm: Morris Morgan
Senior Deputy Comptroller of the Currency

3:15pm-3:45pm: Paul Atkins
Chief Executive, Patomak Global Partners, LLC
Former Commissioner, Securities and Exchange Commission

3:45pm-4pm: Coffee Break
Sponsored by

4pm-4:30pm: The Honorable Maria Vullo
Superintendent
NYS Department of Financial Services

4:30pm-5:15pm: Panel Discussion:
Impact of the New Tax Law on the Economy and Financial Markets
Steven Ricchiuto (moderator)
Chief Economist, Mizuho Securities USA

Martin Neil Baily
Bernard L. Schwartz Chair
Economic Policy Development
The Brookings Institution

Stan Veuger
Resident Scholar
American Enterprise Institute
MONDAY, MARCH 5
6:30pm-10pm
Conference Reception and Dinner
Smithsonian National Museum of Natural History

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Deloitte  EY  KPMG  PwC

*There will be round-trip shuttle service provided for Conference attendees between the hotel and museum.

TUESDAY, MARCH 6
8AM-9AM: Continental Breakfast
Sponsored by

Grant Thornton

9am-9:30am: Keynote Address:
Rep. Blaine Luetkemeyer
Chairman
House Financial Institutions Subcommittee

9:30am-10am: Coffee Break
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#IIBAWC18
TUESDAY, MARCH 6
10am-11:30am: Panel Discussion:
Regulatory Issues of Interest to International Banks

Kwayne Jennings
Manager, Large and Foreign Banking Organizations Section
Federal Reserve Board

Vance Price
Deputy Comptroller for International Banking
Office of the Comptroller of the Currency

Heather Seidel
Chief Counsel, Division of Trading and Markets
Securities and Exchange Commission

Matthew Kulkin
Director, Division of Swap Dealer and Intermediary Oversight
Commodity Futures Trading Commission

Derek Bush (moderator)
Partner
Cleary Gottlieb Steen & Hamilton LLP

11:30am: Adjournment

Thank you for attending and we hope to see you again soon!
SPEAKER BIOGRAPHIES (IN ALPHABETICAL ORDER)

Angelo Aldana, Managing Director
Mizuho Americas

Angelo Aldana is a Managing Director of Mizuho Americas, and of Mizuho Bank (USA). His responsibilities include oversight of legal, compliance, risk, corporate governance and regulatory matters for Mizuho’s operations in the Americas, including overseeing Mizuho’s relationship with the FRB, FRBNY, SEC and NYDFS.

Mizuho Financial Group has a global banking and investment banking business with operations in the Americas with offices in New York, Chicago, Los Angeles, San Francisco, Houston, Atlanta, Mexico City, Sao Paulo and Toronto.

Mr. Aldana has over 25 years’ experience in banking and finance, covering diverse commercial and investment banking businesses, including M&A, private equity, trading and derivatives, fixed income, equity capital markets and investment advisory as well as regulatory and compliance areas, including AML, OFAC and BHCA. Prior to joining Mizuho, Mr. Aldana worked at Citibank, N.A., International Corporate Finance Division in NYC, and prior to that he worked at Simpson Thacher & Bartlett in NYC.

He received a JD from Columbia Law School where he was a Harlan Fiske Stone Scholar and an Editor of The Transnational Law Journal.

Mr. Aldana is Chairman of the Board of the Institute of International Bankers, a national organization representing the interests of internationally headquartered institutions from over 35 countries. He also serves as the foreign bank representative on the NYS Charter Advisory Board under appointment by the NYSDFS Superintendent.

Paul S. Atkins, Chief Executive
Patomak Global Partners, LLC

Paul Atkins founded Patomak Global Partners, LLC in 2009 and serves as the chief executive officer.

At Patomak, Mr. Atkins leads client work for financial services firms regarding an array of issues, including regulatory requirements, investigating and improving the effectiveness of compliance systems, and designing and implementing compliance policies and procedures. Mr. Atkins regularly serves as an independent compliance consultant and a court-appointed monitor in federal settlements.
Since 2017, Mr. Atkins has led industry efforts to develop best practices for digital asset issuances and trading platforms as co-chair of the Token Alliance, an initiative of the Chamber of Digital Commerce. In 2017, Mr. Atkins served as a member of the President’s Strategic and Policy Forum advising on financial markets regulation and economic policy, and he led the President-elect’s transition team for independent financial regulatory agencies.

Prior to founding Patomak, Mr. Atkins served as a Commissioner of the U.S. Securities and Exchange Commission, 2002-2008. From 2009 to 2010, he was appointed by Congress to serve as a member of the Congressional Oversight Panel for the Troubled Asset Relief Program (TARP). He started his public service work in 1990, serving on the staffs of SEC chairmen Richard C. Breeden and Arthur Levitt as chief of staff and counsel, respectively, until 1994. In private practice, Mr. Atkins was a partner of PricewaterhouseCoopers and predecessor firm Coopers & Lybrand. Mr. Atkins began his career as a lawyer with Davis Polk & Wardwell in New York.

Mr. Atkins received his A.B., summa cum laude, Phi Beta Kappa, from Wofford College and his J.D. from Vanderbilt University School of Law.

Martin Neil Baily, Bernard L. Schwartz Chair, Economic Policy Development
The Brookings Institution


Baily re-joined Brookings in September 2007 to develop a program of research on business and the economy. He is studying financial regulation, and productivity growth. He is a Senior Advisor to the McKinsey Global Institute and to the Albright Stonebridge Group. He is also an advisor to View from the Peak. Baily is the co-chair of the Financial Regulatory Reform Initiative of the Bipartisan Policy Center, and a member of the advisory panels of the Committee on Economic Development, and Macroeconomic Advisers.

In August 1999 Dr. Baily was confirmed as Chairman of the Council of Economic Advisers. As Chairman, Dr. Baily served as economic adviser to the President, was a member of the President’s Cabinet and directed the staff of this White House agency. He completed his term as Chairman on January 19, 2001. Dr. Baily previously served as one of the three Members of the President’s Council of Economic Advisers from October 1994 until August 1996.
Baily was a member of the Squam Lake Group of financial economists and a Director of The Phoenix Companies of Hartford CT from 2005-16. He was an adviser to the Congressional Budget Office from 2006-09. Dr. Baily was a Principal at McKinsey & Company from September 1996 to July 1999. From 2001 to 2007 he was a Senior Fellow at the Peterson Institute where he published books on the European economy and on pension reform. Baily was the co-chair of the Taskforce on Financial Reform convened by the Pew Charitable Trusts.

Dr. Baily earned his Ph.D. in economics in 1972 at the Massachusetts Institute of Technology. After teaching at MIT and Yale, he became a Senior Fellow at the Brookings Institution in 1979 and a Professor of Economics at the University of Maryland in 1989. He is the author of many professional articles and books, testifies regularly to House and Senate committees and is often quoted in the press.

Derek Bush, Partner
Cleary Gottlieb Steen & Hamilton LLP

Derek M. Bush’s practice focuses on advising U.S. and international financial institutions and foreign sovereigns regarding the complete spectrum of U.S. bank regulatory matters and legislation.

Among other areas, this includes regulatory requirements imposed under the Dodd-Frank Act, restructurings, corporate governance and investments by and in banking organizations; corporate transactions, including mergers and acquisitions, asset sales, privatizations, capital markets transactions, joint ventures and strategic alliances; and internal investigations and in enforcement proceedings involving the U.S. federal banking agencies.

He represents clients before the Federal Reserve Board, Federal Reserve Banks, the Office of the Comptroller of the Currency, the Federal Deposit Insurance Corporation and the New York Department of Financial Services.

Derek joined the firm in 1995 and became a partner in 2003.
Charlie Cook, Editor and Publisher
The Cook Political Report

Charlie Cook is the Editor and Publisher of The Cook Political Report, and a political analyst for the National Journal Group.

In 1984, Charlie founded the independent, non-partisan Cook Political Report. Now, with a staff of six, it is a publication that the New York Times once said was “a newsletter that both parties regard as authoritative” while CBS News' Bob Schieffer called it “the bible of the political community.”

Al Hunt in the Wall Street Journal has referred to Cook as “the Picasso of election analysis.”

The late David Broder of The Washington Post, long considered ‘the Dean’ of the Washington press corps, once wrote that Charlie Cook is “perhaps the best non-partisan tracker of Congressional races.”

Charlie has appeared frequently on ABC World News Tonight, CBS Evening News, NBC Nightly News, and ABC’s This Week. Since the 1990s he has appeared over a dozen times on NBC’s Meet the Press. Over the years Charlie has served as an Election Night analyst for CBS, CNN and NBC and, since 1996, on NBC News Election Night Decision Desk in New York.

In 2010, Charlie was the co-recipient of the American Political Science Association’s prestigious Carey McWilliams Award to honor “a major journalistic contribution to our understanding of politics.” In 2013, Charlie served as a Resident Fellow at the Institute of Politics at Harvard’s Kennedy School of Government.

Andreas Dombret, Member of the Executive Board
Deutsche Bundesbank

Dr Andreas Dombret was born in the USA to German parents. He studied business management at the Westfälische Wilhelms University in Münster and was awarded his PhD by the Friedrich-Alexander University in Erlangen-Nuremberg. From 1987 to 1991, he worked at Deutsche Bank’s Head Office in Frankfurt, from 1992 to 2002 at JP Morgan in Frankfurt and London, from 2002 to 2005 as the Co-Head of Rothschild Germany located in Frankfurt and London, before serving Bank of America as Vice Chairman for Europe and Head for Germany, Austria and Switzerland between 2005 and 2009.
He was awarded an honorary professorship from the European Business School in Oestrich-Winkel in 2009. Since May 2010, he has been a member of the Executive Board of the Deutsche Bundesbank with currently responsibility for Banking and Financial Supervision, Economic Education, University of Applied Sciences and Technical Central Bank Cooperation, Risk Controlling and the Bundesbank's Representative Offices abroad. He is also responsible for IMF (Deputy of the Bundesbank), Financial Stability Commission (FSC) (Member), Supervisory Board (SSM) (Member), Basel Committee on Banking Supervision (BCBS) (Member of the Bundesbank) and Bank for International Settlements, Basel (Board of Directors).

J. Christopher Giancarlo, Chairman
Commodity Futures Trading Commission

J. Christopher “Chris” Giancarlo was unanimously confirmed as Chairman of the U.S. Commodity Futures Trading Commission by the U.S. Senate on August 3, 2017. Prior to becoming Chairman, Mr. Giancarlo was designated Acting Chairman on January 20, 2017 and was nominated by President Trump to serve as the Chairman on March 14, 2017 to a term that expires in April 2019. Mr. Giancarlo had served as a CFTC Commissioner since his swearing in on June 16, 2014, after a unanimous consent by the U.S. Senate on June 3, 2014. He was nominated by President Obama on August 1, 2013.

Before entering public service, Mr. Giancarlo served as the Executive Vice President of GFI Group Inc., a financial services firm. Prior to joining GFI, Mr. Giancarlo was Executive Vice President and U.S. Legal Counsel of Fenics Software and was a corporate partner in the New York law firm of Brown Raysman Millstein Felder & Steiner. Mr. Giancarlo joined Brown Raysman from Giancarlo & Gleiberman, a law practice founded by Mr. Giancarlo in 1992 following his return from several years in London with the international law firm of Curtis, Mallet-Prevost, Colt & Mosle.

Mr. Giancarlo was also a founding Co-Editor-in-Chief of eSecurities, Trading and Regulation on the Internet (Leader Publications). In addition, Mr. Giancarlo has testified three times before Congress regarding the implementation of the Dodd-Frank Act, and has written and spoken extensively on public policy, legal and other matters involving technology and the financial markets.

Mr. Giancarlo was born in Jersey City, New Jersey. He attended Skidmore College in Saratoga Springs, New York where he graduated Phi Beta Kappa with Government Department Honors. Mr. Giancarlo received his law degree from the Vanderbilt University School of Law where he was an associate research editor at the Vanderbilt Journal of Transnational Law and President of the Law School’s International Law Society. Mr. Giancarlo has been a member of the Bar of the State of New York since 1985.
Glenn Gibson, Vice Chair, US Region Head and Global Head of Credit Origination  
TD Securities USA

As Vice Chair, Regional Head for TD Securities USA Glenn is responsible for overseeing TD Securities business initiatives in the region, maintaining a business model with an effective governance, risk framework and culture and continuing to foster effective relationships with regulators. As Senior Vice President and Global Head of Credit Origination Glenn is responsible for the oversight of the Global Credit Capital Markets, Leverage Finance and Loan Sales, Trading & Research.

Glenn is a member of the TD Securities Global Executive Committee as well as a member of the combined US TD IHC Enterprise Risk Management Committee. He is an active member of the Executive Committee of the US Institute of International Banks and on its Board of Trustees.

Glenn has over 25 years of experience providing integrated relationship focused financial solutions and since joining TD Securities in 2000 has executed over $750 billion in debt and other related financings. Prior to joining TD Securities, Glenn was responsible for RBC Dominion Securities’ Global Project and Structured Finance Group covering Energy and Utilities, headquartered out of Calgary.

Glenn holds a B. Comm. (with great distinction) from the University of Saskatchewan.

Kwayne Jennings, Manager, Large and Foreign Banking Organization Section  
Federal Reserve Board

Kwayne Jennings is a manager in the Large and Foreign Banking Organizations section at the Board of Governors in Washington, DC, where he has responsibility for a number of large domestic banking organizations and a number of foreign banking organizations.

At the Board of Governors, Mr. Jennings has participated in a number of important initiatives for the Division of Banking Supervision and Regulation, including the development of the bank holding company rating system, implementation of the information security program, and the development of automated supervision tools. Mr. Jennings began his Federal Reserve career in 1989 at the Federal Reserve Bank of Chicago where he supervised large bank holding companies, state member banks, and U.S. offices of foreign banking organizations. Mr. Jennings holds a BBA in Finance from Loyola University of Chicago, an MBA from The George Washington University, and a Graduate Banking Degree from the ABA Stonier Graduate School of Banking.
**Matthew Kulkin**, Director  
Division of Swap Dealer and Intermediary Oversight  
Commodity Futures Trading Division

Matt Kulkin was appointed as Director of the CFTC’s Division of Swap Dealer and Intermediary Oversight (DSIO) in September 2017.

Prior to his appointment at the Commission, Matt was a partner in the Washington, DC office of Steptoe & Johnson LLP, where he advised financial market participants on legislative and regulatory issues related to banking, swaps, futures, securities, and capital markets.

Matt has written and spoken extensively about these issues, and previously served as the co-chair of the D.C. Bar’s Derivatives, Securitization and Project Finance Committee.

Before practicing at Steptoe & Johnson, Matt spent more than seven years at Squire Patton Boggs LLP. He earned his law degree from George Washington University Law School and his undergraduate degree from Tufts University.

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**Rep. Blaine Luetkemeyer**, Chairman  
House Financial Institutions Subcommittee


A native of St. Elizabeth, Missouri, and a graduate of Lincoln University in Jefferson City where he earned a degree with distinction in political science and a minor in business administration, Blaine and his family have lived in the District – where he operates a 160-acre farm -- for four generations.

Along with this strong agriculture background, Blaine has been a small businessman by working in the community banking and insurance industries. He also served as a State of Missouri bank regulator earlier in his career. From 1999 to 2005, Blaine was a Missouri State Representative and served as Chairman of the Financial Services Committee. After leaving office, Blaine was appointed by Governor Matt Blunt to serve as the Director of the Missouri Division of Tourism.
Blaine was first elected to Congress in November, 2008, and was re-elected in 2010, 2012, 2014, and 2016. In the current Congress, Blaine serves as a member of the House Financial Services Committee and is the chairman of the Financial Institutions and Consumer Credit subcommittee. In addition, Blaine serves as vice chairman of the House Small Business Committee.

In the 113th Congress, Blaine was ranked Missouri’s most effective lawmaker. The Legislative Effectiveness Project, run by professors at the University of Virginia and Vanderbilt University, measures how successful a given representative is at getting things done. The average score for any given member in the 113th Congress was 1.0. Blaine received a 2.344. In addition, Blaine was ranked 45th out of the 240 Republicans in the House of Representatives.

Blaine is a member of the Knights of Columbus, Eldon Chamber of Commerce, Missouri Farm Bureau, National Rifle Association and a lifelong member of St. Lawrence Catholic Church. Blaine and his wife, Jackie, have three children and five grandchildren.

Bharat Masrani, Group President and CEO
TD Bank Group

Bharat Masrani was appointed Group President and Chief Executive Officer of TD Bank Group on November 1, 2014. Bharat has more than 30 years of banking experience and is a member of the TD Bank Group Board of Directors. He most recently served as Chief Operating Officer for TD Bank Group from July 2013.

Bharat has held many senior executive roles across the bank. In 2006, he became the President of TD Banknorth, and in 2007, President and CEO. In 2008, he was named Group Head U.S. Personal and Commercial Banking and President and CEO of TD Bank, America’s Most Convenient Bank®. Previous to his role at TD Bank, he served as Vice Chair and Chief Risk Officer of TD Bank Group, a position he held from May 2003. Bharat also served as Senior Vice President and Chief Executive Officer of TD Waterhouse Investor Services in Europe; Senior Vice President, Corporate Finance and Co-Head in Europe; Vice President and Country Head for India; and Vice President and Head, Corporate Banking Canada. He began his banking career with TD Bank Group in 1987 as a Commercial Lending Trainee. Bharat received a Bachelor of Administrative Studies degree with Honours from York University in 1978 and obtained his Master of Business Administration from the Schulich School of Business, York University in 1979. He and his wife, Shabnam, currently make their home in Toronto and have two grown children.
Sally Miller, Chief Executive Officer  
Institute of International Bankers

Sarah (Sally) Miller is the Chief Executive Officer of the Institute of International Bankers. The IIB’s mission is to help resolve the many special legislative, regulatory, tax and compliance issues confronting internationally headquartered financial institutions that engage in banking, securities and insurance activities in the United States. Collectively, the U.S. operations of internationally headquartered banks contribute significantly to the U.S. economy and to the depth, liquidity and vitality of the U.S. financial markets.

Prior to joining the IIB on November 1, 2010, Sally was a Senior Vice President at the American Bankers Association charged with the responsibility for assisting member banks with legislation, regulations and other issues involving securities, trusts and investments. Sally also served as Executive Director and General Counsel for the ABA Securities Association (“ABASA”) and in that capacity focused on investment banking, derivatives and other similar bank capital markets activities.

In August 2011, Sally completed a three-year term as Chair of the American Bar Association’s Banking Law Committee. Previously, she had served as Vice-chair of the Committee and Chair of its Securities Subcommittee.

Prior to going to ABA, Sally served as Special Counsel in the Division of Corporation Finance and in the Office of the General Counsel at the Securities and Exchange Commission.

She received her Bachelors degree from Boston University and her J.D. from American University’s Washington College of Law. She is a member of the D.C. Bar.

Morris Morgan, Senior Deputy Comptroller  
Office of the Comptroller of the Currency

Morris Morgan is the Senior Deputy Comptroller for Large Bank Supervision at the Office of the Comptroller of the Currency (OCC).

In this role, Mr. Morgan directs nearly 700 men and women who supervise the country’s largest national banks and federal branches and agencies. He serves as a member of the OCC’s Executive Committee and the Committee on Bank Supervision. He also oversees the operations
of the agency’s International Banking Supervision group and the OCC's London Office. He assumed these responsibilities in December 2016.

During his OCC career, Mr. Morgan has served as Examiner-in-Charge (EIC) of Bank of America and PNC and served as Deputy Comptroller for Large Bank Supervision. He joined the OCC in 1985 and has since held a variety of positions in bank supervision, analysis, and policy as well as leadership roles covering capital markets, asset management, and commercial credit.

Mr. Morgan became a commissioned National Bank Examiner in 1989, and he earned the Chartered Financial Analyst designation in 1999. He holds a BBA in finance from Stephen F. Austin University in Nacogdoches, Texas.

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**Craig Phillips**, Counselor to the Secretary
Department of the Treasury

Mr. Phillips joined the Treasury in January 2017 and serves as Counselor to the Secretary. He assists the Secretary in a range of matters including domestic finance, domestic financial institution policy, housing finance policy, and regulatory reform.

From 2008 to 2017, Mr. Phillips served as a Managing Director and Member of the Global Operating Committee of BlackRock, Inc. Mr. Phillips was the global head of the Financial Markets Advisory Group in BlackRock Solutions which provided analytical and risk consulting services to a wide range of private and public sector organizations globally. Clients included central banks, banking supervisors and multi-lateral organizations around the world.

Mr. Phillips previously held leadership positions at Morgan Stanley and Credit Suisse First Boston where he managed global securitized product platforms.

Mr. Phillips holds a BA in Economics and Business Administration from Vanderbilt University.

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**Vance Price**, Deputy Comptroller for International Banking
Office of the Comptroller of the Currency

Vance S. Price is Deputy Comptroller for International Banking Supervision (IBS) in the Office of the Comptroller of the Currency (OCC).
In this position, Mr. Price is responsible for oversight and coordination of the OCC’s key international supervisory activities, including the agency’s Federal Branches and Agencies Program. IBS is part of Large Bank Supervision and serves as the primary point of contact for international banking supervisors, and as a clearinghouse for various supervisory information, bilateral meetings, and participation in international working groups. He assumed these responsibilities in December 2013.

Prior to this assignment, Mr. Price was one of two deputy comptrollers overseeing the OCC’s large bank portfolio. In this role, he was responsible for the supervision of 10 of the largest banks in the country, and globally. He also oversaw the Excel Program, which provides examiners accelerated training in specialized areas and sponsored the Large Bank Supervision Directors’ Conference.

Randal K. Quarles, Vice Chairman for Supervision
Federal Reserve Board

Randal K. Quarles took office as a member of the Board of Governors of the Federal Reserve System on October 13, 2017, to fill an unexpired term ending on January 31, 2018. He was sworn in as Vice Chairman for Supervision on October 13, 2017. His term as Vice Chairman for Supervision ends on October 13, 2021.

Prior to his appointment to the Board, Mr. Quarles was founder and managing director of the Cynosure Group, a Utah-based investment firm. Before founding the Cynosure Group, Mr. Quarles was a partner at The Carlyle Group, a private equity firm based in Washington, DC.

From September 2005 to November 2006, Mr. Quarles served as Under Secretary of the Treasury for Domestic Finance. Prior to serving as Under Secretary, from April 2002 to August 2005, Mr. Quarles was Assistant Secretary of the Treasury for International Affairs. During his tenure, Mr. Quarles served as policy chair of the Committee on Foreign Investment in the United States. Prior to joining the Department of the Treasury, Mr. Quarles served, from August 2001 to April 2002, as the U.S. Executive Director of the International Monetary Fund.

From January 1991 to January 1993, he served in the Treasury Department as a Special Assistant to the Secretary of the Treasury for Banking Legislation and as Deputy Assistant Secretary of the Treasury for Financial Institutions.

Prior to, and in between, his service at the Department of the Treasury, Mr. Quarles was a partner at Davis Polk & Wardwell, serving in their New York and London offices.
Mr. Quarles was born in September 1957. He received an A.B. in philosophy and economics, summa cum laude, from Columbia in 1981 and earned a law degree from the Yale Law School in 1984. Mr. Quarles is married with three children.

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**Steven Ricchiuto**, Chief Economist
Mizuho Securities USA

Steven Ricchiuto is a Managing Director and Chief Economist of Mizuho Securities USA (MSUSA). As Chief Economist, Steve is responsible for formulating and marketing the firm’s macroeconomic and interest rate forecasts and providing clients with a strategic outlook on the U.S. economy and financial markets.

Prior to joining Mizuho Securities USA, Steve worked at Svenska Handelsbanken and at ABN AMRO, where he was Chief U.S. Economist and Head of Fixed Income Research for the region. He has more than thirty five years of experience in the financial services industry. Steve is a well-known and highly regarded economist, with extensive appearances on various business news television and radio programs and is regularly quoted in the print media. His latest book: "Disequilibrium: How the great inflation caused the great recession was published in January 2017.

Mizuho Securities USA is the U.S. broker-dealer subsidiary of Mizuho Securities Co., Ltd, and a member of the global Mizuho Financial Group. MSUSA provides capital markets and investment banking services to corporate and institutional clients, specializing in fixed income, equities, futures and mergers and acquisitions advisory.
Stan Veuger, Resident Scholar
American Enterprise Institute

Stan Veuger is a resident scholar at the American Enterprise Institute (AEI), where his research is in political economy and public finance. He is also the editor of AEI Economic Perspectives. Dr. Veuger has been a visiting lecturer of economics at Harvard University, and is a fellow at the Center for the Governance of Change at the IE School of International Relations in Madrid.

Dr. Veuger’s research has been published in leading academic and professional journals, including the Journal of Monetary Economics, the Quarterly Journal of Economics, and the Review of Economics and Statistics. He is the editor, with Michael Strain, of “Economic Freedom and Human Flourishing: Perspectives from Political Philosophy” (AEI Press, 2016).

He also writes frequently for general audiences on economics, politics, and popular culture. His writing has appeared in Foreign Affairs, the Los Angeles Times, The National Interest, The New York Times, and USA Today, among others.

Dr. Veuger serves as the chairman of the Washington, DC, chapter of the Netherland-America Foundation.

He received a Ph.D. and an A.M. in economics from Harvard and an M.Sc. in economics from Universitat Pompeu Fabra. He completed his undergraduate education at Utrecht University and Erasmus University Rotterdam.

Maria Vullo, Superintendent
NYS Department of Financial Services

Maria T. Vullo was confirmed by the New York State Senate as Superintendent Financial Services on June 15, 2016. She was nominated for the position by Governor Andrew Cuomo. As Superintendent, she is responsible for protecting consumers and markets in New York State from fraud and financial crises, as well as reforming the regulation of financial services to keep pace with the industry’s rapid evolution.

Prior to joining DFS, Ms. Vullo was a litigation partner at Paul, Weiss, Rifkind, Wharton & Garrison LLP, where she led civil, criminal, and regulatory investigations before courts and governmental authorities across the country. Ms. Vullo also served as Executive Deputy Attorney General for Economic Justice Division in the Office of the New York State Attorney General, under then New York State Attorney General Cuomo.
There she oversaw the Bureaus of Investor Protection, Antitrust, Real Estate Finance, Consumer Frauds and Internet, leading investigations across New York State to protect investors and consumers from fraud.

Over the course of her career, Ms. Vullo’s specific legal experience has included litigations and investigations involving the financial services sectors and fraud, real estate, health care, insurance, tax, consumer protection, bankruptcy, antitrust, and constitutional law. An accomplished trial lawyer, Ms. Vullo has also argued before the U.S. Supreme Court, the U.S. Courts of Appeals for the Second, Ninth, and Tenth Circuits, and the New York State Appellate Division.

Ms. Vullo earned her J.D. from New York University School of Law, an M.P.A. from the New York University Wagner Graduate School of Public Service, and a B.A. from the College of Mount Saint Vincent. She clerked for the Hon. John A. MacKenzie, U.S. District Court, Eastern District of Virginia, following law school prior to entering private practice.
ATTENDEES

AAREAL CAPITAL CORPORATION
Bernd Klink
Jeffrey Pascale

ABN AMRO BANK
Martin Terlouw
Lukas van der Hoef
Michel van der Kloor

ACCUME PARTNERS
Sanjay Marwaha
K.D. Mehra
David Roath

ALIX PARTNERS, LLP
Charles Laurence
Sven Stumbauer

ALLIED IRISH BANK
Alan Brady
Kevin Mitchell

ALVAREZ & MARSAL
Hal Crawford
Larry Iwanski
Allison Puca
Craig Walling

AMERICAN ENTERPRISE INSTITUTE
Stan Veuger (Speaker)

ARAB BANK PLC
Issa Baconi
Brian Billard

ARNOLD & PORTER LLP
Michael Mancusi

ARTHUR COX LLP
Phil Cody

AUSTRALIA AND NEW ZEALAND BANKING GROUP, LTD.
Michael Clarke

AUSTRIAN NATIONAL BANK
Gerald Fiala

AUTOMATED FINANCIAL SYSTEMS, INC.
Robert Kahn

BANCO DE LA REPUBLICA ORIENTAL DEL URUGUAY
Renee Gonzalez-Silva

BANCO DEL ESTADO DE CHILE
Raul Churion
Eugenio Echeverria

BANCO DO BRASIL
Joao Fruet
Roberto Xavier

BANK ABC
Tony Berbari

BANK HAPOALIM B.M.
David Hertz

BANK OF BARODA
B. Elango

BANK OF CANADA
Sheryl King

BANK OF CHINA
Young Ma
Peter Raisman

BANK OF IRELAND
Tony Dunne

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ATTENDEES

BANK OF JAPAN
Mayuko Miki

BANK OF MONTREAL
Craig Ingram

BANQUE DE FRANCE
Tarik Mouakli

BARCLAYS
Emma Bailey
Richard Haworth
Brendan Reilly
Francesca Turquet

BAYERN LB
Oliver Molitor

BBVA
Diego Crasny
Thomas De Simone
Julian Sanchez de Rojas
B. Shane Clanton

BERKELEY RESEARCH GROUP, LLC
Walter Mix

BLACKROCK
Kyle Grieser
Coryann Stefansson

BLAKE, CASSELS & GRAYDON LLP
Dawn Jetten
Vladimir Shatiryan

BNP PARIBAS
Pierre Bouchara
Joyce Burns
Joseph Cox
Bruno d’Illiers

BNP PARIBAS
Catherine Fuchs
Wendy Gould
Sima Kammourieh
Thomas Rosenkoetter
Jean-Jacques Santini
Jeffrey Siegel
Betty Whelchel

BPCE GROUP
Nicolas Duhamel

BUCKLEY SANDLER LLP
Daniel Stipano
Ellen Warwick

CAIXA GERAL DE DEPOSITOS, S.A.
Rodolfo Lavrador

CAPCO
Scott Ramsey

CEIS REVIEW, INC
Joseph Hill
Justin Hill

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2018 Calendar of Events
(all events listed held in New York City)

Annual Anti-Money Laundering, May 16
Annual Seminar on The U.S. Taxation of International Banks, June 11
Annual Membership Luncheon and General Meeting, June 21
Annual Risk Management and Regulatory Examination/Compliance Seminar, October 15-16
International Financial Institutions Cybersecurity Conference, TBA
U.S. Regulatory/Compliance Orientation Program, TBA

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