



INSTITUTE OF INTERNATIONAL BANKERS

Virtual Anti-Money Laundering Seminar

June 1st & June 2nd

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Agenda

Morning of Monday, June 1st

Paul | Weiss

9:00-10:00am

AML Reform: Domestic and International Developments in the Regulatory Regime

Significant progress has been made on Capitol Hill toward modernizing our AML rules and regulations, but there is still work to be done. Substantial strides have also been made on the regulatory front both here in the United States and around the world. Panelists will bring us up to date on the current state of play and what we can anticipate going forward.

[David DiBari](#)

(moderator)

Partner

Clifford Chance US LLP

[Stephanie Brooker](#)

Partner

Gibson, Dunn & Crutcher LLP

[Satish Kini](#)

Partner

Debevoise & Plimpton LLP

10:05-11:05am

Regulators' Outlook: Trends and Expectations for AML Professionals

Just last month the FFIEC updated its BSA/AML Examination Manual in an effort to further clarify supervisory expectations and incorporate regulatory changes that have been made since it was first released in 2007. The regulators on this panel will discuss current trends and expectations and what to look for in the recently-released exam manual update.

[Michael Mancusi](#)

(moderator)

Partner

Arnold & Porter LLP

[Koko Ives](#)

Manager, BSA/AML Compliance Section, Division of Supervision and Regulation, Board of Governors of the Federal Reserve System

[Kevin Puwalowski](#)

Senior Deputy Superintendent - Consumer Protection and Financial Enforcement
NYS Department of Financial Services



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[James Vivenzio](#)

Acting Director for BSA Policy
Office of the Comptroller of the Currency

11:10am-
12:15pm:

Sanctions and Security: What's New at OFAC in 2020

The last year has come with its fair share of changes to U.S. sanctions policies. Our panelists will update us on recent actions at OFAC, both COVID-19 related and not, as well as policy changes impacting the foreign banking community in the United States.

[Roberto Gonzalez](#)
(moderator)

Partner
Paul, Weiss, Rifkind, Wharton & Garrison LLP

[Patrick Hu](#)

Sanctions Compliance Officer
U.S. Department of Treasury

[John Wagner](#)

Managing Director
Deloitte

Morning of Tuesday, June 2nd

9:00-10:00am

New Frontiers: What FBOs Should be Thinking about with Emerging Technologies and their Accompanying Challenges

Technology has changed the landscape for the financial services industry, but also presents us with new challenges, especially as we have adapted our businesses to operate virtually in the current environment. Industry experts will dig into technologies that have the potential to revolutionize compliance operations while shedding light on areas where institutions should proceed with caution.

[Jessica Guild](#)
(moderator)

Director, Americas Head of Compliance Analytics & Digital
Transformation Office
Societe Generale

[Melissa Goldstein](#)

Special Counsel
Schulte, Roth & Zabel LLP

[Ken Jones](#)

Senior Managing Director
FTI Consulting

[Prakash Samaga](#)

Engagement Director
Treliant, LLC



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10:05-11:05am

Data Management and Governance: The Benefits of Bringing Order to Your Information

The devil is in the details, and, unfortunately the details may also be the devil; but the details of properly managed data are the keys to a successful AML program. Whether you're considering transitioning to a new governance framework or just hoping to perfect your current methods, this panel will examine how to best ensure your information system works for you.

[Ken Wolckenhauer](#)
(moderator)

VP Vendor Management
Nordea

[Jonathan Ball](#)

Managing Director, Global Head, Analytics
Exiger

[Sonali Bhavsar](#)

Managing Director
KPMG

[Daniel Ross](#)

Senior Principal
Promontory

11:10am-12:15pm

COVID-19: Cautions, Lessons Learned, and Future Concerns

Over the last few months COVID-19 has transformed nearly every aspect of our lives. As we start to get a sense of what the new normal looks like, our experts will help us think about what has worked, what hasn't worked, and what we could have done better – especially in the world of BSA/AML compliance.

[Frederick Reynolds](#)
(moderator)

Global Head of Financial Crime Legal
Barclays

[Arpi Lal](#)

Senior Manager
Ernst and Young LLP

[Catherine Stahlmann](#)

AML Technical Policies, Standards & Regulatory Relationships
Global lead, PwC

[Ellen Zimiles](#)

Partner, Financial Services Advisory & Compliance Segment
Leader, NAVIGANT, A Guidehouse Company



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12:20pm-12:50pm

AlixPartners' Annual Survey: AML and OFAC/Sanctions Compliance Challenges for Financial Institutions

Some of you provided input, now hear the results! [Rich Kando](#) and [Isaac Fisboin](#) will present on AlixPartners' preliminary findings on what financial institutions are doing to address compliance challenges, what external forces are driving change, and what culture of compliance means today.