

MEGAN PRENDERGAST MILLARD

Senior Managing Director, Financial + Regulatory Compliance



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EDUCATION

- Juris Doctor cum laude, St. John's University School of Law
- Bachelor of Arts, American Studies *with Honors*, Fordham University

AFFILIATIONS

- New York Bar

AREAS OF EXPERTISE

- Compliance
- Complex Investigations
- Anti-Money Laundering
- Fraud
- FinTech + RegTech
- Monitorships

Megan Prendergast Millard advises financial services firms, including fintech and cryptocurrency companies, on compliance matters and investigations. This includes conducting risk assessments, strengthening risk management and compliance programs, remediation efforts and internal investigations. Ms. Millard works with all types of financial companies, from fintech start-ups to large global firms. She is dedicated to helping design and develop effective BSA/AML and financial crime compliance programs that also deliver a best-in-class customer experience.

After spending nearly fifteen years at the New York State Department of Financial Services, Ms. Millard knows what it takes to pass muster with financial regulators. She most recently served as the Deputy Superintendent for Enforcement, where she supervised investigations of licensed institutions in a wide range of areas including BSA/AML, OFAC sanctions, market manipulation, virtual currency as well as all other banking and insurance matters. She was also responsible for oversight of monitors engaged pursuant to consent orders issued by the department. Key cases include the long-term investigations of Standard Chartered Bank, Société Générale and BNP Paribas for U.S. sanctions and anti-money laundering violations. Ms. Millard was the recipient of the Superintendent's Award for outstanding performance in 2012, 2016 and 2017 for her work on these investigations.

Within the cryptocurrency area, Ms. Millard reviewed bitlicense applications for BSA/AML and OFAC compliance prior to approval and issuance of the license. She also conducted investigations to uncover unlicensed virtual currency activity within New York state.

Earlier in her career, Ms. Millard served as a Senior Assistant District Attorney for the Office of the District Attorney in Kings County, NY where she handled all aspects of criminal prosecutions from investigation to trial.