



VIRTUAL U.S. REGULATORY & COMPLIANCE ORIENTATION PROGRAM FOR HEAD OFFICE PERSONNEL

AGENDA*

Sponsored by
Schulte Roth&Zabel

Morning of Monday January 11th

9:00-10:15am

U.S. Banking System and Foreign Banking Organization (FBO) Supervisory Structure

Regulators will provide a primer on the dual banking system, state and federal coordination, the role of state and federal agencies, and the structure of the Federal Reserve System.

Irena Gecas-McCarthy
(Moderator)

Principal
Deloitte

Wendy Huang

National Bank Examiner
Office of the Comptroller of the Currency

Kwayne Jennings

Manager, Large & Foreign Banking Organizations
Board of Governors of the Federal Reserve System

Invited

NYDFS

10:20-11:20am

U.S. Banking Law and International Banks– What You Need to Know

Experts will provide an overview of U.S. banking laws, including the basic U.S. statutory framework., regulatory and supervisory treatment of branches and agencies, and the distinction between “banking” and “nonbanking” activities.

Derek Bush
(Moderator)

Partner
Cleary Gottlieb Steen & Hamilton LLP

Douglas Landy

Partner
Milbank LLP

Barbara Mendelson

Partner
Morrison & Foerster LLP

Joesph Vitale

Partner
Schulte Roth & Zabel LLP

11:25am-12:30pm

What to Expect from an Examination and How to Prepare

Experts will explain the exam process, including what to expect in a “First-Day Letter” and from an On-Site Examination, Key Factors for Adequate Preparation, ROCA and RFI ratings.

Gregory Lyons
(Moderator)

Partner
Debevoise & Plimpton LLP

Dino Papagiannis

Managing Director
Treliant

Invited

Federal Reserve Bank of New York

Morning of Tuesday January 12th

9:00-10:00am

Key Dodd-Frank Act Compliance Issues for International Banks

Panelists will discuss recent legislative and regulatory developments, including the FBO Tailoring Rule, the Volcker Rule, and swap rules, as well as what can be anticipated in 2021.

Stephanie Webster
(Moderator)

General Counsel
Institute of International Bankers

Scott Cammarn

Partner
Cadwalader

David Lucking

Partner
Allen & Overy LLP

Joseph Lynyak

Partner
Dorsey & Whitney LLP

10:05-11:05am

Anti-Money Laundering, OFAC, and Foreign Corrupt Practices Act Compliance

Experts will discuss things you need to know to be in compliance with and to help you avoid pitfalls associated with U.S. BSA/AML rules, sanctions and the Foreign Corrupt Practices Act.

David DiBari
(Moderator)

Partner
Clifford Chance US LLP

Alma Angotti

Managing Director, Co-Head Global Investigations and Compliance Practice
Guidehouse

11:10am-12:10pm

U.S. Labor Laws – What You Need to Know to Avoid Problems

Understanding the multitude of federal, state, and local labor laws and how not to run afoul of those laws are critical to doing business in the United States. Our speaker will explain the dos and don'ts for employers

Jim Hays

Partner

Sheppard Mullin Richter & Hampton LLP

*Subject to change