



11:00a.m.-12:00p.m. **By the Numbers: Internal Audit Review of Credit Risk in the Current Environment**

*Join a roundtable discussion among experts as they address the role of internal audit in reviewing all aspects of credit risk, including counterparty credit risk, the impact of rising interest rates, what constitutes an independent review, and the new Current Effective Credit Loss methodology under GAAP.*

[Frank Morisano](#), Senior Managing Director, Trelia

[Stephen Casey](#), Managing Director, Head of Credit Risk Review Inspection Générale North America, BNP Paribas

[Satya Ravi](#), Head of Internal Audit Americas, Société Générale

12:00 -1:00 p.m. **Luncheon**

1:00 – 2:00 p.m. **A Third Pair of Eyes: Internal Audit Review of Broker Dealer Compliance Risks**

*Join a panel of industry experts as they discuss the latest thinking, best practices, and pain points for Internal Audit in reviewing the unique risks presented by broker dealer activities, including market/trade surveillance, record keeping rules, and conduct risk.*

[Matthew Kulkin](#), Partner, Wilmer Hale (Moderator)

[Adrian Garrido](#), Chief Audit Executive, Santander

[Joseph Barbella](#), Chief Compliance Officer, Commerz Markets and Regional Head of Compliance, Commerzbank NY Branch

[Yoon Chong](#), Partner, Internal Audit & Risk Advisory Services, DLA, LLC

2:00 – 3:00 p.m. **Lessons in Preparedness: Operation Resilience, Cybersecurity & Third-Party Risk Management**

*Join industry experts as they share their views on how Internal Audit should assess a global institution's operational resilience, cybersecurity risks, and third-party risk management.*

[Jonathan Shiery](#), Partner, Financial Services, Guidehouse (Moderator)

[Ana Alonso](#), Managing Director, Global Head Financial Crime Audit, and Managing Director of Internal Audit Division, MUFG

[Greg Lyons](#), Partner, Debevoise & Plimpton

[Shirley Jiang](#), Managing Director & Deputy Chief Audit Executive, Bank of China

3:00 - 3:20 p.m.

**Coffee Break**

3:20 - 4:20 p.m.

**Tales from the Top: Perspectives from the Third Line**

*Hear a panel of Chief Audit Executives from foreign banks as they share their unique perspectives on managing an internal audit function across a global enterprise. The panelists will discuss 2023 audit planning, options for allocating responsibilities and resources across jurisdictions, and key challenges on the horizon.*

[Brian Portman](#), Head of Banking & Capital Markets Practice, EY  
(Moderator)

[Vincent Pinelli](#), Chief Audit Executive for the United States, Scotiabank

[Thomas Curtis](#), Group Audit Regional Head Americas and Head of Audit for CAO, Deutsche Bank AG, New York Branch

[Andrea M. Price](#), Managing Director & Head of Audit International Corporate Branches, Nordea Bank Abp

4:20 - 4:30 p.m.

**Closing Remarks**

[Briget Polichene](#)

Chief Executive Officer, Institute of International Bankers

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