



U.S. REGULATORY & COMPLIANCE ORIENTATION PROGRAM

January 10, 2023 | New York City

*Hosted at the Offices of White & Case
1221 Avenue of the Americas, 49th Floor, NYC 10020*

AGENDA

8:30 – 9:00am	Breakfast and Registration
9:00 – 9:10am	Introductory Remarks Beth Zorc, IIB CEO and Doug Landy , White & Case
9:10 – 10:10am	U.S. Banking Law and International Banks – What You Need to Know <i>Experts will provide an overview of U.S. banking laws, including the basic U.S. statutory framework, regulatory treatment of branches and agencies, and the distinction between “banking” and “nonbanking” activities and the concept of control.</i>
	Barbara Mendelson (Moderator) Partner and Co-chair Financial Services Group Morrison Foerster
	William Stern Partner Goodwin Procter
	Pia Naib Counsel Latham & Watkins
	Lena Kiely Senior Counsel Allen & Overy
10:10 – 11:10am	Anti-Money Laundering, OFAC, and FCPA Compliance <i>Experts will discuss things you need to know to follow and to help you avoid pitfalls associated with U.S. BSA/AML rules, sanctions and the Foreign Corrupt Practices Act.</i>
	David DiBari (Moderator) Partner Clifford Chance LLP
	Timothy Treanor Partner Sidley Austin LLP

[Joseph Lynyak](#) Partner
Dorsey & Whitney LLP

[Elsie Pan](#) Partner
PWC

11:10 – 11:30am Coffee Break

11:30 – 12:30pm Is This on Your Radar? Emerging Issues Affecting International Banks
What should FBOs prepare for in 2023—panelists will discuss ESG and disclosures, Cybersecurity, and other important issues.

[Doug Landy](#) Partner
White & Case

[Taylor Pullins](#) Partner
White & Case

[Gregory Lyons](#) Partner + Co-Chair, Financial Institutions Group
Debevoise & Plimpton LLP

[Hugh Walsh](#) Director, Financial Services Regulatory Practice
KPMG LLP

12:30 – 12:45pm Remarks
[Shirin Emami](#), Executive Deputy Superintendent – Banking Division,
New York State Department of Financial Services

12:45 – 1:45pm Luncheon and Networking Break

1:45 – 2:45pm What to Expect from an Examination and How to Prepare
Experts will explain the exam process, including what to expect in a “First-Day Letter” and from an On-Site Examination, Key Factors for Adequate Preparation, ROCA and RFI ratings.

[Jeff Alberts](#) Partner
(Moderator) Pryor Cashman

[William Luke](#) National Bank Examiner
Office of the Comptroller of the Currency

[William F. Hilton, III](#) Supervisory Manager
NY Fed

2:45 – 3:00pm Coffee Break

3:00 – 4:00pm

Key Regulatory Compliance Issues for International Banks

Panelists will discuss recent legislative and regulatory developments, including the FBO Tiering Framework, the Volcker Rule, and swap rules, as well as what can be anticipated in 2023.

[Derek Bush](#)
(Moderator)

Partner
Cleary Gottlieb

[Daniel Meade](#)

Partner
Cadwalader

[Joseph Vitale](#)

Partner
Fried Frank

[Colin Lloyd](#)

Partner
Sullivan & Cromwell

4:00 – 5:00pm

U.S. Labor Laws – What You Need to Know to Avoid Problems

Understanding the multitude of federal, state, and local labor laws and how not to run afoul of those laws is critical to doing business in the United States. COVID-related requirements have added a new layer of complexity. Our speaker will explain the dos and don'ts for employers.

[Jim Hays](#)

Partner,
Sheppard Mullin Richter & Hampton LLP

5:00 – 6:00pm

Cocktail Reception

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