



# INSTITUTE OF INTERNATIONAL BANKERS

## ANNUAL ANTI-MONEY LAUNDERING SEMINAR

Wednesday, May 16, 2018  
Harold Proshansky Auditorium  
City University of New York Graduate Center  
365 Fifth Avenue (between 34<sup>th</sup> and 35<sup>th</sup> streets)

**8:30 a.m. – 8:55 a.m.**  
Continental Breakfast

**8:55 a.m.**

### Welcome and Introductory Remarks

**Briget Polichene**

Chief Executive Officer  
Institute of International Bankers

**9:00 a.m. – 9:30 a.m.**

### Opening Address

**Jamal El-Hindi**

Deputy Director  
Financial Crimes Enforcement Network  
Department of the Treasury

**9:30 a.m. – 10:30 a.m.**

### Policymakers' Perspectives on Regulatory and Enforcement Developments Affecting Internationally Active Banks

**Koko Ives**

Manager  
BSA/AML Compliance Section  
Federal Reserve Board

**Charles Steele**

Deputy Chief Counsel  
Office of the Comptroller of the Currency

**Jeff Lavine**  
(*moderator*)

Partner  
PwC

**10:30 a.m. – 10:45 a.m.**  
Coffee Break

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10:45 a.m. – 11:45 a.m.

### Meeting the Key BSA/AML Challenges for Internationally Active Banks in Today's Environment

**Katherine Carroll**  
*(moderator)*

Partner  
Cleary Gottlieb Steen & Hamilton LLP

**Brandon Daniels**

President, Exiger Tech/Global Head, Analytics  
Exiger

**Ross Marrazzo**

Managing Director  
Global Financial Crimes Practice Area Head  
Treliaant LLC

**Dan Stipano**

Partner  
Buckley Sandler LLP

11:45 a.m. – 12:45 p.m.

### OFAC Compliance and Enforcement Developments

**David D. DiBari**  
*(and moderator)*

Partner  
Clifford Chance US LLP

**Zach Coughlin**

Sanctions Compliance Officer  
Office of Foreign Assets Control

**Jamie Boucher**

Partner  
Skadden, Arps, Slate, Meagher & Flom LLP

**Patrick McArdle**

Managing Director  
Navigant

12:45 – 2:00 p.m.  
Luncheon

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**Treliaant** 

The Treliaant logo consists of the word 'Treliaant' in a blue, serif font, followed by a circular emblem containing a red and blue compass rose design.

Keynote Speaker:

**Bradley Smith**

Acting Deputy Director  
Office of Foreign Assets Control  
Department of the Treasury



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**2:00 p.m. – 3:15 p.m.**

### **BSA/AML Examination Priorities for Banks and Broker-Dealers**

<b>Beverly Jules</b>	Examining Officer Federal Reserve Bank of New York
<b>Lukas Babiak</b>	Bank Examiner, BSA/AML Team Leader Office of the Comptroller of the Currency
<b>Michael Casparian</b>	Deputy Superintendent Foreign and Wholesale Banks NYS Department of Financial Services
<b>Sheila Haney</b>	Associate District Director Financial Industry Regulatory Authority
<b>John Wagner</b> <i>(moderator)</i>	Managing Director Deloitte Advisory

**3:15 p.m. – 3:30 p.m.**  
Coffee Break

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**C L I F F O R D  
C H A N C E**

**3:30 p.m. – 4:45 p.m.**

### **Practical Suggestions and Tips for an Effective BSA/AML Compliance Function, Including Compliance with Customer Due Diligence and Beneficial Ownership Requirements**

<b>Michael Mancusi</b> <i>(moderator)</i>	Partner Arnold & Porter LLP
<b>John Caruso</b>	Partner, Forensic Services KPMG LLP
<b>K.D. Mehra</b>	Managing Director Accume Partners
<b>Dustin Palmer</b>	Managing Director Promontory Financial Group
<b>Josh Riley</b>	Principal Ernst & Young LLP

**4:45 p.m.**

**Adjournment**