



INSTITUTE OF INTERNATIONAL BANKERS

PROGRAM

ANNUAL SEMINAR ON RISK MANAGEMENT AND REGULATORY EXAMINATION/COMPLIANCE ISSUES AFFECTING INTERNATIONAL BANKS

Harold Proshansky Auditorium
CUNY Graduate Center/365 Fifth Avenue (between 34th and 35th Streets)

Monday, October 15, 2018

8:30 a.m. to 8:55 a.m.
Continental Breakfast

Sponsored by:

**C L I F F O R D
C H A N C E**

8:55 a.m. to 9:00 a.m.

Welcome and Introductory Remarks

Briget Polichene

Chief Executive Officer
Institute of International Bankers

9:00 a.m. to 10:30 a.m.

Regulatory/Supervisory Policy Initiatives

Kwayne Jennings

Manager, Large and Foreign Banking
Organizations Section
Federal Reserve Board

Vaughn Folks

Director, International Banking Supervision
Office of the Comptroller of the Currency

Matthew Kulkin

Director
Swap Dealer and Intermediary Oversight
Division
Commodity Futures Trading Commission

Irena Gecas-McCarthy
(moderator)

Principal
Deloitte & Touche LLP

10:30 a.m. to 10:45 a.m.
Coffee Break

Sponsored by:

GIBSON DUNN



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10:45 a.m. to 12:00 noon

Artificial Intelligence Solutions in the Compliance Area

Nikhil Aggarwal
(moderator)

Director
Promontory Financial Group, an IBM
Company

Beth Dugan

Deputy Comptroller for Operational Risk
Office of the Comptroller of the Currency

Greg Eickbush

Managing Director
Mizuho Securities USA LLC

Clark Frogley

Global Financial Crimes Leader
Watson Financial Services Solutions
IBM Industry Platforms

Paul Milleliri

Managing Director
Head of Regulatory Coordination –
Compliance
Credit Agricole Corporate and Investment
Bank

12:00 noon to 1:45 p.m.
Luncheon

Sponsored by:



Keynote Speaker:

Mary Frances Monroe

Senior Advisor
Treliant Risk Advisors
*former Manager of Supervision Policy
Federal Reserve Board*

1:45 p.m. to 3:15 p.m.

Supervisory Developments Regarding BSA/AML and OFAC Compliance

Michael Mancusi
(and moderator)

Partner
Arnold & Porter LLP

Kendall Day

Partner
Gibson, Dunn & Crutcher LLP

David DiBari

Partner
Clifford Chance US LLP



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Ellen Warwick

Senior Counsel
Buckley Sandler LLP

Ellen Zimiles

Managing Director
Navigant Consulting Inc.

3:15 p.m. to 3:30 p.m.
Coffee Break

Sponsored by:



3:30 p.m. – 4:45 p.m.

Reconciling Data Analytics and Data Protection

Jonathan Ball
(and moderator)

Managing Director, Deputy Head of
Analytics
Exiger LLC

Jessica Dubensky

Global Head of Intelligence and Analytics
Mitsubishi UFJ Financial Group

Andrew McAdams

Deputy Head, FCC
Agricultural Bank of China
New York Branch

Barbara Mendelson

Partner
Morrison & Foerster LLP

John Rogers

CISO
BNP Paribas

Tuesday, October 16, 2018

8:30 a.m. to 8:55 a.m.
Continental Breakfast

9:00 a.m. to 10:15 a.m.

Blockchain Technology and the Compliance Function: Risks and Opportunities

Walter J. Mix III
(and moderator)

Managing Director
Berkeley Research Group

Adam Jiwan

CEO
Spring Labs

Adam Munro

Group Manager
Standard Chartered Bank



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Michael Nonaka Partner
Covington & Burling LLP

Phyllis Schneck Managing Director
Promontory Financial Group

10:15 a.m. to 10:30 a.m.
Coffee Break

10:30 a.m. to 12:00 noon

The Perspectives of Prudential Supervisors on Examination Issues

Thomas Dolan Supervising Examiner
Federal Reserve Bank of New York

Mark Shields Director, International Banking Supervision
Office of the Comptroller of the Currency

Daniel Weiss Principal
(*moderator*) PwC

12:00 noon to 1:45 p.m.
Luncheon

Sponsored by:

LATHAM
LATHAM & WATKINS

Keynote Speaker:

Shirin Emami Executive Deputy Superintendent
Banking Division
NYS Department of Financial Services

1:45 p.m. to 3:00 p.m.

Cybersecurity and Information Sharing: Regulatory Developments and Industry Practices

Larry Trittschuh Chief Security Officer, Americas
(*and moderator*) Barclays

Richard Hudson Senior Manager
Trelia Risk Advisors

Stuart Levi Partner
Skadden, Arps, Slate, Meagher & Flom LLP

Perry Menezes Managing Director
KPMG LLP



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3:00 p.m. to 3:15 p.m.
Coffee Break

Sponsored by:

Milbank

3:15 p.m. to 4:30 p.m.

Key Developments in the Regulation of International Banks

Derek Bush
(and moderator)

Partner
Cleary Gottlieb Steen & Hamilton LLP

Alan Avery

Partner
Latham and Watkins LLP

Doug Landy

Partner
Milbank, Tweed, Hadley & McCloy LLP

David Lucking

Partner
Allen & Overy LLP

David Sahr

Partner
Mayer Brown LLP

4:30 p.m.

Adjournment