Jurisdictions Peer Review Results

December 2018
Alabama Peer Review

Peer Review Date: Jan. 14, 1998

Issues of Non-Compliance:
Section 800(a), Application for Initial Registration
Section 1111, Rental Vehicle : Base Jurisdiction

Follow-up Review Date: Jan. 19, 1999
Alabama complies with all sections of the Plan

Peer Review Date: March 12-13, 2003

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 400, Application Filed with Base Jurisdiction
Section 906, Place of Business (Owner-Operators)

Follow-up Review Date: March 17, 2004

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing

Follow-up Review Date: Aug. 11, 2004
Alabama complies with all sections of the Plan

Peer Review Date: March 12-13, 2008

Issues of Non-Compliance:
Section 204, Apportionable Vehicle
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 801, Estimated Distance Methodology
Section 1116, Rental Passenger Cars
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: March 18, 2009
Alabama complies with all sections of the Plan
Peer Review Date: March 18-22, 2013

Issues of Non-Compliance:
Article II, Definitions
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1215 – Transmittals

Follow-up Review Date: April 11, 2014

Issues of Non-Compliance:
Section 1215 – Transmittals

2nd Follow-up Review Date: March 6, 2015
Alabama complies with all sections of the Plan

Peer Review Date: March 13-14, 2018

Issues of Non-Compliance:
Section 315 – Application Process
APM 601 – Audit Report
Alberta Peer Review

Peer Review Date:  July 16-17, 1997

Issues of Non-Compliance:
Section 256, Total Distance
Section 400, Application Filed with Base Jurisdiction
Section 910, Hunter Permits
Audit Section, Jurisdiction Accountability
Audit Section, Standards of Reporting

Follow-up Review Date:  Aug. 24, 1998

Issues of Non-Compliance:
Jurisdiction Accountability

Dispute Resolution Committee Decision:  Nov. 19, 1998
Alberta has 12 months to come into compliance with the Plan

Dispute Resolution Committee Decision:  Nov. 13, 1999
Alberta complies with all sections of the Plan

Peer Review Date:  Aug. 7-8, 2002

Issues of Non-Compliance:
Section 1704, Netting of Audit Adjustments
Section 1706, Audit Transmittals
APM Section 800: Audit Completion and Audit Reporting Procedures

Follow-up Review Date:  Sept. 9, 2003

Issues of Non-Compliance:
Section 1704, Netting of Audit Adjustments
Section 1706, Audit Transmittals

Dispute Resolution Committee Decision:  Nov. 15, 2003
Alberta has until Sept. 2004 to come into compliance with the Plan.

Dispute Resolution Committee Decision:  Nov. 5-6, 2004
Alberta complies with all sections of the Plan

Peer Review Date:  July 25-26, 2007

Issues of Non-Compliance:
Section APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings
Follow-up Review Date: June 18, 2008
Alberta complies with all sections of the Plan

Peer Review Date: June 27-28, 2012

Issues of Non-Compliance:
Section 115 – Effect of the Plan on other Agreements
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1065 – Netting of Audit Adjustments
Section 1070 – Audit Transmittals

Follow-up Review Dates: June 19 & July 17, 2013

Issues of Non-Compliance:
Section 115 – Effect of the Plan on other Agreements

Dispute Resolution Committee Decision: May 14, 2014
Moved and seconded to find to find Alberta’s issuance of temporary breakdown permits under Part II of the Canadian Agreement of Vehicle Registration (CAVR) was out of compliance with Plan Section 115. Based on Alberta’s amendment to its policy effective April 1, 2014, the DRC now finds Alberta to be in compliance with Section 115. Alberta shall provide written confirmation of the policy amendment to the Chair of the DRC by July 1, 2014.
Motion passed unanimously

Dispute Resolution Committee Decision:
Alberta complies with all sections of the Plan

Peer Review Date: July 11-13, 2017

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction
Section 530 – Unladen Vehicle Registration – Hunter’s Permit
Section 1215 – Fee Transmittals
APM 401 – Pre-Audit Notification
APM 601 – Audit Report

Follow-up Review Dates: November 29 and December 19, 2018

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction
Section 530 – Unladen Vehicle Registration – Hunter’s Permit
Section 1215 – Fee Transmittals
Arizona Peer Review

Peer Review Date: April 22-23, 1998

Issues of Non-Compliance:
Section 1304, Determination of Total Bus Miles

Follow-up Review Date: April 19, 1999
Arizona complies with all sections of the Plan

Peer Review Date: Dec. 11-12, 2003

Issues of Non-Compliance:
Section 218 – Established Place of Business
Section 700 – Vehicle Withdrawn: Disposition of Fees
Section 910 – Hunter Permits
APM 601 – Pre-audit Contact
APM 801 – Exit Conference

Follow-up Review Date: Jan. 26, 2005 & Feb. 23, 2005
Arizona complies with all sections of the Plan

Peer Review Date: February 20-21, 2008

Issues of Non-Compliance:
Section 400 – APPLICATION FILED WITH BASE JURISDICTION
Section 800 – APPLICATION FOR INITIAL REGISTRATION
Section 910 – UNLADEN WEIGHT PERMIT
APM 203 – Study and Evaluation
APM 206 – Working Paper Documentation
Section – 1604 NOTIFICATION OF AUDIT FINDINGS;
APM 803 – INTERJURISDICTIONAL AUDIT REPORT AND DISTRIBUTION OF THE
AUDIT FINDINGS
APM 603 – Request for Operational Records
Section – 1704 NETTING OF AUDIT ADJUSTMENTS
APM 804 – Annual Report of IRP Audit Activity
Section – 1600 FREQUENCY OF AUDITS

Follow-up Review Date: February 25, 2009

Issues of Non-Compliance:
Section 910 – UNLADEN WEIGHT PERMIT
APM 206 – Working Paper Documentation
Section – 1604 NOTIFICATION OF AUDIT FINDINGS;
APM 803 – INTERJURISDICTIONAL AUDIT REPORT AND DISTRIBUTION OF THE
AUDIT FINDINGS
2nd Follow-up Review Date: July 20, 2009

Issues of Non-Compliance:
Section – 1604 NOTIFICATION OF AUDIT FINDINGS;
APM 803 – INTERJURISDICTIONAL AUDIT REPORT AND DISTRIBUTION OF THE
AUDIT FINDINGS

Dispute Resolution Committee Decision: September 11, 2009

Motion and second to find Arizona in compliance with Plan Section 1604 and APM 803. Motion passed. Arizona complies with all Sections of the Plan.

Peer Review Date: February 12-14, 2013

Issues of Non-Compliance:
Article II Definitions
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 405 – Calculations of Apportionment Percentage
Section 620 – Temporary Evidence of Apportioned Registration

Follow-up Review Date: February 19, 2014
Issues of Non-Compliance:
Section 620 – Temporary Evidence of Apportioned Registration

Dispute Resolution Committee Decision: May 14, 2014
Motion and Seconded to find Arizona out of compliance with Plan Section 620. Arizona is required to come into compliance by July 1, 2015 and reports progress to the DRC at the 2015 DRC meeting. Motion passed unanimously

Dispute Resolution Committee Decision: May 19, 2015
Arizona provided an update to the DRC informing them that the Arizona legislature did not pass the proposed legislation, which would amend the law to make a temporary permit valid for a maximum of 60 days. Since the bill did not pass, and there it is extremely unlikely that the law will change by the DRC’s required deadline of July 1, 2015, Arizona is still out of compliance. However, Arizona indicated that it will attempt to get the bill introduced in the next session, the DRC found cause to set another deadline for next year, and would like an update at the next meeting.

Dispute Resolution Committee Decision: May 3, 2016
Arizona provided an update to the DRC informing them that the Arizona legislature passed and was signed by the Governor in March 2016. The legislation will take effect 90 days after the close of the legislative session. The DRC anticipates full compliance will be achieved by the 2017 DRC Meeting.

Dispute Resolution Committee Decision: May 18, 2017, Motion and second to find Arizona in compliance with Section 620.
Peer Review Date: April 10-13, 2018

Issues of Non-Compliance
APM 202 – Study and Evaluation
APM 502 – Evaluation of Internal Control
APM 504 – Distance Audit Procedures
APM 601 – Audit Report

Follow-up Review Dates: July 25, 2018 and August 22, 2018
Arizona complies with all Sections of the Plan.
Arkansas Peer Review

Peer Review Date: Feb. 23-25, 1994

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards
Section 600, Applications of Mileage Percentage
Section 910, Hunter Permits
Section 1118(b), Rental Trailers and Semi-Trailers
Section 1120, Utility Trailers
Section 1304, Determination of Total Bus Miles

Follow-up Review Date: Feb. 23, 1995

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards

Dispute Resolution Committee Decision: Aug. 28, 1995
Arkansas has 12 months to comply with Section 408
Arkansas has 90 days to comply with Section 502

Dispute Resolution Committee Decision: April 25, 1996
Arkansas complies with all sections of the Plan

Peer Review Date: Dec. 8-9, 1999

Issues of Non-Compliance:
Section 210, Base Jurisdiction
Section 238, Preceding Year
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 910, Hunter Permits
Section 1116, Rental Passenger Cars
Section 1118, Rental Trailers and Semi-Trailers
Section 1120, Utility Trailers

Follow-up Review Date: Jan. 23, 2001

Issue of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Dispute Resolution Committee Decision: Nov. 1, 2001
Arkansas complies with all sections of the Plan

Peer Review Date: Oct. 6-7, 2004

Issues of Non-Compliance:
Section 218 – Established Place of Business
Section 408 – Jurisdiction Notification of Application Filing

Follow-up Review Date: Oct. 26, 2005

Issue of Non-Compliance:
Section 408 – Jurisdiction Notification of Application Filing

Follow-up Review Date: Aug. 21, 2006
Arkansas complies with all sections of the Plan

Peer Review Date: November 18-19, 2009

Issues of Non-Compliance:

Article II, Definitions
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
APM 802 – Registrant Audit Report
Section 1025 – Notification of Audit Findings
APM 803 – Interjurisdictional Audit Report
APM 603 – Request for Operational Records

Follow-up Review Date: November 17, 2010
Arkansas complies with all sections of the Plan

Peer Review Date: November 18-20, 2014

Issues of Non-Compliance:
Definition – Apportionable Vehicle
Section 305 – Selection of Base Jurisdiction
APM 203 – Planning and Supervision
Section 1055 – Audit Reports

Follow-up Review Dates: December 21, 2015 and January 13, 2016
Arkansas complies with all sections of the Plan
British Columbia Peer Review

Peer Review Date: Oct. 4-5, 1999

Issues of Non-Compliance: Audit Section, Field Work Standards

Follow-up Review Date: Oct. 16, 2000

Issues of Non-Compliance: Audit Section, Field Work Standards

Dispute Resolution Committee Decision: Nov. 11, 2000
British Columbia complies with all sections of the Plan

Peer Review Date: July 21-22, 2004

Issues of Non-Compliance: British Columbia complies with all sections of the Plan

Peer Review Date: July 22-23, 2009

Issues of Non-Compliance: British Columbia complies with all Sections of the Plan

Peer Review Date: July 23-24, 2014

Issues of Non-Compliance: British Columbia complies with all Sections of the Plan
California Peer Review

**Peer Review Date:** Sept. 7-9, 1994

**Issues of Non-Compliance:**
Section 256, Total Distance
Section 400, Application Filed with a Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 408, Commentary — Exchange of Canadian Fees
Section 500, Base Jurisdiction Registration
Section 502, Identification Plates & Cab Cards
Section 1206, Registration in Base of Carrier
Section 1304, Determination of Total Bus Miles
Audit Section, Registrant’s Record Requirements

**Follow-up Review Date:** Oct. 25, 1995

**Issues of Non-Compliance:**
Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** April 25, 1996
California has one year to come into compliance with the Plan

**Dispute Resolution Committee Decision:** Sept. 11, 1997
California has until Dec. 1997 to come into compliance with the Plan

**Dispute Resolution Committee Decision:** Nov. 19, 1998
A decision was postponed for 30 days

**Dispute Resolution Committee Decision:** Nov. 13, 1999
A decision was postponed until California’s next peer review in April 2000

**Peer Review Date:** April 6-7, 2000

**Issues of Non-Compliance:**
Section 408, Jurisdiction Notification of Application Filing (carried over from previous peer review)
Section 910, Hunter Permit
**Dispute Resolution Committee Decision:** Nov. 11, 2000
California complies with Section 408.

**Follow-up Review Date:** May 25, 2001

**Issues of Non-Compliance:**
Section 910, Hunter Permit

**Dispute Resolution Committee Decision:** Nov. 1, 2001
California complies with all sections of the Plan

**Peer Review Date:** May 11-12, 2005

**Issues of Non-Compliance:**
Section 400 – Application Filed with Base Jurisdiction
Section 408 - Jurisdiction Notification of Application Filing
Section 800 – Application for Initial Registration

**Follow-up Review:** May 17, 2006

**Issues of Non-Compliance:**
Section 408 – Jurisdiction Notification of Application Filing

**Follow-up Review:** Aug. 21, 2006
California complies with all sections of the Plan

---

**Peer Review Date:** April 14-15, 2010

**Issues of Non-Compliance:**
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 410 – No Minimum Registration Fee; Collection of Other Fees Permitted
Section 1215 – Fee Transmittals
Section 1015 – Frequency of Audits

**Follow-up Review:** April 27, 2011

**Issues of Non-Compliance:**
Section 410 – No Minimum Registration Fee; Collection of Other Fees Permitted

**Dispute Resolution Committee Decision:** May 18, 2012
Moved and seconded to find California in compliance with Plan Section 410 since no registration fees are charged when the calculation results in a registration fee that is less than $.01 and cab cards are issued providing authority to operate in California.

*Motion passed with five yes votes and two no votes.*

California is now in full compliance with the Plan.

---

**Peer Review Date:** April 15-16, 2015

**Issues of Non-Compliance:**
Section 1050 (f) – Netting Audit Adjustments
Section 1060 – Audit Transmittals
Follow-up Review: April 20, 2016
California is now in full compliance with the Plan.
Colorado Peer Review

**Peer Review Date:** June 21-23, 1995

**Issues of Non-Compliance:**
Section 256, Total Distance
Section 408, Jurisdiction Notification of Application Filing
Section 502, Identification Plates & Cab Cards
Audit Section, Independence
Audit Section, Field Work Standards
Audit Section, Auditor Qualifications
Audit Section, Records Requirement

**Follow-up Review Date:** June 11, 1996
Colorado complies with all sections of the Plan

---

**Peer Review Date:** Oct. 2-3, 2000

**Issues of Non-Compliance:**
Section 300, Determination of Fees
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 1204, Registration in Base of Service Representative
Section 1206, Registration in Base of Carrier
Section 1304, Determination of Total Bus Miles
Audit Section, Jurisdiction Accountability
Audit Section, Standards of Reporting

**Follow-up Review Date:** Nov. 28, 2001

**Issue of Non-Compliance:**
Audit Section, Standards of Reporting

**Dispute Resolution Committee Decision:** Nov. 9, 2002
Colorado is required to submit quarterly lists of audited accounts for four quarters, and the Peer Review Committee will determine Colorado’s compliance.

**Peer Review Committee Decision:** Sept. 17, 2003
Colorado complies with all sections of the Plan.
**Peer Review Date:** Sept. 28-29, 2005

**Issues of Non-Compliance:**
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration
Section 408 – Jurisdiction Notification of Application Filing
Section 700 – Vehicle Withdrawn: Disposition of Fees
Section 702 – Replacement Vehicles
Section APM 703 – Sampling and Extrapolation Procedures, Distance per Summary or Application
Section APM 801 – Exit Conference
Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** Sept. 20, 2006
Colorado complies with all sections of the Plan.

---

**Peer Review Date:** October 20-21, 2010

**Issues of Non-Compliance:**
Article II, Definitions
Section 305 – Selection of Base Jurisdiction
Section 1065 – Netting of Audit Adjustments
Section 1070 – Audit Transmittals

**Follow-up Review Date:** October 19, 2011

**Issue of Non-Compliance:**
Section 1065 – Netting of Audit Adjustments
Section 1070 – Audit Transmittals
Colorado complies with all sections of the Plan.

**2nd Follow-up Review Date:** March 21, 2012
Colorado complies with all sections of the Plan.

---

**Peer Review Date:** October 14-15, 2015

**Issues of Non-Compliance:**
Section 605 – Display of Registration Credentials; Renewal Credentials
Section 315 – Application Process
Section 320 – Average Per-Vehicle Distance
Section 1025 – Frequency of Audits

**Follow-up Review Date:** October 19, 2016
Colorado complies with all Sections of the Plan.
Connecticut Peer Review

Peer Review Date: Aug. 19-20, 1998

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 800(a), Application for Initial Registration
Section 800(b), Applications with Estimated Mileage

Follow-up Review Date: Sept. 8, 1999
Connecticut complies with all sections of the Plan

Peer Review Date: Oct. 10-11, 2001

Issues of Non-Compliance:
Connecticut complies with all sections of the Plan

Peer Review Date: Oct. 25-26, 2006

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filings
Section 800, Application for Initial Registration
Section 1706, Audit Transmittals
APM 803.4, Interjurisdictional Audit Report and Distribution of Audit Findings

Follow-up Review Date: Nov. 14, 2007
Connecticut complies with all sections of the Plan

Peer Review Date: August 10-11, 2011

Issues of Non-Compliance:
Definition – Apportionable Vehicle
APM 803 – Interjurisdictional Audit Report

Follow-up Review Date: October 17, 2012
Connecticut complies with all sections of the Plan
Peer Review Date: August 10-11, 2016

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction
Section 320 – Average Per-Vehicle Distance
Section 420 – New Fleets

Follow-up Review Date: September 13, 2017
Connecticut complies with all sections of the Plan
Delaware Peer Review

**Peer Review Date:** April 21-22, 1999

**Issues of Non-Compliance:**
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 704, Temporary Registration
Section 800, Applications with Estimated Mileage
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** May 31, 2000
Delaware complies with all sections of the Plan

---

**Peer Review Date:** Jan. 22-23, 2003

**Issues of Non-Compliance:**
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration

**Follow-up Review Date:** Feb. 25, 2004
Delaware complies with all sections of the Plan

---

**Peer Review Date:** Jan. 16-17, 2008

**Issues of Non-Compliance:**
Section 408, Jurisdiction Notification of Application Filing
Section 1600, Frequency of Audit

**Follow-up Review Date:** Jan. 26, 2009

**Issues of Non-Compliance:**
Section 1600, Frequency of Audit

**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find Delaware out of compliance with Plan Section 1600. By December 31, 2009, Delaware is required to perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual for the period 2004-2007. Delaware shall submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter. Motion passed.
Dispute Resolution Committee Decision: May 13, 2010
Delaware is in compliance with Section 1600, Frequency of Audits (current Plan Section 1015).

Delaware complies with all Sections of the Plan

Peer Review Date: Jan. 15-17, 2013
Delaware complies with all sections of the Plan

Peer Review Date: May 23-24, 2018

Issues of Non-Compliance:
Section 1215 – Fee Transmittals

Follow-up Review Date:
District of Columbia Peer Review

Peer Review Date: March 20-21, 2001

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800, Application for Initial Registration
Section 910, Hunter's Permit
Section 1116, Rental Passenger Cars
Section 1118, Rental Trailers and Semi-Trailers
Section 1120, Utility Trailers
Section 1122, One-Way Vehicles
Article, XXIII, Dispute Resolution
IRP Audit Program

Follow-up Review Date: July 18, 2002 (and Peer Review Committee Conference Call Sept. 24, 2002)

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800, Application for Initial Registration
Section 910, Hunter's Permit
Article XXIII, Dispute Resolution
IRP Audit Program

Dispute Resolution Committee Decision: Nov. 7, 2002
The District of Columbia is required to:
- Submit a copy of its Hunter's Permit by Jan. 6, 2003 (D.C. was found in compliance with Section 910 in March 2003)
- Revise its estimated distance chart, internal processing procedures and proof of appropriate use of applications by Jan. 6, 2003.
- Required to conduct nine audits by June 2003 and submit audits to Peer Review Committee as they are completed.
- Required to submit monthly reports of transmittal activity until April 8, 2003.

Dispute Resolution Committee Decision: Nov. 15, 2003
The District of Columbia is in compliance with Section 400, 800, and its audit program. The District of Columbia is required to:
- Through 2006, annually submit 1) procedures for using D.C. estimated distance chart, 2) copies of three renewal applications using the estimated distance chart, 3) list of new applications, from which the Peer Review Committee will select five accounts to review. In April 2004 the Peer Review determined that D.C. was making good efforts to remain in compliance with Sections 400 and 800. A report will be made to the DRC in Nov. 2004.
- Submit monthly reports of transmittal activity through May 2004.
**Dispute Resolution Committee Decision:** Nov. 5-6, 2004
The District of Columbia complies with all sections of the Plan. The Peer Review Committee will continue monitoring D.C.’s compliance with Section 400 on an annual basis through 2006.

---

**Peer Review Date:** Jan. 18-19, 2006

**Issues of Non-Compliance:**
Section 234 – Owner-Operator
Section 400 – Application Filed with Base Jurisdiction
Section 408 – Jurisdiction Notification of Application Filing
Section 800 – Application for Initial Registration
Section 1704 – Netting of Audit Adjustments
Section 1706 – Audit Transmittals
APM Section 204 – Adequate Planning and Supervision
APM 206 – Working Paper Documentation
APM 601 – Pre-Audit Contact
APM 603 – Request for Records
APM 704 – New Operations/Change(s) in Operations
APM 801 – Exit Conference
APM 802 – Registrant Audit Report
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** Feb. 21, 2007

**Issues of Non-Compliance:**
Section 1704 – Netting of Audit Adjustments
Section 1706 – Audit Transmittals
APM 204.1 – Adequate Planning and Supervision
APM 206.1 – Working Paper Documentation
APM 802.1 – Registrant Audit Report
APM 803.3 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**2nd Follow-up Review Date:** April 19, 2007

**Issues of Non-Compliance:**
APM 206.1 – Working Paper Documentation
APM 802.1 – Registrant Audit Report
APM 803.3 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**3rd Follow-up Review Date:** July 18, 2007

The District of Columbia complies with all Sections of the Plan
Peer Review Date: March 30-31, 2011

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1215 – Fee Transmittals
APM 206 – Working Paper Documentation
APM 704 – Distance Audit Procedures
APM 703 – Sampling and Extrapolation Procedures
APM 802 – Registrant Audit Report
APM 803 – Interjurisdictional Audit Report

Follow-up Review Date: March 21 and April 18, 2012

Issues of Non-Compliance:
Section 1215 – Fee Transmittals

2nd Follow-up Review Date: March 27, 2013

The District of Columbia complies with all Sections of the Plan

Peer Review Date: March 23-24, 2016

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Average Per-Vehicle Distance
APM 401 – Pre Audit Notification
APM 403 – Request for Records
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report

Follow-up Review Date: March 22, 2017

The District of Columbia complies with all Sections of the Plan
Florida Peer Review

Peer Review Date: May 15-17, 1996

Issues of Non-Compliance:
Section 256, Total Distance
Section 218, Established Place of Business
Section 800(b), Applications with Estimated Mileage
Section 906, Place of Business (Owner-Operators)
Section 1206, Registration in Base of Carrier
Section 1304, Determination of Total Bus Miles
Audit Section, Jurisdiction Accountability
Audit Section, Registrant’s Record Requirements

Follow-up Review Date: June 17, 1997

Issues of Non-Compliance:
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 16, 1997
Florida has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 18, 1998
Florida complies with all sections of the Plan

Peer Review Date: Feb. 7-8, 2001

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 800, Application for Initial Registration
Audit Section, Due Professional Care
Audit Section, Field Work Standards

Follow-up Review Date: March 4, 2002

Issues of Non-Compliance:
Section 800, Application for Initial Registration

Dispute Resolution Committee Decision: Nov. 7, 2002
Florida complies with all sections of the Plan
Peer Review Date: Sept. 13-14, 2006

Issues of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration
Section 408 – Jurisdiction Notification of Application Filing
Section APM 206 – Working Paper Documentation
Section APM 802 – Registrant Audit Report

Follow-up Review Date: Nov. 14, 2007

Issues of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration
Section 408 – Jurisdiction Notification of Application Filing

2nd Follow-up Review Date: August 27, 2008

Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration
The findings of noncompliance continue to be relevant under the Plan rewrite (Sections 320 and 405).
Section 408 – Jurisdiction Notification of Application Filing
The findings of noncompliance continue to be relevant under the Plan rewrite (Section 1215)

Dispute Resolution Committee Decision: October 3, 2008
Florida is in compliance with Section 408 of the Plan.

Concerning compliance with Sections 400 and 800 of the Plan, Florida is directed to send to the Peer Review Committee by April 30, 2009, a list of new and renewal applications for Plan registration that include estimated distances processed by Florida between October 3, 2008, and March 31, 2009. The Peer Review Committee will select 5 new and 5 renewal applications for review and submit its findings to the Dispute Resolution Committee at the next meeting of the DRC.

Dispute Resolution Committee Decision: September 11, 2009

Motion and second to find Florida in compliance with Sections 400 and 800. Motion passed. Florida Complies with all Sections of the Plan.

Peer Review Date: February 23-24, 2011

Issues of Non-Compliance:
Section 1070 – Audit Transmittals
Section 620 – Temporary Evidence of Apportioned Registration
Section 1210 – Timely Collection of Apportionable Fees
Section 405 – Calculation of Apportionment Percentage
Follow-up Review Date:  February 22, 2012

Issues of Non-Compliance
Section 1070 – Audit Transmittals

Dispute Resolution Committee Decision:  May 18, 2012

Moved and seconded to find Florida is out of compliance with Plan Section 1070. Further, that by July 1, 2012, Florida shall provide the Peer Review Committee a project plan indicating milestones and deliverables that demonstrate the ability to come into compliance by March 31, 2013 with Plan § 1070. Florida shall also provide quarterly plan updates commencing October 1, 2012. 
Motion passed unanimously

Dispute Resolution Committee Decision:  June 4, 2013
Moved and seconded to find that Florida continues to be out of compliance with Plan Section 1070. Florida is required to provide Clearinghouse transmittal reports to the Peer Review Committee that include audit fees and a listing of all paid audits for the 3rd and 4th quarter of 2013 within 15 days of the end of each quarter to demonstrate compliance with Section 1070 of the Plan.
Motion passed unanimously.

Dispute Resolution Committee Decision:  May 14, 2014
Moved and seconded to find that Florida in compliance with Plan Section 1070. 
Motion passed unanimously

Peer Review Date:  June 8-9, 2016

Issues of Non-Compliance:
Section 320 – Average per-Vehicle Distance
Section APM 601 – Audit Report

Follow-up Review Date:  June 28, 2017

Florida complies with all Sections of the Plan
Georgia Peer Review

**Peer Review Date:** May 12-13, 1999

**Issues of Non-Compliance:**
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800, Applications with Estimated Mileage
Section 1104, Rental Fleet
Section 1111, Rental Vehicle: Base Jurisdiction
Section 1116, Rental Passenger Cars
Section 1118, Rental Trailers and Semi-Trailers
Section 1120, Utility Trailers
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** June 29, 2000

**Issues of Non-Compliance:**
Section 400, Application Filed with Base Jurisdiction
Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 11, 2000
Georgia is in compliance with Section 400
Georgia is required to annually complete audits of at least 3% of its carriers

**2001 Follow-up to 2000 Dispute Resolution Committee Decision:** Nov. 1, 2001
Georgia complies with all sections of the Plan.

---

**Peer Review Date:** May 5-6, 2004

**Issues of Non-Compliance:**
Section 218 – Established Place of Business
Section 400 – Application Filed with Base Jurisdiction
Section 700 – Vehicle Withdrawn: Disposition of Fees
Section 800 – Application for Initial Registration

**Follow-up Review Date:** June 29, 2005
Georgia complies with all sections of the Plan.

---

**Peer Review Date:** April 15-16, 2009

**Issues of Non-Compliance:**

Article II, Definitions
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1065 – Netting of Audit Adjustments
Section 1215 – Fee Transmittals
Section 1225 – Fee Test
Section 1015 – Frequency of Audits
Section 1025 – Notification of Audit Findings
APM 202 – Professional Care
APM 803 – Interjurisdictional Audit Report
Section 1040 - Reexaminations

Follow-up Review Date:  May 26, 2010

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions

2nd Follow-up Review Date:  April 27, 2011

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions

Dispute Resolution Committee Decision:  May 6, 2011

Georgia is required to submit a list of new and renewal accounts with estimated distance from the 3rd and 4th quarters of 2011 from which the Peer Review Committee will select ten accounts for review to determine compliance.

Dispute Resolution Committee Decision:  May 18, 2012
Moved and seconded to find Georgia still out of compliance with Plan Sections 315 and 320. The DRC will send a letter to the Commissioner of the Georgia Department of Revenue explaining the actions to date. Additionally, Georgia shall submit a list of new and renewal accounts with estimated distance from the 3rd and 4th quarters of 2012 from which the Peer Review Committee would select ten accounts for review to determine compliance. Motion passed unanimously.

Dispute Resolution Committee Decision:  June 4, 2013
Moved and seconded to find Georgia in compliance with Plan Sections 315 and 320.

Georgia is now in full compliance with the Plan.

Peer Review Date:  April 16-17, 2014

Issues of Non-Compliance:
Apportionable Vehicle
Section 305 – Selection of Base Jurisdiction
Section 405 – Calculation of Apportionment Percentage
Section 1050 – Netting of Audit Adjustments
Section 1060 – Audit Transmittals
Follow-up Review Date:  April 22, 2015

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction

2nd Follow-up Review Date:  February 17, 2016
Georgia is now in full compliance with the Plan.
Idaho Peer Review

**Peer Review Date:** March 12-14, 1997

**Issues of Non-Compliance:**
- Section 910, Hunter Permits
- Audit Section, Jurisdiction Accountability
- Audit Section, Standards of Reporting
- Audit Section, Registrant’s Record Requirements

**Follow-up Review Date:** May 12, 1998

**Issues of Non-Compliance:**
- Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 19, 1998
Idaho has 12 months to comply with the Plan

**Dispute Resolution Committee Decision:** Nov. 13, 1999
Idaho has 12 months to comply with the Plan

**Dispute Resolution Committee Decision:** Nov. 13, 2000
Idaho complies will sections of the Plan

**Peer Review Date:** April 25-26, 2001

**Issues of Non-Compliance:**
Idaho complies with all sections of the Plan

**Peer Review Date:** Aug. 9-10, 2006

**Issues of Non-Compliance:**
- Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings
- Section IRP 1610 – Reexaminations

**Follow-up Review Date:** Aug. 15, 2007
Idaho Complies with all sections of the Plan

**Peer Review Date:** July 20-21, 2011

**Issues of Non-Compliance:**
- Section 315 – Application Process
- Section 1025 – Notification of Audit Findings
- APM 803 – Interjurisdictional Audit Report

**Follow-up Review Date:** July 18, 2012
Idaho Complies with all sections of the Plan

**Peer Review Date:** July 12-14, 2016

**Issues of Non-Compliance:**
Section APM 502 – Evaluation of Internal Control

**Follow-up Review Date:** July 19, 2017
Idaho Complies with all sections of the Plan
Peer Review Date: July 23-25, 1997

Issues of Non-Compliance:
Section 204, Definition of Apportioned Vehicle
Section 400, Application Filed with Base Jurisdiction
Section 408(a), Jurisdiction Notification of Application Filing
Section 1120, Utility Trailers
Section 1202, Owner-Operator Leased Equipment
Section 1404, Interjurisdiction or Intrajurisdiction Operation

Follow-up Review Date: July 27, 1998

Issues of Non-Compliance:
Section 408(a), Jurisdiction Notification of Application Filing
Section 1404, Interjurisdiction or Intrajurisdiction Operation

Dispute Resolution Committee Decision: Nov. 19, 1998
Illinois has 12 months to comply with Section 408(a)
Illinois has 12 months to comply with Section 1404

Dispute Resolution Committee Decision: Nov. 13, 1999
Illinois is in compliance with Section 1404
Illinois has 12 months to comply with Section 408

Dispute Resolution Committee Decision: Nov. 11, 2000
Illinois complies with all sections of the Plan

Peer Review Date: Sept. 11-12, 2002

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 502, Identification Plates and Cab Cards
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 910, Hunter’s Permit
APM, Section 700, Audit Procedure

Follow-up Review Date: Oct. 15, 2003
Illinois complies with all sections of the Plan
Peer Review Date: June 20-21, 2007

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 400, Application Filed with Base Jurisdiction
Section APM 206, Working Paper Documentation
Section APM 602, Initial Audit Conference
Section APM 801, Exit Conference
Section APM 802, Registrant Audit Report

Follow-up Review Date: July 16, 2008

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 400, Application Filed with Base Jurisdiction
Section APM 206, Working Paper Documentation

Dispute Resolution Committee Decision: October 3, 2008
Illinois is now in compliance with Sections 400 and 408.

Illinois is still out of compliance with APM 206 and is directed to send to the Peer Review Committee by April 30, 2009, ten audits completed between October 3, 2008, and March 31, 2009. The Peer Review Committee will review the information and submit its findings to the Dispute Resolution Committee at the next meeting of the DRC. Motion passed.

Dispute Resolution Committee Decision: September 11, 2009
Motion and second to find Illinois in compliance with APM Section 206. Motion passed.
Illinois complies with all Sections of the Plan.

Peer Review Date: July 24-26, 2012

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1025 – Notification of Audit Findings
APM 703 – Sampling and Extrapolation Procedures
APM 802 – Registrant Audit Report
APM 803 – Interjurisdictional Audit Report

Dispute Resolution Committee Decision: May 14, 2014
Motion and Seconded to find Illinois out of compliance with Plan Section 305 and 320
Illinois is required to identify and report in detail the financial impact of its non-compliance on each member jurisdictions for the 2013 registration period. Illinois shall submit its report to the DRC by December 31, 2014. A summary will be provided by the
DRC to the member jurisdictions. Effective June 1, 2014, Illinois shall properly calculate fees for the remainder of 2014. Illinois shall provide a list of all renewal, new, and supplemental applications with estimated distance to the PRC quarterly within 15 days of the end of each quarter.

*Motion passed unanimously.*

**Dispute Resolution Committee Decision:** May 19, 2015

Motion and Seconded to find Illinois in compliance with Plan Section 315 (Application Process) and Plan Section 320 (Distance Estimates by Base Jurisdictions) subject to confirmation by the PRC that fees were calculated correctly beginning June 1, 2014.

September 2, 2015 – PRC provided confirmation to DRC that based on Committee review of accounts, Illinois was in fact calculating fees correctly by the beginning of June 1, 2014.

**Peer Review Date:** August 23-24, 2017

**Issues of Non-Compliance:**
Section 315 – Application Process
Section 530 – Unladen Vehicle registration – Hunter’s Permit
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report
Section 1055 – Audit Reports

**Follow-up Review Date:** August 22, 2018

**Issues of Non-Compliance:**
Section 315 – Application Process
Indiana Peer Review

**Peer Review Date:** July 22-23, 1998

**Issue of Non-Compliance:**
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** Aug. 9, 1999
Indiana complies with all sections of the Plan

---

**Peer Review Date:** June 16-17, 2004

**Issues of Non-Compliance:**
Section 218 – Established Place of Business
Section 306 – Fee Change Notification
Section 400 – Application Filed with Base Jurisdiction
Section 500 – Base Jurisdiction Registration
Section 502 – Identification Plates and Cab Cards
Section 700 – Vehicle Withdrawn: Disposition of Fees
Section 1202 – Owner-Operator Leased Equipment
APM Section 704 – Audit Process

**Follow-up Review Date:** July 20, 2005
Indiana complies with all sections of the Plan

---

**Peer Review Date:** June 24-25, 2009

**Issues of Non-Compliance:**
Section 315 – Application Process
Section 1070 – Audit Transmittals
Section 1215 – Fee Transmittals

**Follow-up Review Date:** June 25, 2010.
Section 1070 – Audit Transmittals
Section 1215 – Fee Transmittals

**2nd Follow-up Review Date:** April 6, 2011
Section 1070 – Audit Transmittals
Section 1215 – Fee Transmittals

**Dispute Resolution Committee Decision:** May 6, 2011

Indiana is required to provide Clearinghouse transmittal reports that include audit fees to the Peer Review Committee beginning with the quarter ending September 30, 2011 and every quarter thereafter, through the first quarter of 2012, within 15 days of the end of each quarter.
Beginning with the quarter ending September 30, 2011 and every quarter thereafter, through the first quarter of 2012, Indiana is required to submit to the Peer Review Committee, within 15 days of the end of each quarter, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Section 1215

**Dispute Resolution Committee Decision:** May 18, 2012

Moved and seconded to find Indiana in compliance with Plan Sections 1070 and 1215. Indiana is now in compliance with all sections of the Plan

**Peer Review Date:** June 25-26, 2014

**Issues of Non-Compliance:**
Section 1215 – Fee Transmittals

**Follow-up Review Date:** July 6, 2015

**Issues of Non-Compliance:**
Section 1215 – Fee Transmittals

**2nd Follow-up Review Date:** February 17, 2016
Indiana is now in full compliance with the Plan.
Iowa Peer Review

Peer Review Date: March 28-31, 1994

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards
Section 600, Applications of Mileage Percentage
Section 910, Hunter Permits
Section 1118(b), Rental Trailers and Semi-Trailers
Section 1120, Utility Trailers
Section 1304, Determination of Total Bus Miles

Follow-up Review Date: March 31, 1995
Iowa complies with all sections of the Plan

Peer Review Date: April 26-27, 2000

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 906, Place of Business (Owner-Operators)
Section 238, Preceding Year
Section 408, Jurisdiction Notification of Application Filing
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 1104, Rental Fleet
Section 1206, Registration in Base of Carrier
Audit Section, Jurisdiction Accountability

Follow-up Review Date: May 3, 2001

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 906, Place of Business (Owner-Operator)

Dispute Resolution Committee Decision: Nov. 11, 2001
Iowa complies with all sections of the Plan.

Peer Review Date: Oct. 19-20, 2005

Issues of Non-Compliance:
Section 218 – Established Place of Business
Section 408 – Jurisdiction Notification of Application Filing
Section 700 – Vehicle Withdrawn: Disposition of Fees

Follow-up Review Date: Oct. 18, 2006
Iowa complies with all sections of the Plan.

Peer Review Date: September 8-9, 2010

Issues of Non-Compliance:
Section 905 – Rental Passenger Cars
Section 1215 – Fee Transmittals
APM 206 – Working Paper Documentation
APM 703 – Sampling and Extrapolation Procedures
APM 803 – Interjurisdictional Audit Report
Section 1015 – Frequency of Audits

Follow-up Review Date: September 14, 2011

Issues of Non-Compliance:
Section 905 – Rental Passenger Cars
APM 703 – Sampling and Extrapolation Procedures

Follow-up Review Date: January 18, 2012
Iowa complies with all sections of the Plan.

Peer Review Date: September 8-10, 2015

Issues of Non-Compliance:
APM 402 – Opening Conference
APM 404 – Exit Conference
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report

Follow-up Review Date: September 7, 2016
Iowa complies with all Sections of the Plan.
Kansas Peer Review

**Peer Review Date:** Nov. 5-6, 1997

**Issues of Non-Compliance:**
- Section 506, Operation Under Apportioned Registration
- Section 700, Vehicle Withdrawn, Disposition of Fees
- Section 800(b), Applications with Estimated Mileage
- Section 1104, Rental Fleet
- Section 1111, Rental Vehicle: Base Jurisdiction
- Section 1118(b), Rental Trailers and Semi-Trailers
- Section 1122, One Way Vehicles
- Section 1304, Determination of Total Bus Miles
- Section 1404, Interjurisdiction or Intra Jurisdiction Operation

**Follow-up Review Date:** Nov. 6, 1998

**Issue of Non-Compliance:**
- Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 13, 1999
- Kansas is required to annually complete audits of at least 3% of its carriers

**Dispute Resolution Committee Decision:** Nov. 11, 2000
- Kansas complies with all sections of the Plan

**Peer Review Date:** March 6-7, 2002

**Issues of Non-Compliance:**
- Kansas complies with all sections of the Plan

**Peer Review Date:** Aug. 8-9, 2007

**Issues of Non-Compliance:**
- Section 408 – JURISDICTION NOTIFICATION OF APPLICATION FILING
- Section 801 – ESTIMATED DISTANCE METHODOLOGY
- APM 803 – INTERJURISDICTIONAL AUDIT REPORT AND DISTRIBUTION OF THE AUDIT FINDINGS
- Section 1604 – NOTIFICATION OF AUDIT FINDING
- Section 1608 – AUDIT APPEALS
Follow-up Review Date: August 27, 2008

Issues of Non-Compliance:

Section 408 – Jurisdiction Notification of Application Filing

2nd Follow-up Review Date: May 27, 2009
Kansas complies with all sections of the Plan

Peer Review Date: August 7-9, 2012

Issues of Non-Compliance:

Section 1070 – Audit Transmittals
Section 1025 – Notification of Audit Finding
APM 802 – Registrant Audit Report
APM 803 – Interjurisdictional Audit Report

Follow-up Review Date: August 21, 2013
Kansas complies with all sections of the Plan

Peer Review Date: November 8-9. 2017

Issues of Non-Compliance:

Section 530 – Unladen Vehicle Registration – Hunter’s Permit
APM 601 – Audit Report

Follow-up Review Date: November 14, 2018
Kansas complies with all sections of the Plan
Kentucky Peer Review

Peer Review Date:  Sept. 11-13, 1996

Issues of Non-Compliance:
Section 110(a), Registrant from Non-Member Jurisdiction
Section 218, Established Place of Business
Section 408(b), Commentary — Exchange of Canadian Fees
Section 502, Identification Plates and Cab Cards
Section 1111, Rental Vehicle: Base Jurisdiction
Section 1304, Determination of Total Bus Miles
Section 1404, Inter or Intrajurisdiction Operation
Section 1408, Issuance of Trip Permits
Audit Section, Jurisdiction Accountability

Follow-up Review Date:  Sept. 1997
Kentucky complies with all sections of the Plan

Peer Review Date:  July 24-25, 2002

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 800, Application for Initial Registration
APM Section 801, Exit Conference

Follow-up Review Date:  Aug. 6, 2003

Issue of Non-Compliance:
APM Section 801, Exit Conference

Follow-up Review Date #2:  Sept. 17, 2003
Kentucky complies with all sections of the Plan

Peer Review Date:  May 23-24, 2007

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 408 – Jurisdiction Notification of Application Filing
Section 218, Established Place of Business
Section 906, Place of Business (Owner-Operator)
Section 234, Owner-Operator
Section 700, Vehicle Withdrawn: Disposition of Fees
APM 206, Working Paper Documentation
APM 603, Request for Records
APM 801, Exit Conference
Section 1604, Notification of Audit Findings
APM 802, Registrant Audit Report
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: June 18, 2008
Kentucky complies with all sections of the Plan

Peer Review Date: June 12-14, 2012

Issues of Non-Compliance:
Article II, Definitions
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdiction
Section 1225 – Fee Test
Section 1015 – Frequency of Audits

Follow-up Review Date: June 19, 2013
Kentucky complies with all sections of the Plan

Peer Review Date: June 13-15, 2017

Issues of Non-Compliance:
Article II, Definitions
Section 805 – Determination of Distance
Section 315 – Application Process
APM 601 – Audit Report
Section 1040 – Reexaminations

Follow-up Review Date: June 20, 2018
Kentucky complies with all sections of the Plan
Louisiana Peer Review

**Peer Review Date:** Sept. 2-3, 1998

**Issues of Non-Compliance:**
Section 408, Jurisdiction Notification of Application Filing
Section 506, Operation Under Apportioned Registration
Section 1304, Determination of Total Bus Miles
Section 910, Hunter Permits

**Follow-up Review Date:** Oct. 14, 1999

**Issue of Non-Compliance:**
Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 13, 1999
Louisiana has 12 months to come in compliance with Section 408

**Dispute Resolution Committee Decision:** Nov. 11, 2000
Louisiana complies with all sections of the Plan

---

**Peer Review Date:** Oct. 23-24, 2003

**Issues of Non-Compliance:**
Section 256 – Total Distance
Section 262 – Audit
Section 502 – Identification Plates and Cab Cards
Section 1600 – Frequency of Audits
Section 1604 – Notification of Audit Findings
Section 1610 – Reexamination
APM Section 203 – Study and Evaluation
APM Section 301 – Auditor Qualification
APM Section 601 – Pre-Audit Contact
APM Section 703 – Sampling & Extrapolation Procedures
APM Section 704 – New Operations/Change(s) in Operations
APM Section 802 – Registrant Audit Report
APM Section 803 – Interjurisdictional Audit Report and Distribution of Audit Findings

**Follow-up Review Date:** Nov. 9, 2004 & Jan. 26, 2005
Louisiana complies with all Sections of the Plan.
Peer Review Date: November 19-20, 2008

Issue of Non-Compliance:
Article II, Definitions
APM 801, Exit Conference
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: May 27, 2009
Louisiana complies with all Sections of the Plan.

Peer Review Date: December 3-5, 2013

Issue of Non-Compliance:
Article II, Definitions
Section 1025 – Notification of Audit Finding (Current Plan Section 1055)

Follow-up Review Date: January 21, 2015
Louisiana complies with all Sections of the Plan.

Peer Review Date: December 4-6, 2018

Issue of Non-Compliance:
APM 601 – Audit Report
Section 1025 – Frequency of Audits
Section 1040 – Reexaminations
Section 1055 – Reexaminations
Section 1065 – Audit Appeals.
APM 401 – Audit Notification
APM 402 – Opening Conference
APM 501-Preliminary Audit Procedures
APM 502 – Evaluation of Internal Control
Maine Peer Review

Peer Review Date: Oct. 5-7, 1994

Issues of Non-Compliance:
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards
Section 506, Operation Under Apportioned Registration

Follow-up Review Date: Oct. 5, 1995
Maine complies with all sections of the Plan

Peer Review Date: July 12-13, 2000

Issues of Non-Compliance:
Maine complies with all sections of the Plan

Peer Review Date: March 30-31, 2005

Issues of Non-Compliance:
Section 408 – Jurisdiction Notification of Application Filing
Section 800 – Application for Initial Registration

Follow-up Review Date: March 15, 2006
Maine complies with all sections of the Plan.

Peer Review Date: May 19-20, 2010

Issues of Non-Compliance:
APM 202 – Professional Care
Section 1065 – Netting of Audit Adjustments

Follow-up Review Date: May 11, 2011
Maine complies with all sections of the Plan.

Peer Review Date: May 12-14, 2015

Issues of Non-Compliance:
APM 601 – Audit Report
Section 1055 – Audit Reports (1025, APM 802 & 803)

Follow-up Review Date: May 18, 2016
Maine complies with all sections of the Plan.
Manitoba Peer Review

Peer Review Date: Sept. 15-16, 2004

Issues of Non-Compliance:
Section 1600 – Frequency of Audits
Section 1604 – Notification of Audit Findings
Section 1614 – Finality of Audit Findings
Section 1704 – Netting of Audit Adjustments
Section 1706 – Audit Transmittals
APM Section 802 – Registrant Audit Report
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings
APM Section 804 – Annual Report of IRP Audit Activity

Follow-up Review Date: Sept. 26, 2005
Manitoba complies with all sections of the Plan.

Peer Review Date: Sept. 23-24, 2009

Issues of Non-Compliance:
Section 315 – Application Process

Follow-up Review Date: Sept. 22, 2010
Manitoba complies with all sections of the Plan.

Peer Review Date: Sept. 24-25, 2014

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction
Section 605 – Display of Registration Credentials; Renewal Credentials
Section 1215 – Fee Transmittals
Section 1025 – Frequency of Audit

Follow-up Review Date: Sept. 16 and October 28, 2015
Manitoba complies with all Sections of the Plan.
Maryland Peer Review

Peer Review Date: June 10-11, 1998

Issues of Non-Compliance:
Section 110(b), Registrant from Non-Member Jurisdiction
Section 300, Determination of Fees
Section 400, Application Filed with Base Jurisdiction
Section 408(a), Jurisdiction Notification of Application Filing
Section 800(a), Application for Initial Registration
Section 800(b), Applications with Estimated Mileage
Section 1304, Determination of Total Bus Miles
Audit Section, Jurisdiction Accountability
Audit Section, Appeals Process

Follow-up Review Date: July 7, 1999

Issues of Non-Compliance:
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 13, 1999
Maryland has 12 months to come into compliance with Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 11, 2000
Maryland has 12 months to come into compliance with Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 1, 2001
Maryland complies with all sections of the Plan.

Peer Review Date: Nov. 14-15, 2001

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 702, Replacement Vehicles
Section 906, Place of Business (Owner-Operators)
Audit Section, Jurisdiction Accountability
Audit Section, Standards of Reporting

Follow-up Review Date: Nov. 25, 2002
Maryland complies with all sections of the Plan
Peer Review Date: May 24-25, 2006

Issues of Non-Compliance:
Section 408 – Jurisdiction Notification of Application Filing
Section 502 – Identification Plates and Registration Cab Cards
Section 700 – Vehicle Withdrawn: Disposition of Fees
Section 1600 – Frequency of Audits
Section 1704 – Netting of Audit Adjustments
APM Section 203 – Study and Evaluation
APM 206 – Working Paper Documentation
APM 703 – Sampling and Extrapolation Procedures
APM 801 – Exit Conference

Follow-up Review Date: May 9, 2007

Issues of Non-Compliance:
Section 1600 – Frequency of Audits
APM 203 – Study & Evaluation
APM 206 – Working Paper Documentation
APM 703 – Sampling and Extrapolation Procedures

Dispute Resolution Committee Decision: Sept. 28, 2007
Maryland must submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports must include an electronic list of new audits for review by the Peer Review Committee, from which the Committee will select five completed audits to review each quarter.

Maryland must provide a copy of its audit contract when it is executed.

Maryland must submit a plan of action to come into full compliance. Maryland must complete the required number of audits as required by the Plan to include the number of audits required over the last peer review period and forward.

Dispute Resolution Committee Decision: October 3, 2008
Maryland remains out of compliance with Section 1600 of the Plan and APM Sections 203, 206, and 703. By November 1, 2008, Maryland must have in place and submit to the Peer Review Committee an updated audit program providing for the attainment of full compliance with the Plan, including audit procedures, forms and worksheets, and a copy of its audit contract.

Beginning on January 15, 2009, Maryland shall submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter from which the Peer Review Committee will select 5 completed audit reports for review. Maryland must perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual for the period 2006-2009 by the annual meeting of the Dispute Resolution Committee in 2009.
Dispute Resolution Committee Decision:  September 11, 2009

Motion and second to find Maryland in compliance with Section 1600 and APM Sections 203, 206, and 703. Motion passed. Maryland complies with all Sections of the Plan.

Peer Review Date:  June 22-23, 2011

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1215 – Fee Transmittals

Follow-up Review Date:  July 18, 2012
Maryland complies with all sections of the Plan

Peer Review Date:  June 22-23, 2016

Issues of Non-Compliance:
Section 420 – New Fleets
Section 620 – Temporary Evidence of Apportioned Registration
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report

Follow-up Review Date:  July 28, 2017

Issues of Non-Compliance:
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report

2nd Follow-up Review Date:  February 21, 2018

Issues of Non-Compliance:
APM 601 – Audit Report

Dispute Resolution Committee Decision:  May 2, 2018, motion and second to find Maryland in compliance with APM Section 601, Audit Report.
Massachusetts Peer Review

Peer Review Date: Feb. 10, 1999

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408(a), Jurisdiction Notification of Application Filing
Section 800(b), Applications with Estimated Mileage
Audit Section, Jurisdiction Accountability

Follow-up Review Date: Feb. 22, 2000

Issue of Non-Compliance:
Section 408(a), Jurisdiction Notification of Application Filing
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 11, 2000
Massachusetts is in compliance with Section 408
Massachusetts is required to submit a plan to demonstrate how, beginning March 31, 2001, through March 31, 2002, they intend to annually complete audits of at least 3% of its carriers

2001 Follow-up to 2000 Dispute Resolution Committee Decision: Nov. 1, 2001
Report only. No action until the next Dispute Resolution Committee meeting.

2002 Follow-up to 2000 Dispute Resolution Committee Decision: Nov. 9, 2002
Massachusetts complies with all sections of the Plan

Peer Review Date: Dec. 4-5, 2002

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 1704, Netting of Audit Adjustments
Section 1706, Audit Transmittals

Follow-up Review Date: Feb. 25, 2004

Issue of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration

Follow-up Review Date: Aug. 11, 2004
Massachusetts complies with all sections of the Plan
Peer Review Date: Dec. 12-13, 2007

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 500, Base Jurisdiction Registration
Section 502, Identification Plates and Cab Cards
APM 206, Working Paper Documentation
APM 601, Pre-Audit Contact
APM 801, Exit Conference
APM 802, Registrant Audit Report
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: December 17, 2008

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
APM 206, Working Paper Documentation
APM 801, Exit Conference

Follow-up Review Date: July 20, 2009

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
APM 206, Working Paper Documentation

Dispute Resolution Committee Decision: September 11, 2009

Motion and second to find Massachusetts out of compliance with Plan Section 408 (new Section 1215) and APM Section 206. Massachusetts is required to submit to the Peer Review Committee by March 31, 2010, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Section 408. Massachusetts is required to submit to the Peer Review Committee by December 31, 2009, a list of audits completed, from which the Peer Review Committee will select a suitable number of audits for review to ensure the working papers are in compliance with the Audit Procedures Manual. Motion passed.

Dispute Resolution Committee Decision: May 13, 2010

Motion and second to find Massachusetts in compliance with Section 206. Motion Passed. Motion and second to find Massachusetts out of compliance with Plan Section 408 (current Plan section 1215) Fee Transmittals. Beginning with the quarter ending June 30, 2010 and every quarter thereafter until March 31, 2011, Massachusetts is required to submit to the Peer Review Committee within 15 days of the end of each quarter, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Plan Section 408 (current Plan section 1215). Motion passed.
**Dispute Resolution Committee Decision:** May 6, 2011

Beginning with the quarter ending September 30, 2011 and every quarter thereafter through the first quarter of 2012, Massachusetts is required to submit to the Peer Review Committee, within 15 days of the end of each quarter, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Section 1215 (former Section 408).

**Dispute Resolution Committee Decision:** May 6, 2011
Moved and seconded to find Massachusetts is in compliance with current Plan Section 1215 (prior Plan Section 408). *Motion passed unanimously.*

Massachusetts is in full compliance with the Plan

---

**Peer Review Date:** Dec. 11-13, 2012

**Issues of Non-Compliance:**
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
APM 802 – Registrant Audit Report
APM 803 – Interjurisdictional Report
APM 601 – Pre-Audit Contact
Section 1015 – Frequency of Audits

**Follow-up Review Date:** December 18, 2013
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1015 – Frequency of Audits

**Dispute Resolution Committee Decision:** July 14, 2014
Motion and Seconded to find Massachusetts is out of compliance with Plan Sections 315 and 320. Massachusetts is required to submit a list of renewal and new accounts with estimated distance from the 3rd and 4th Qtr. 2014 with 15 days of the end of each quarter, from which the PRC will select 10 accounts for review to determine compliance. *Motion passed unanimously*

Motion and seconded to find Massachusetts must have in place and submit to the Peer Review Committee (PRC) by July 1, 2014, a detailed plan of action with milestones to address the audit deficit during the period of 2007-2011 in order to attain compliance by December 31, 2015. In addition, Massachusetts must provide the Peer Review Committee quarterly progress reports within 15 days of the end of each quarter beginning with the 2nd Qtr. 2014, which shall include a list of audits completed in each quarter. Massachusetts is required to provide a separate plan to the PRC by July 1, 2014, on how it intends to maintain compliance with current Plan Section 1025 (Frequency of Audits) for the current review period of 2012-2014. *Motion passed unanimously*
Dispute Resolution Committee Decision: May 19, 2015
In May 2015, the DRC found that Massachusetts complied with and met the requirements for Plan Sections 315 (Application Process) and 320 (Distance Estimates by Jurisdictions) and determined they were in compliance with these Sections. The DRC congratulates Massachusetts for coming into compliance.

The DRC also found that Massachusetts remains out of compliance with Plan Section 1015 (Frequency of Audits), current Plan Section 1025. Massachusetts also failed to submit a detailed plan of action to the PRC as requested by the DRC with milestones to address the audit deficit for the 2007-2011 review period, in order to attain compliance by December 31, 2015. The DRC is concerned with Massachusetts' ability to make up the outstanding 303 audits by the end of this calendar year. The DRC is hopeful that Massachusetts can accomplish this requirement.

Dispute Resolution Committee Decision: May 3, 2016
The DRC found that Massachusetts remains out of compliance with Plan Section 1015 (Frequency of Audits), current Plan Section 1025. It was the expectation of the DRC that Massachusetts would attain compliance by December 31, 2015. It did not, and remains out of compliance. The DRC is concerned with the ability of Massachusetts to make up the prior deficit of 97 audits plus the required 3% of audits for 2016 for a total of 170 audits to be completed for the 2016 calendar year. Massachusetts reported to the DRC that as of May 3rd, 2016 70 audits had been completed. The DRC is also concerned about the quality of the audits being performed as only one auditor is performing these audits and no additional resources are being assigned to address the backlog of audits. The DRC spend a considerable amount of time in closed session discussing Massachusetts’ continuing non-compliance and ultimately determined that it needed to send a strong message to the administrators of Massachusetts by sending a letter to the Registrar of Motor Vehicles of Massachusetts expressing these concerns.

Dispute Resolution Committee Decision: May 18, 2017
Motion and second to find Massachusetts in compliance with Section 1015.

Peer Review Date: Dec. 12-14, 2017

Issues of Non-Compliance:
Article II, Definitions
APM 202 – Study and Evaluation
APM 203 – Planning and Supervision
APM 206 – Working Paper Documentation
APM 402 – Opening Conference
APM 501 – Preliminary Audit Procedures
APM 502 – Evaluation of Internal Control
APM 601 – Audit Report

Follow-up Review Date: December 19, 2018
APM 601 – Audit Report
Michigan Peer Review

Peer Review Date:  May 17-19, 1995

Issues of Non-Compliance:
Section 400, Application Filed with a Base Jurisdiction
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards
Section 700, Vehicle Withdrawn; Disposition of Fees
Audit Section, Jurisdiction Accountability

Follow-up Review Date:  July 18, 1996

Issues of Non-Compliance:
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision:  Aug. 25, 1996
Michigan has 12 months to comply with Jurisdiction Accountability

Dispute Resolution Committee Decision:  Sept. 11, 1997
Michigan has until Dec. 1997 to come into compliance with the Plan

Dispute Resolution Committee Decision:  Nov. 19, 1998
Michigan complies with all sections of the Plan

Peer Review Date:  Sept. 7-8, 2000

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 800, Applications with Estimated Mileage (Application for Initial Registration)
Section 1204, Registration in Base of Service Representative

Follow-up Review Date:  Sept. 25, 2001

Issues of Non-Compliance:
Michigan complies with all sections of the Plan

Peer Review Date:  July 27-28, 2005

Issues of Non-Compliance:
Section 408 – Jurisdiction Notification of Application Filing
APM 801 – Exit Conference
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date:  Feb. 15, 2006
Michigan complies with all sections of the Plan
Peer Review Date: June 23-24, 2010

Issues of Non-Compliance:
APM 202 – Professional Care
APM 402 – Monthly, Quarterly, and Yearly Summaries
APM 803 – Interjurisdictional Audit Report

Follow-up Review Date: 6-15-2011
Michigan complies with all sections of the Plan

Peer Review Date: October 20-22, 2015

Issues of Non-Compliance:
APM 404 – Exit Conference
APM 502 – Evaluation of Internal Control
APM 601 – Audit Report

Follow-up Review Date: 10-19, 2016
Michigan complies with all sections of the Plan
Minnesota Peer Review

Peer Review Date: April 10-12, 1996

Issues of Non-Compliance:
Section 408(a), Jurisdiction Notification of Application Filing
Section 1116, Rental Passenger Cars
Audit Section, Jurisdiction Accountability

Follow-up Review Date: April 16, 1997

Issues of Non-Compliance:
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 16, 1997
Minnesota has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 19, 1998
The Dispute Resolution Committee could not make a decision on this matter and it was referred to the membership for a vote.

Dispute Resolution Committee Decision: Nov. 13, 1999
Minnesota is required to annually complete audits of at least 3% of its carriers

Dispute Resolution Committee Decision: Nov. 11, 2000
Minnesota complies with all sections of the Plan

Peer Review Date: May 17-18, 2001

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800, Application for Initial Registration
Audit Section, Jurisdiction Accountability

Follow-up Review Date: May 29, 2002

Issue of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing

Dispute Resolution Committee Decision: Nov. 9, 2002
Minnesota is required to submit quarterly reports of its transmittal activity through June 2003.

Dispute Resolution Committee Decision: Nov. 15, 2003
Minnesota complies with all sections of the Plan.

Peer Review Date: July 12-14, 2006

Issues of Non-Compliance:
Section 218 – Established Place of Business
Section 234 – Owner-Operator
Section 400 – Application Filed with Base Jurisdiction
Section 408 – Jurisdiction Notification of Application Filing
Section 502 – Identification Plates and Registration Cab Cards
Section 800 – Application for Initial Registration
Section 906 – Place of Business (Owner-Operators)
APM Section 206 – Working Paper Documentation
APM Section 602 – Initial Audit Conference
APM Section 703 – Sampling and Extrapolation Procedures
APM Section 801 – Exit Conference
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

Follow-up Review Date: July 18, 2007

Issue of Non-Compliance:
Section 1604 – Notification of Audit Finding
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

2nd Follow-up Review: Aug. 15, 2007

Minnesota complies with all sections of the Plan.

Peer Review Date: October 26-27, 2011

Issues of Non-Compliance:
Section 1215 – Fee Transmittals
Section 1025 – Notification of Audit Finding
APM 803 – Interjurisdictional Audit Report
APM 703 – Sampling and Extrapolation Procedures

Follow-up Review Date: October 17, 2012
Minnesota complies with all sections of the Plan
**Peer Review Date:** October 12-13, 2016

**Issues of Non-Compliance:**
Section 1055 Audit Reports  
APM 202 – Study and Evaluation  
APM 402 – Opening Conference  
APM 404 – Exit Conference  
APM 501 – Preliminary Audit Procedures  
APM 503 – Sampling and Extrapolation Procedures  
APM 601 – Audit Report

**Follow-up Review Date:** November 22, 2017  
Minnesota complies with all sections of the Plan
Mississippi Peer Review

Peer Review Date: Jan. 15-17, 1997

Issues of Non-Compliance:
Section 204, Definition of Apportioned Vehicle
Section 256, Total Distance
Section 506, Operation Under Apportioned Registration
Section 1104, Rental Fleet
Audit Section, Jurisdiction Accountability

Follow-Up Review Date: April 28, 1998
Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 19, 1998
Mississippi has 12 months to comply with the Plan

Dispute Resolution Committee Decision: Nov. 13, 1999
Mississippi is required to annually complete audits of at least 3% of its carriers.

Dispute Resolution Committee Decision: Nov. 11, 2000
Mississippi complies with all sections of the Plan

Peer Review Date: Jan. 23-24, 2002

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
APM Section 803, Interjurisdictional Audit Report and Distribution of the Audit Findings.

Follow-up Review Date: Feb. 6, 2003
Mississippi complies with all sections of the Plan

Peer Review Date: Jan. 24-25, 2007

Issues of Non-Compliance:
Section 700 – Vehicle withdrawn: Disposition of Fees
Section 1704 – Netting of Audit Adjustments
Section 1604 – Notification of audit Findings
APM 701 – Initial Audit Procedures
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: Sept. 12, 2007
Mississippi complies with all sections of the Plan
Peer Review Date: February 14-16, 2012

Issues of Non-Compliance:
Article II, Definitions
Section 305 – Selection of Base Jurisdiction
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 505 – Cancellation of Registration
Section 905 – Rental Passenger Cars
Section 1065 – Netting of Audit Adjustments
Section 1215 – Fee Transmittals
APM 206 – Working Paper Documentation
Section 1025 – Notification of Audit Findings
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: February 20, 2013

Issues of Non-Compliance:
Article II, Definitions
Section 305 – Selection of Base Jurisdiction
Section 1025 – Notification of Audit Findings
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

2nd Follow-up Review Date: April 24, 2013
Mississippi Complies with all Sections of the Plan

Peer Review Date: February 14-16, 2017

Issues of Non-Compliance:
APM 401 – Pre Audit Notification
APM 501 – Preliminary Audit Procedures
APM 502 – Evaluation of Internal Controls
APM 601 – Audit Report

Follow-up Review Date: February 21, 2018
Mississippi Complies with all Sections of the Plan
Missouri Peer Review

Peer Review Date: May 4-6, 1994

Issues of Non-Compliance:
Section 204, Apportionable Vehicle Restricted Plates
Section 502, Identification Plates & Cab Cards
Section 800, Application for Initial Registration
Section 1304, Determination of Total Bus Miles

Follow-up Review Date: May 9, 1995

Issues of Non-Compliance:
Section 502, Identification Plates & Cab Cards

Dispute Resolution Committee Decision Aug. 28, 1995:
Missouri has 12 months to comply with Section 502

Dispute Resolution Committee Decision April 25, 1996:
Missouri complies with all sections of the Plan

Peer Review Date: May 10-11, 2000

Issues of Non-Compliance:
Section 300, Determination of Fees
Section 800, Application for Initial Registration
Section 1116, Rental Passenger Cars
Audit Section, Independence
Audit Section, Due Professional Care
Audit Section, Field Work Standards

Follow-up Review Date: July 24, 2001

Issues of Non-Compliance:
Audit Section, Independence
Audit Section, Due Professional Care
Audit Section, Field Work Standards

Dispute Resolution Committee Decision: Nov. 1, 2001
Missouri is has 12 months to comply with Audit Sections: Independence, Due Professional Care and Field Work Standards
Dispute Resolution Committee Decision: Nov. 9, 2002
Missouri has nine months to comply with Audit Sections: Independence, Due Professional Care and Field Work Standards, and is required to submit quarterly progress reports.

Dispute Resolution Committee Decision: Nov. 15, 2003
Missouri complies with all sections of the Plan.

Peer Review Date: May 25-26, 2005

Issues of Non-Compliance:
Section 408 – Jurisdiction Notification of Application Filings
Section 1600 – Frequency of Audits

Follow-up Review Date: April 19, 2006
Missouri complies with all sections of the Plan.

Peer Review Date: March 24-25, 2010

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1215 – Fee Transmittals
Section 1015 – Frequency of Audits
Section 1025 – Notification of Audit Findings
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings
Section 1065 – Netting of Audit Adjustments
APM 603 – Request for Operation Records
APM 204 – Adequate Planning and Supervision
APM 206 – Working Paper Documentation

Follow-up Review Date: March 16 and May 11, 2011

Issues of Non-Compliance:
Section 1215 – Fee Transmittals

2nd Follow-up Review Date: February 22, 2012
Missouri complies with all sections of the Plan.

Peer Review Date: March 25 – 26, 2015

Issues of Non-Compliance:
Section 315 – Application Process
Section 1215 – Fee Transmittals

Follow-up Review Date: March 16, 2016
Missouri complies with all sections of the Plan.
Montana Peer Review

**Peer Review Date:** Aug. 6-7, 1997

**Issues of Non-Compliance:**
Section 408(a), Jurisdiction Notification of Application Filing
Section 1118(b), Rental Trailers and Semi-Trailers
Section 1120, Utility Trailers

**Follow-up Review Date:** Sept. 15, 1998
Montana complies with all sections of the Plan

---

**Peer Review Date:** July 23-24, 2003

**Issue of Non-Compliance:**
APM Section 803, Interjurisdiction Audit Report

**Follow-up Review Date:** Aug. 11, 2004
Montana complies with all sections of the Plan

---

**Peer Review Date:** June 25-26, 2008

**Issue of Non-Compliance:**
Section 400 – Application filed with Base Jurisdiction
Section 1704, Netting of Audit Adjustments
Section 1706, Audit Transmittals
Section 1600, Frequency of Audits
APM 206, Working Paper Documentation
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Dates:** July 20th and July 27th, 2009
Montana complies with all sections of the Plan

---

**Peer Review Date:** June 25-26, 2013

**Issue of Non-Compliance:**
Section Article II, Definitions
Section 315 – Application Process
Section 320 – Distance Estimates Base Jurisdictions
Section APM 703 – Sampling and Extrapolation Procedures
Section APM 803 – Interjurisdictional Audit Report
Section 1025 – Notification of Audit Finding

**Follow-up Review Dates:** July 16, 2014
**Issues of Non-Compliance:**
Section APM 803 – Interjurisdictional Audit Report
Section 1025 – Notification of Audit Finding
Follow-up Review Date: October, 22, 2014
Montana complies with all sections of the Plan

Peer Review Date: June 13-14, 2018

Issue of Non-Compliance:
APM 601 – Audit Report
Section 1040 - Reexaminations
Nebraska Peer Review

Peer Review Date: March 19-21, 1997

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 256, Total Distance
Section 1104, Rental Fleet
Section 1116, Rental Passenger Cars
Section 1120, Utility Trailers
Section 1122, One Way Vehicles
Section 1304, Determination of Total Bus Miles

Follow-up Review Date: May 7, 1998
Nebraska complies with all sections of the Plan

Peer Review Date: June 25-26, 2003

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 1604, Notification of Audit Findings
Section 1614, Finality of Audit Findings
APM Section 203, Study & Evaluation
APM Section 205, Auditor Independence
APM Section 702, Evaluation of Internal Control
APM Section 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: June 23, 2004

Issues of Non-Compliance:
The Peer Review Committee delayed a decision on the following items until Oct. 20, 2004:
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration

Follow-up Review Date: Oct. 2004
Nebraska complies with all sections of the Plan

Peer Review Date: November 5-6, 2008

(Plan Sections Prior to July 1, 2008)

Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 408 – Jurisdiction Notification of Application Filing
APM 803 Interjurisdictional Audit Report and Distribution of the Audit Findings

(Current Plan Sections)

Section 1215, Fee Transmittals
Section 315, Application Process

**Follow-up Review Date:** Nov. 12, 2009
Nebraska complies with all sections of the Plan

**Peer Review Date:** November 12-14, 2013

**Issues of Non-Compliance:**
Nebraska complies with all sections of the Plan

**Peer Review Date:** November 6-8, 2018

**Issues of Non-Compliance:**
Section 1050 – Netting of Audit Adjustments
Section 1060 – Audit Transmittals
Nevada Peer Review

Peer Review Date: Jan. 24-26, 1996

Issues of Non-Compliance:
Section 910, Hunter Permits
Section 1116, Rental Passenger Cars
Section 1304, Determination of Total Bus Miles
Audit Section, Standards on Reporting

Follow-up Review Date: Jan. 31, 1997
Nevada complies with all sections of the Plan

Peer Review Date: Nov. 30-Dec. 1, 2000

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 800, Application for Initial Registration
Section 1404, Interjurisdiction or Intrajurisdiction Operation

Follow-up Review Date: Dec. 18, 2001

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing

Dispute Resolution Committee Decision: Nov. 9, 2002
Nevada complies with all sections of the Plan.

Peer Review Date: Jan. 19-20, 2005

Issues of Non-Compliance:
Section 800 – Application for Initial Registration

Follow-up Review Date: Jan. 23, 2006
Nevada complies with all sections of the Plan

Peer Review Date: July 28-29, 2010

Issues of Non-Compliance:
Section 1065 – Netting of Audit Adjustments
Section 1215 – Fee Transmittals
Section 1015 – Frequency of Audits

Follow-up Review Date: July 27, 2011
Issues of Non-Compliance:
Section 1015 – Frequency of Audits

2nd Follow-up Review Date: January 18, 2012
Nevada complies with all sections of the Plan

Peer Review Date: July 15-16, 2015

Issues of Non-Compliance:
Section 315 – Application Process

Follow-up Review Date: July 20, 2016
Nevada complies with all sections of the Plan
New Brunswick Peer Review

Peer Review Date: Nov. 9-10, 2003

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 700, Vehicle Withdrawn: Disposition of Fees

Follow-up Review Date: Feb. 23, 2005
New Brunswick complies with all sections of the Plan.

Peer Review Date: May 21-22, 2008

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section APM 804, Annual Report of IRP Audit Activity

Follow-up Review Date: April 29, 2009
New Brunswick complies with all sections of the Plan.

Peer Review Date: April 16-18, 2013
New Brunswick complies with all sections of the Plan.

Peer Review Date: April 24-26, 2018

Issues of Non-Compliance:
Section 1215 – Fee Transmittals
APM 601 – Audit Report
New Hampshire Peer Review

Peer Review Date: Nov. 4-5, 1998

Issues of Non-Compliance:
Section 400, Application Files with Base Jurisdiction
Section 408(a), Jurisdiction Notification of Application Filing
Section 800(b), Applications with Estimated Mileage
Audit Section, Annual Audit Activity Report
Audit Section, New Operations

Follow-up Review Date: Jan. 5, 2000

Issue of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing

Dispute Resolution Committee Decision: Nov. 11, 2000
New Hampshire has 12 months to comply with Section 408

Dispute Resolution Committee Decision: Nov. 1, 2001
New Hampshire has 12 months to comply with Section 408

Dispute Resolution Committee Decision: Nov. 9, 2002
New Hampshire complies with Section 408.

Peer Review Date: June 5-6, 2002

Issues of Non-Compliance:
Section 904, Lessee Carrier as Registrant
Section 1202, Owner-Operator Leased Equipment
Section 1204, Registration in Base of Service Representative
Section 1600, Frequency of Audits
Section 1604, Notification of Audit Findings
Section 1614, Finality of Audit Findings
APM Section 802, Registrant Audit Report
APM Section 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: July 8, 2003
New Hampshire complies with all sections of the Plan

Peer Review Date: March 14-15, 2007

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 408, Jurisdiction Notification of Application Filing
Section 1704, Netting of Audit Adjustments
Section 1604, Notification of Audit Findings
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings
APM 802, Registrant Audit Report

Follow-up Review Date: March 19, 2008
New Hampshire complies with all sections of the Plan

Peer Review Date: March 13-15, 2012

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions

Follow-up Review Date: March 27, 2013

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions

Dispute Resolution Committee Decision: June 4, 2013
Moved and seconded to find New Hampshire is out of compliance with Plan Sections 315 and 320. New Hampshire is required to submit a list of new and renewal accounts with estimated distance from the 3rd and 4th quarters of 2013 from which the Peer Review Committee will select ten accounts to review to determine compliance with Plan Sections 315 and 320. Motion passed unanimously.

Dispute Resolution Committee Decision: May 14, 2014
Moved and seconded to find that New Hampshire in compliance with Plan Sections 315 and 320.
Motion passed unanimously

Peer Review Date: March 14-17, 2017

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction
Section 1050 – Netting Audit Adjustments
APM 501 – Preliminary Audit Procedures
APM 502 – Evaluation of Internal Control
APM 601 – Audit Report

Follow-up Review Date: March 28, 2018
New Hampshire complies with all Sections of the Plan
New Jersey Peer Review

Peer Review Date: Aug. 11-12, 1999

Issues of Non-Compliance:
Section 400, Application Files with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800, Applications with Estimated Mileage

Follow-up Review Date: Aug. 23, 2000

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 1600, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 11, 2000
New Jersey is in compliance with Section 408
New Jersey is required to annually complete audits of at least 3% of its carriers

Dispute Resolution Committee Decision: Nov. 1, 2001
New Jersey complies with all sections of the Plan

Peer Review Date: Dec. 8-9, 2004

Issues of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 408 – Jurisdiction Notification of Application Filing
Section 700 – Vehicle Withdrawn: Disposition of Fees
Section 800 – Application for Initial Registration
Section 1604 – Notification of Audit Finding
APM Section 702 – Evaluation of Internal Controls
APM Section 802 – Registrant Audit Report
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: Jan. 23, 2006

Issues of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration
Dispute Resolution Committee Decision: Sept. 30, 2006
New Jersey must submit to the Peer Review committee by Jan. 1, 2007 a plan of action to come into compliance by June 30, 2007. The plan of action will include training for the New Jersey staff, to be completed by June 30, 2007. New Jersey is also directed to notify the Peer Review Committee when staff training is completed and the notification shall include any materials used in the training.

In addition, New Jersey must submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports must include an electronic list of new accounts for review by the Peer Review Committee, from which the committee will select five accounts to review each quarter.

Dispute Resolution Committee Decision: Sept. 28, 2007
New Jersey complies with all sections of the Plan

Peer Review Date: December 9-10, 2009

Issues of Non-Compliance:
Article II, Definitions
Section 1215 – Fee Transmittals
Section 1015 – Frequency of Audits
APM Section 603 – Request for Operational Records
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: December 15, 2010

Issues of Non-Compliance:
Section 1015 – Frequency of Audits

Dispute Resolution Committee Decision: May 6, 2011

New Jersey must perform audits equivalent to at least 3% of the number of IRP fleets renewed annually, as required to be reported on the Annual Report of Audit Activity pursuant to Section 1015, for the current review period (2004 - 2008) by the time of their next peer review in 2014. New Jersey shall report each year to the Dispute Resolution Committee on its progress for meeting the above requirements.

New Jersey must have in place and submit to the Peer Review Committee by July 1, 2011, a detailed plan of action with milestones, for coming into compliance. In addition, New Jersey must provide the Peer Review Committee quarterly progress reports within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter.

Dispute Resolution Committee Decision: May 18, 2012
Moved and seconded to find New Jersey still out of compliance with Plan Section 1015.
In addition to the DRC expectations outlined in May 2011, the DRC further requires New Jersey to perform audits equivalent to three percent (3%) of the number of New Jersey IRP fleets renewed for the registration year 2012, by December 31, 2012. Further, a
letter will be sent by the DRP to the Governor of New Jersey outlining the state’s responsibility to come into immediate compliance with the Plan’s three percent requirement for 2004 through current. Continued non-compliance may result in sanctions which may include suspending voting rights.

*Motion passed unanimously.*

**Dispute Resolution Committee Decision: June 4, 2013**
Moved and seconded to find New Jersey has complied with the DRC’s May 2012 requirement to perform audits equivalent to three percent of the number of New Jersey IRP fleets renewed for the 2012 registration year. New Jersey, however, has not performed the required number of audits for the review period of 2004-2008 and therefore New Jersey is required to continue to provide the PRC quarterly progress reports within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter. *Motion passed unanimously.*

**Dispute Resolution Committee Decision: July 14, 2014**
At the May 2014 DRC meeting information presented showed that New Jersey has made the required 3% audit requirement for the current review period 2009-2013 and plan to have the back log of audits from the 2008-2012 review period completed by September 2013 well before the PRC cones in December 1. It was discussed that the passage of time and/or amendment to the Plan does not excuse a jurisdiction from complying. The DRC recognized the incredible strides New Jersey has made in order to become compliant, especially in sight of where they were at a few years ago. We appreciated that effort and commitment from New Jersey.

**Dispute Resolution Committee Decision: May 19, 2015**
At the May 2015 DRC meeting, information presented showed that New Jersey completed all of their required audits. Over the past four years, New Jersey has made tremendous strides, completing almost 3,000 audits between 2009 and 2014. We commend and congratulate them on this accomplishment.

**Peer Review Date:** December 9-15, 2014

**Issues of Non-Compliance:**
Article II, Definitions
APM 501 – Preliminary Audit Procedures
Section 1025 – Frequency of Audit
Section 1055 – Audit Reports

**Follow-up Review Date:** December 21, 2015
New Jersey complies with all sections of the Plan
New Mexico Peer Review

Peer Review Date: March 8-9, 1999

Issues of Non-Compliance:
Section 204, Definition of Apportioned Vehicles
Section 218, Established Place of Business
Section 300, Determination of Fees
Section 400, Application Files with Base Jurisdiction
Section 506, Operation Under Apportioned Registration
Section 800(b), Applications with Estimated Mileage
Section 906, Place of Business (Owner Operators)
Section 910, Hunter Permits
Section 1104, Rental Fleet
Section 1111, Rental Vehicle: Base Jurisdiction
Section 1116, Rental Passenger Cars
Section 1118(b), Rental Trailers and Semi-Trailers
Section 1120, Utility Trailers
Section 1122, One Way Vehicles
Section 1202, Owner-Operator Leased Equipment
Section 1206, Registration in Base of Carrier
Section 1304, Determination of Total Bus Miles
Section 1404, Interjurisdiction or Intrajurisdiction Operation
Audit Section, Independence
Audit Section, Field Work Standards
Audit Section, Standards of Reporting

Follow-up Review Date: May 12, 2000
New Mexico complies with all sections of the Plan

Peer Review Date: March 24-25, 2004

Issues of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration
APM Section 204 – Audit Process
APM Section 803 – Audit Reports

Follow-up Review Date: June 1, 2005

Issues of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration
**Dispute Resolution Committee Decision:** Nov. 4, 2005
New Mexico must submit a plan of action to come into compliance including proposed training, new procedures and forms to both the New Mexico staff and carriers by Jan. 1, 2006. In addition, New Mexico must submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports must include an electronic list of new accounts for review by the Peer Review Committee and a summary of any progress made to come into compliance.

**Dispute Resolution Committee Decision:** Sept. 30, 2006
New Mexico must continue submitting calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports must include an electronic list of new accounts for review by the Peer Review Committee, from which the committee will select five accounts to review each quarter.

**Dispute Resolution Committee Decision:** Sept. 28, 2007
New Mexico complies with all sections of the Plan

**Peer Review Date:** March 11-12, 2009

**Issues of Non-Compliance:**
- Section 315 – Application Process
- Section 320 – Application for Initial Registration
- Section 1215 – Fee Transmittals
- Section 610 – Contents of the Cab Card
- Section 1065 – Netting of Audit Adjustments
- APM 401 – Acceptable Source Documents
- APM 603 – Request for Records
- APM 703 – Sampling and Extrapolation Procedures

**Follow-up Review Date:** March 17, 2010

**Issues of Non-Compliance:**
- Section 315 – Application Process
- Section 320 – Distance Estimates by Base Jurisdictions
- Section 1215 – Fee Transmittals

**2nd Follow-up Review Date:** April 21, 2010
New Mexico complies with all Sections of the Plan

**Peer Review Date:** March 19-20, 2014

**Issues of Non-Compliance:**
- Article II, Definitions
- Section 315 – Application Process
- Section 320 – Application for Initial Registration
- Section 605 – Display of Registration Credentials; Renewal Credentials Section
1065 – Audit Reports
Section 1025 – Frequency of Audits

Follow-up Review Date: March 18, 2015
Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Application for Initial Registration

2nd Follow-up Review Date: April 22, 2015
New Mexico complies with all Sections of the Plan
New York Peer Review

Peer Review Date: June 16-17, 1999

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing

Follow-up Review Date: Aug. 17, 2000

Issue of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing

Dispute Resolution Committee Decision: Nov. 11, 2000
New York has 12 months to comply with Section 408

Dispute Resolution Committee Decision: Nov. 1, 2001
New York has 6 months to comply with Section 408

Dispute Resolution Committee Decision: Nov. 7, 2002
New York complies with all sections of the Plan

Peer Review Date: May 7-8, 2003

Issues of Non-Compliance:
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 800, Application for Initial Registration

Follow-up Review Date #1: Oct. 15, 2003
New York complies with Section 700, Vehicle Withdrawn: Disposition of Fees

Follow-up Review Date #2: July 19, 2004
New York complies with all sections of the Plan

Peer Review Date: October 22-23, 2008

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing (Section Prior to July 1, 2008)
Section 1215, Fee Transmittals (Current Plan Section)
Section 400, Application Filed with Base Jurisdiction (Section Prior to July 1, 2008)
Section 315, Application Process (Current Plan Section)
Section 405, Calculation of Apportionment Percentage (Current Plan Section)
Section APM 803, Interjurisdictional Audit Report and Distribution of the Audit Finding
Follow-up Review Date: October 21, 2009
Issues of Non-Compliance:
Section 1215, Fee Transmittals
Section APM 803, Interjurisdictional Audit Report and Distribution of the Audit Finding

2nd Follow-up Review Date: January 17, 2010
Issues of Non-Compliance:
Section 1215, Fee Transmittals

3rd Follow-up Review Date: April 21, 2010
Issues of Non-Compliance:
Section 1215, Fee Transmittals

Dispute Resolution Committee Decision: May 13, 2010

Motion and second to find New York out of compliance with Plan Section 408 (current Plan section 1215) Fee Transmittals. Beginning with the quarter ending June 30, 2010 and every quarter thereafter until March 31, 2011, New York is required to submit to the Peer Review Committee within 15 days of the end of each quarter, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Plan Section 408 (current Plan section 1215). Motion passed.

Dispute Resolution Committee Decision: May 6, 2011

Motion to find New York in compliance with Section 1215 (former Section 408). New York is now in compliance with all sections of the Plan

Peer Review Date: October 15-17, 2013

Issues of Non-Compliance:
Section APM 801, Exit Conference

Follow-up Review Date: October 22, 2014

Issues of Non-Compliance:
Section APM 801, Exit Conference

2nd Follow-up Review Date: February 18, 2015
Section APM 801, Exit Conference

3rd Follow-up Review Date: February 18, 2015
Section APM 801, Exit Conference

4th Follow-up Review Date: March 18, 2015
New York is now in compliance with all Sections of the Plan
Peer Review Date:  October 23-25, 2018

Issues of Non-Compliance:
Section 1215 – Fee Transmittals
APM 601 – Audit Report
Section 1040 - Reexaminations
Newfoundland and Labrador Review

Peer Review Date: April 25-26, 2002

Issues of Non-Compliance:
Section 256, Total Distance
Section 300, Determination of Fees
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 702, Replacement Vehicles
Section 800, Application for Initial Registration

Follow-up Review Date: April 17, 2003

Issue of Non-Compliance:
Section 300, Determination of Fees

Dispute Resolution Committee Decision: Nov. 15, 2003
Newfoundland has until Sept. 2004 to come into compliance with the Plan.

Peer Review Committee Conference Call: April 21, 2004
The Peer Review Committee is delaying a determination of compliance until a review of continuous registration is conducted.

Dispute Resolution Committee Decision: Nov. 5-6, 2004
Newfoundland complies with all sections of the Plan.

Peer Review Date: April 25-26, 2007

Issues of Non-Compliance:
Section 204, Apportionable Vehicle
Section 400, Application filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 801, Estimated Distance Methodology
Section 408, Jurisdiction Notification of Application Filing
Section 1704, Netting of Audit Adjustments
Section 1706, Audit Transmittals
Section 1600, Frequency of Audits
Section 1604, Notification of Audit Findings
APM 803, Interjurisdictional Audit Reports and Distribution of the Audit Findings
APM 701, Initial Audit Procedures
APM 702, Evaluation of Internal Controls
APM 801, Exit Conference
APM 802, Registrant Audit Report
**Follow-up Review Date:** June 18, 2008

**Issues of Non-Compliance:**
Section 1600, Frequency of Audits  
APM 803, Interjurisdictional Audit Reports and Distribution of the Audit Findings  
APM 701, Initial Audit Procedures  
APM 702, Evaluation of Internal Controls  
APM 801, Exit Conference  
APM 802, Registrant Audit Report

**Dispute Resolution Committee Decision:** October 3, 2008  
Newfoundland and Labrador is in compliance with APM Section 801.

Newfoundland and Labrador remains out of compliance with Section 1600 of the Plan and APM Sections 701, 702, 802 and 803.

Beginning on January 15, 2009, Newfoundland and Labrador shall submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter from which the Peer Review Committee will select a suitable number of completed audit reports for review. Newfoundland and Labrador must perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual for the period 2006-2009 by the annual meeting of the Dispute Resolution Committee in 2009.

**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find that Newfoundland and Labrador (Newfoundland) is still in non-compliance with Section 1600 of the Plan and APM Sections 701, 702, 802 and 803. By October 15, 2009, Newfoundland must have in place and submit to the Peer Review Committee an updated audit program providing for the attainment of full compliance with the Plan, including audit procedures, forms and worksheets, as well as auditor qualifications and auditor training.

Newfoundland shall continue submitting calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter, from which the Peer Review Committee will review all completed audits. Newfoundland must perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual, for the period 2006-2009 by the annual meeting of the Dispute Resolution Committee in May 2010.

Failure to meet these requirements fully could result in the imposition of sanctions under the Plan, including the loss of voting privileges.

The DRC strongly encourages Newfoundland to reach out to its surrounding jurisdictions for assistance in establishing its audit program and with coming into compliance with the Plan. **Motion passed.**
**Dispute Resolution Committee Decision:** May 13, 2010

Motion and second to find that Newfoundland and Labrador (Newfoundland) remains out of compliance with Section 1600 (current Plan Section 1015) and APM Sections 701, 702, 802 and 803. Newfoundland must have in place and submit to the Peer Review Committee an updated audit program providing for the attainment of full compliance with these provisions, including audit procedures, forms and worksheets, as well as auditor qualifications and auditor training.

Also move that Newfoundland’s voting rights be suspended until such time as the Peer Review Committee informs the Chair of the Dispute Resolution Committee that Newfoundland is in compliance with Section 1600 (current Plan Section 1015) and APM Sections 701, 702, 802 and 803, and the Dispute Resolution Committee finds them in compliance.

Failure to meet these requirements fully could result in the imposition at the next Annual Meeting of the Dispute Resolution Committee of additional sanctions under the Plan.

**Dispute Resolution Committee Decision:** May 6, 2011

Motion to find Newfoundland and Labrador in compliance with Section 1015 (former Section 1600); APM 701, 702, 802 and 803, and restore their voting rights.

Newfoundland and Labrador is now in full compliance with the Plan.

**Peer Review Date:** May 2-3, 2012

**Issues of Non-Compliance:**
- Section 115 – Effect of the Plan on other Agreements
- Section 305 – Selection of Base Jurisdiction
- Section 315 – Application Process
- Section 320 – Distance Estimates by Base Jurisdiction
- Section 1215 – Fee Transmittals

**Follow-up Review Date:** June 19, 2013
- Section 115 – Effect of the Plan on other Agreements
- Section 315 – Application Process
- Section 320 – Distance Estimates by Base Jurisdiction
- Section 1215 – Fee Transmittals

**2nd Follow-up Review Date:** August 21, 2013
- Section 1215 – Fee Transmittals

**3rd Follow-up Review Date:** February 19, 2014
- Newfoundland and Labrador is now in full compliance with the Plan.
Peer Review Date:  May 2-4, 2017

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction
Section 315 – Application Process
Section 320 – Average Per-Vehicle Distance
Section 420 – New Fleets
APM 401 – Pre-Audit Notification
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report
Section 1055 – Audit Reports
Section 1220 – Annual Report of Activity

Follow-up Review Date:  May 16, 2018

Issues of Non-Compliance:
APM 401 – Pre-Audit Notification
APM 601 – Audit Report
Section 1055 – Audit Reports
North Carolina Peer Review

Peer Review Date: June 18-19, 1997

Issues of Non-Compliance:
Section 408(b), Commentary — Exchange of Canadian Fees
Audit Section, Registrant’s Record Requirements

Follow-up Review Date: July 14, 1998

Issues of Non-Compliance:
Section 408(b), Commentary — Exchange of Canadian Fees

Dispute Resolution Committee Decision: Nov. 19, 1998
North Carolina has 12 months to comply with Section 408(b)

Dispute Resolution Committee Decision: Nov. 13, 1999
North Carolina complies with all sections of the Plan

Peer Review Date: March 27-28, 2002

Issue of Non-Compliance:
Section 800, Application for Initial Registration

Follow-up Review Date: April 21, 2003
North Carolina complies with all sections of the Plan

Peer Review Date: March 7-8, 2007

Issues of Non-Compliance:
Section 500 – Base Jurisdiction Registration
Section 502 – Identification Plates and Cab Cards
Section 700 – Vehicle Withdrawn; Disposition of Fees
Section APM 703 – Sampling and Extrapolation Procedures
Section 1604 – Notification of Audit Findings
Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: March 19, 2008
North Carolina complies with all Sections of the Plan
Peer Review Date: March 27 – April 11, 2012

Issue of Non-Compliance:

Section Article II, Definitions
Section 305 – Selection of Base Jurisdiction
Section 315 – Application Process
Section 1215 – Fee Transmittals
Section 1065 – Netting of Audit Adjustments
Section APM 803 – Interjurisdictional Audit Report
Section 1025 – Notification of Audit Finding
Section 1015 – Frequency of Audits

Follow-up Review Date: April 24, 2013

Issues of Non-Compliance:

Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1015 – Fee Transmittals
Section 1015 – Frequency of Audits

Follow-up Review Date: February 19 and March 26, 2014
North Carolina complies with all Sections of the Plan

Peer Review Date: April 11-19, 2017

Issue of Non-Compliance:

APM 502-Evaluation of Internal Control
APM 601 – Audit Report
Section 1040 – Reexaminations
Section 1025 – Frequency of Audits

Follow-up Review Date: April 18, 2018
Issue of Non-Compliance:

APM 601 – Audit Report

2nd Follow-up Review Date: January 19, 2018
North Carolina complies with all Sections of the Plan

85
North Dakota Peer Review

Peer Review Date: May 20-21, 1998

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 408(a), Jurisdiction Notification of Application Filing
Section 800(b), Applications with Estimated Mileage
Audit Section, Jurisdiction Accountability

Follow-up Review Date: May 24, 1999

Issues of Non-Compliance:
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 13, 1999
North Dakota is required to annually complete audits of at least 3% of its carriers.

Dispute Resolution Committee Decision: Nov. 11, 2000
North Dakota complies with all sections of the Plan

Peer Review Date: Aug. 13-14, 2003

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 906, Place of Business (Owner-Operators)
Section 400, Application Filed with Base Jurisdiction
APM Section 803, Interjurisdictional Audit Report

Follow-up Review Date: Aug. 11, 2004
Section 218 – Established Place of Business
Section 906 – Place of Business (Owner-Operators)

Dispute Resolution Committee Decision: Nov. 6, 2004
The DRC upheld the findings concerning compliance with Sections 218 and 906 by the Peer Review Committee for the 2003 North Dakota peer review.

Follow-up Review Date: March 23, 2005
North Dakota complies with all sections of the Plan.

Peer Review Date: April 23-24, 2008

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 408, Jurisdiction Notification of Application Filing
Motion and second to find North Dakota out of compliance with Section 1600. By March 31, 2010, North Dakota is required to perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual for the period 2004-2007. North Dakota shall submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter. Motion passed.

Dispute Resolution Committee Decision: May 13, 2010
North Dakota is in compliance with Section 1600, Frequency of Audits (current Plan Section 1015).

North Dakota complies with all Sections of the Plan
Peer Review Date: October 9-11, 2018

Issues of Non-Compliance
Section 530 – Unladen Vehicle Registration – Hunters Permit
Section 1050 – Netting Audit Adjustments
Section 1060 – Audit Transmittals
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report
Nova Scotia Peer Review

**Peer Review Date:** Oct. 9-10, 2002

**Issues of Non-Compliance:**
Section 300, Determination of Fees
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800, Application for Initial Registration

**Follow-up Review Date:** Dec. 17, 2003

**Issues of Non-Compliance:**
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800, Application for Initial Registration

**Follow-up Review Date:** Aug. 11, 2004
Nova Scotia complies with all sections of the Plan

---

**Peer Review Date:** October 24-25, 2007

**Issues of Non-Compliance:**
Section 218, Established Place of Business
Section 906, Place of Business
Section 408, Jurisdiction Notification of Application Filing
Section 600, Applications of Distance Percentage
Section 1704, Netting of Audit Adjustments
APM 206, Working Paper Documentation
APM 804, Audit Report of IRP Audit Activity
Section 1600, Frequency of Audits

**Follow-up Review Date:** December 17, 2008

**Issues of Non-Compliance:**
Section 408, Jurisdiction Notification of Application Filing
Section 1704, Netting of Audit Adjustments
APM 206, Working Paper Documentation
Section 1600, Frequency of Audits

**2nd Follow-up Review Date: August 17, 2009**
Section 1704, Netting of Audit Adjustments
Section 1600, Frequency of Audits
Dispute Resolution Committee Decision:  September 11, 2009

Motion and second to find Nova Scotia out of compliance with Plan Sections 1600 and 1704. By December 31, 2009, Nova Scotia is required to perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual for the period 2003-2006. Nova Scotia shall submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter. Nova Scotia is required to come into compliance with Section 1704 by December 31, 2009. Nova Scotia shall submit proof of compliance to the Peer Review Committee at that time. **Motion passed.**

Dispute Resolution Committee Decision:  May 13, 2010

Nova Scotia is in compliance with Sections 1600 (current Plan Section 1015) and Section 1704 (current Plan Section 1065).

Nova Scotia complies with all Sections of the Plan

---

**Peer Review Date:**  November 28-29, 2012

**Issues of Non-Compliance:**
Section 1015 – Frequency of Audits

**Follow-up Review Date:**  November 20, 2013
Nova Scotia complies with all sections of the Plan

---

**Peer Review Date:**  October 25-26, 2017

**Issues of Non-Compliance:**
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report

**Follow-up Review Date:**  October 31, 2018

**Issues of Non-Compliance:**
APM 601 – Audit Report
Ohio Peer Review

**Peer Review Date:** Sept. 21-22, 1999

**Issues of Non-Compliance:**
Section 408, Jurisdiction Notification of Application Filing
Section 700, Vehicle Withdrawn; Disposition of Fees
Section 800, Applications with Estimated Mileage
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** Oct. 18, 2000

**Issues of Non-Compliance:**
Section 408, Jurisdiction Notification of Application Filing
Section 800, Application for Initial Registration
Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 11, 2000
Ohio is required to annually complete audits of at least 3% of its carriers

**2001 Follow-up to 2000 Dispute Resolution Committee Decision:** Nov. 1, 2001
Ohio complies with all sections of the Plan.

---

**Peer Review Date:** Jan. 28-29, 2004

**Issues of Non-Compliance:**
Section 700 – Vehicle Withdrawn: Disposition of Fees
APM Section 801 – Exit Conference
APM Section 803 – Interjurisdictional Audit Report and Distribution of Audit Findings

**Follow-up Review Date:** April 27, 2005
The compliance of Section 700 and APM Sections 801 and 803 have been extended to July 1, 2005.

**Follow-up Review Date:** July 20, 2005
Ohio compliance with all sections of the Plan

---

**Peer Review Date:** Jan. 28-29, 2009

**Issues of Non-Compliance:**
Section 320 – Distance Estimates by Base Jurisdictions
Section IRP 1025 Notification of Audit Finding
Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings
Section IRP 1015 – Frequency of Audits
Section APM 206 – Working Paper Documentation
Section APM 703 – Sampling and Extrapolation Procedures Findings
Follow-up Review Date: February 17, 2010

Issues of Non-Compliance:
Section APM 206 – Working Paper Documentation
Section APM 703 – Sampling and Extrapolation Procedures Findings

2nd Follow-up Review Date: April 21, 2010

Issues of Non-Compliance:
Section APM 206 – Working Paper Documentation

Dispute Resolution Committee Decision: May 13, 2010

Motion and second to find Ohio out of compliance with APM Section 206 – Working Paper Documentation. Ohio is required to submit to the Peer Review Committee by January 15, 2011 a list of audits completed in the 3rd and 4th quarters of 2010, from which the Peer Review Committee will select a suitable number of audits for review to ensure the working papers are in compliance with the Audit Procedures Manual. Motion passed.

Dispute Resolution Committee Decision: May 6, 2011

Motion to find Ohio in compliance with APM 206.
Ohio is now in compliance with all sections of the Plan

Peer Review Date: Jan. 29-30, 2014

Issues of Non-Compliance:
Section 405 – Calculation of Apportionment Percentage
Section 1055 – Audit Reports (Previous Plan Section 1025)

Follow-up Review Date: January 21, 2015
Ohio compliance with all Sections of the Plan
Oklahoma Peer Review

Peer Review Date: Oct. 22-23, 1997

Issues of Non-Compliance:
Section 210, Base Jurisdiction
Section 218, Established Place of Business
Section 506, Operation Under Apportioned Registration
Section 800(b), Applications with Estimated Mileage
Section 906, Place of Business (Owner-Operators)
Section 910, Hunter Permits
Section 1304, Determination of Total Bus Miles
Section 1404, Interjurisdiction or Intrajurisdiction Operation
Audit Section, Jurisdiction Accountability

Follow-up Review Date: Nov. 12, 1998

Issues of Non-Compliance:
Section 800(b), Applications with Estimated Mileage
Section 910, Hunter Permits
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 13, 1999
Oklahoma is in compliance with Section 800(b)
Oklahoma has 12 months to come into compliance with Section 910
Oklahoma is required to annually complete audits of at least 3% of its carriers.

Dispute Resolution Committee Decision: Nov. 11, 2000
Oklahoma has 12 months to come into compliance with Section 910 and Section 1600

Dispute Resolution Committee Decision: Nov. 1, 2001
Oklahoma complies with all sections of the Plan.

Peer Review Date: July 16-18, 2001

Issues of Non-Compliance:
Section 210, Base Jurisdiction
Section 218, Established Place of Business
Section 800, Application for Initial Registration
Section 906, Place of Business (Owner-Operator)
Section 910, Hunter’s Permit
Article XXIII – Dispute Resolution Committee
Audit Section, Jurisdiction Accountability
Audit Section, Due Professional Care
Audit Section, Field Work Standards
Audit Section, Independence
Audit Section, Auditor Qualifications
Follow-up Review Date: Sept. 23-26, 2002

Issues of Non-Compliance:
Section 800, Application for Initial Registration

Issues being Referred to Dispute Resolution Committee, Nov. 7, 2002:
Section 210, Base Jurisdiction
Section 218, Established Place of Business
Section 800, Application for Initial Registration
Section 906, Place of Business (Owner-Operators)

Dispute Resolution Committee Decision: Nov. 7, 2002
Oklahoma is required to:
• Submit its estimated distance chart by Feb. 5, 2003.
• Submit list of new accounts on a monthly basis.
• Submit a list of accounts that registered or renewed prior to Oct. 18, 2001, for the 2002 registration year.
• Submit on a monthly basis, beginning Feb. 2003, evidence of implementation of owner-operator requirements as directed by DRC.

Dispute Resolution Committee Decision: Nov. 15, 2003
Oklahoma is in compliance with Section 800.
Oklahoma is required to:
• Each month through May 2004 submit a) list of owner-operators with physical addresses and telephone numbers and b) list of motor carriers with physical addresses and telephone numbers. On June 23, 2004, the Peer Review Committee found Oklahoma in compliance with Sections 210, 218 & 906. A report will be made to the DRC.

Dispute Resolution Committee Decision: Nov. 5-6, 2004
Oklahoma complies with all sections of the Plan.

Peer Review Date: Feb. 22-23, 2006

Issues of Non-Compliance:
Section 256 – Total Distance
Section 800 – Application for Initial Registration
Section 1604 – Notification of Audit Findings
Section APM 803 – Interjurisdictional Audit Report and Distributions of the Audit Findings

Follow-up Review Date: Feb. 21, 2007
Oklahoma complies with all sections of the Plan.
Peer Review Date: November 9-10, 2011

Issues of Non-Compliance:
Section 315 – Application Process

Follow-up Review Date: November 14, 2012
Oklahoma complies with all sections of the Plan.

Peer Review Date: November 8-10, 2016

Issues of Non-Compliance:
Section 1215 – Fee Transmittals

Follow-up Review Date: November 22, 2017
Oklahoma complies with all sections of the Plan.
Ontario Peer Review

**Peer Review Date:** Oct. 12-13, 2006

**Issues of Non-Compliance:**
Section 234 – Owner-Operator
Section 408 – Jurisdiction Notification of Application Filings
Section 1706 – Audit Transmittals
Section 1600 – Frequency of Audits
Section APM 802 – Registrant Audit Report
Section 1604 – Notification of Audit Findings
Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** Nov. 29, 2006

**Issues of Non-Compliance:**
Section 1604 – Notification of Audit Findings
Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

2nd **Follow-up Review Date:** April 19, 2007
Ontario complies with all Sections of the Plan.

---

**Peer Review Date:** October 6-7, 2010

**Issues of Non-Compliance:**
Section 305 – Selection of Base Jurisdiction
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1215 – Fee Transmittals
Section 1225 – Fee Test
Section 1015 – Frequency of Audits
Section APM 206 – Working Paper Documentation

**Follow-up Review Date:** October 19, 2011
Ontario complies with all Sections of the Plan.

---

**Peer Review Date:** September 30 – October 1, 2015

**Issues of Non-Compliance:**
Section 1025 – Frequency of Audits

**Follow-up Review Date:** October 19, 2016

**Issues of Non-Compliance:**
Section 1025 – Frequency of Audits
**Dispute Resolution Committee Decision:  May 18, 2017**
Motion and second to find Ontario out of compliance with Section 1025 – Frequency of Audits. Ontario shall prepare and provide to the PRC by July 1, 2017 a detailed plan of action with milestones to address the audit deficit during the period of 2010 – 2014. Additionally, Ontario shall provide the PRC quarterly reports, within 15 days of the end of each quarter beginning with the second quarter of 2017.

**Dispute Resolution Committee Decision:  May 1, 2018**
Motion and second to find Ontario in compliance with Section 1025, Frequency of Audits.
Oregon Peer Review

Peer Review Date: March 6-8, 1996

Issues of Non-Compliance:
Section 1122, One Way Vehicles
Section 1304, Determination of Total Bus Miles
Section 1202, Owner-Operator Leased Equipment
Section 1204, Registration In Base of Service Representative
Section 1206, Registration In Base of Carrier
Audit Section, Jurisdiction Accountability

Follow-up Review Date: March 28, 1997

Issues of Non-Compliance:
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Sept. 11, 1997
Oregon has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 19, 1998
The Dispute Resolution Committee could not make a decision on this matter and it was referred to the membership for a vote.

Dispute Resolution Committee Decision: Nov. 13, 1999
Oregon is required to annually complete audits of at least 3% of its carriers

Dispute Resolution Committee Decision: Nov. 11, 2000
Oregon complies with all sections of the Plan.

Peer Review Date: May 22-23, 2002

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 800, Application for Initial Registration

Follow-up Review Date: May 29, 2003
Oregon complies with all sections of the Plan.
Peer Review Date: Sept. 18-20, 2007

Issues of Non-Compliance:
Section 400 - APPLICATION FILED WITH BASE JURISDICTION
Section 408 - JURISDICTION NOTIFICATION OF APPLICATION FILING
Section 1604 – NOTIFICATION OF AUDIT FINDING
APM 803 Interjurisdictional Audit Report and Distribution of the Audit Finding
Section 1704 – NETTING OF AUDIT ADJUSTMENTS

Follow-up Review Date: August 27, 2008

Issues of Non-Compliance:
Section 1604 – Notification of Audit Findings
AMP 803 – Interjurisdictional Audit report and Distribution of the Audit Findings
The Committee has determined that the noncompliance findings continue to be relevant under the Plan rewrite (Sections 1025 and APM 803).

2nd Follow-up Review Date: September 24, 2008
Oregon complies with all sections of the Plan.

Peer Review Date: September 18-20, 2012
Oregon complies with all sections of the Plan.

Peer Review Date: September 19-21, 2017

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction

Follow-up Review Date: September 19, 2018
Oregon complies with all sections of the Plan.
Pennsylvania Peer Review

Peer Review Date: Jan. 24-27, 1994

Issues of Non-Compliance:
Section 502, Identification Plates & Cab Cards
Audit Section, Due Professional Care

Follow-up Review Date: Feb. 3, 1995

Issues of Non-Compliance:
Section 502, Identification of Plates and Cab Cards

Dispute Resolution Committee Decision Aug. 28, 1995:
Pennsylvania has 12 months to comply with Section 502

Dispute Resolution Committee Follow-up Aug. 25, 1996:
Pennsylvania complies with all sections of the Plan

Peer Review Date: Nov. 3-4, 1999

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 1304, Determination of Total Bus Miles
Audit Section, Field Work Standards

Follow-up Review Date: Dec. 13, 2000

Issues of Non-Compliance:
Audit Section Field Work Standards

Dispute Resolution Committee Decision: Nov. 1, 2001
Pennsylvania complies with all Sections of the Plan.

Peer Review Date: Feb. 18-19, 2004

Issues of Non-Compliance:
Section 218 – Established Place of Business
Section 400 – Application Filed with Base Jurisdiction
Section 408 – Jurisdiction Notification of Application Filing
Section 502 – Identification Plates and Cab Cards
Section 1600 – Frequency of Audits
Section 1604 – Notification of Audit Findings
Section 1704 – Netting of Audit Adjustments
Section 1706 – Audit Transmittals
APM Section 801 – Exit Conference
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

Follow-up Review Date: March 23, 2005
Pennsylvania complies with Section 218. The compliance with Sections 408 and 502 has been extended to April 20 2005.

Issues of Non-Compliance:
Section 1600 – Frequency of Audits
Section 1604 – Notification of Audit Findings
Section 1704 – Netting of Audit Adjustments
Section 1706 – Audit Transmittals
APM Section 801 – Exit Conference
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

Follow-up Review Date: April 27, 2005
Pennsylvania complies with Sections 408 and 502.

Dispute Resolution Committee Decision: Nov. 4, 2005
Pennsylvania must have in place and submit to the Peer Review Committee an audit program, including audit procedures, forms and worksheets by Jan. 1, 2006. Thereafter, calendar quarterly progress reports must be submitted to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter. Pennsylvania must perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required to be reported on the Annual Report pursuant to the Audit Procedures Manual, Section 800, Audit Reporting, 804 – Annual Audit Activity, for the current peer review period (2003-2007) by the time of their next peer review in 2009. Pennsylvania shall report each year to the Dispute Resolution Committee on its progress for meeting the above requirements.

Dispute Resolution Committee Decision: Sept. 30, 2006
Pennsylvania must continue submitting calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports must include an electronic list of new audits for review by the Peer Review Committee, from which the committee will select five completed audits to review each quarter. In addition, Pennsylvania is directed by Nov. 1, 2006, to submit an updated plan of action to come into full compliance. Pennsylvania is also directed to notify the Dispute Resolution Committee when its RFP for the conduct of audits has been issued and awarded.

Dispute Resolution Committee Decision: Nov. 14, 2007
Pennsylvania is in compliance with the total number of audits they were required to perform since their last peer review.
Peer Review Date:  Feb. 18-19, 2009

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 405 – Calculation of Apportionment Percentage
Section 1065 – Netting of Audit Adjustments
Section 1025 – Notification of Audit Findings
Section 1070 – Audit Transmittals
Section 1215 – Fee Transmittals

Follow-up Review Date:  March 17, 2010
Pennsylvania complies with all Sections of the Plan

Peer Review Date:  Feb. 12-13, 2014

Issues of Non-Compliance:
Section 400 – Calculation of Apportionable Fees
Section 415 – Additional Jurisdictions
Section 420 – New Fleets

Follow-up Review Date:  March 18, 2015
Pennsylvania complies with all Sections of the Plan
Prince Edward Island Peer Review

Peer Review Date: Sept. 24-25, 2003

Issue of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing

Follow-up Review Date: Sept. 22, 2004
Prince Edward Island complies with all sections of the Plan

Peer Review Date: August 13-14, 2008

Issue of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing (Plan Section Prior to 7-01-08)
Section 1215, Fee Transmittals (Current Plan Section)
Section 1704, Netting of Audit Adjustments
APM 603, Request for Records

Follow-up Review Date: August 17, 2009
Prince Edward Island complies with all Sections of the Plan

Peer Review Date: August 13-14, 2013

Issue of Non-Compliance:
Section 1015 – Frequency of Audit (Current Plan Section 1025 Frequency of Audit)

Follow-up Review Date: August 20, 2014
Prince Edward Island complies with all Sections of the Plan

Peer Review Date: August 7-10, 2018

Issue of Non-Compliance:
APM 601 – Audit Report
Quebec Peer Review

Peer Review Date: May 20-21, 2004

Issues of Non-Compliance:
Section 210, Base Jurisdiction
Section 218, Established Place of Business
Section 256, Total Distance
Section 400, Application Filed with Base Jurisdiction
Section 602, Filing of Applications
Section 800, Application for Initial Registration
Section 1600, Frequency of Audits

Follow-up Review Date: July 20, 2005
Quebec compliance with all sections of the Plan

Peer Review Date: May 20-21, 2009

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1215 – Fee Transmittals
APM 401 – Acceptable Source Documents
APM 603 – Request for Records
APM 803 – Interjurisdictional Audit Report
Section 1065 – Netting of Audit Adjustments
Section 1025 – Notification of Audit Findings

Follow-up Review Date: May 26, 2010
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
APM 803 – Interjurisdictional Audit Report

2nd Follow-up Review Date: April 27, 2011
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions

Dispute Resolution Committee Decision: May 6, 2011
Québec is required to submit a list of new and renewal accounts with estimated distance from the 3rd and 4th quarters of 2011 from which the Peer Review Committee will select ten accounts for review.

Dispute Resolution Committee Decision: May 18, 2012
Moved and seconded to find Quebec in compliance with Plan Sections 315 and 1215.

Quebec is now in compliance with all sections of the Plan.
Peer Review Date: May 20-22, 2014

Issues of Non-Compliance:
Article II, Definitions

Follow-up Review Date: May 27, 2015
Quebec is now in compliance with all sections of the Plan
Rhode Island Peer Review

Peer Review Date: June 2-3, 1999

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800(b), Applications with Estimated Mileage
Section 1204, Registration in Base of Service Representative
Section 1206, Registration in Base of Carrier
Section 1304, Determination of Total Bus Miles
Audit Section, Jurisdiction Accountability

Follow-up Review Date: June 29, 2000

Issue of Non-Compliance:
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 11, 2000
Rhode Island is required to annually complete audits of at least 3% of its carriers

2001 Follow-up to 2000 Dispute Resolution Committee Decision: Nov. 1, 2001
Rhode Island complies with all sections of the Plan.

Peer Review Date: Aug. 25-26, 2004

Issues of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 408 – Jurisdiction Notification of Application Filing
Section 800 – Application for Initial Registration

Follow-up Review Date: Oct. 26, 2005

Issues of Non-Compliance:
Section 408 – Jurisdiction Notification of Application Filing
Section 800 – Application for Initial Registration

Dispute Resolution Committee Decision: Sept. 30, 2006
Rhode Island must submit to the Peer Review committee by Jan. 1, 2007, a plan of action to come into compliance by June 30, 2007. The plan of action will include training for the Rhode Island staff, to be completed by June 30, 2007. Rhode Island is also directed to notify the Peer Review Committee when staff training is completed and the notification shall include any materials used in the training.

In addition, Rhode Island must submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports
must include an electronic list of new accounts for review by the Peer Review Committee, from which the committee will select five accounts to review each quarter.

**Dispute Resolution Committee Decision:** Sept. 28, 2007
Rhode Island complies with all sections of the Plan

**Peer Review Date:** Aug. 18-19, 2009

**Issues of Non-Compliance:**
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 405 – Calculation of Apportionment Percentage
Section 1215 – Fee Transmittals
Section APM 204 – Adequate Planning and Supervision
APM 206 – Working Paper Documentation
APM 801 – Exit Conference
Section 1025 – Notification of Audit Findings
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings
Section 1015 – Frequency of Audits
Section 1220 – Annual Report of Activity

**Follow-up Review Date:** August 18, 2010

**Issues of Non-Compliance:**
Section 1215 – Fee Transmittals
Section APM 204 – Adequate Planning and Supervision
APM 206 – Working Paper Documentation
Section 1025 – Notification of Audit Findings
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**2nd Follow-up Review Date:** March 16, 2011

**Issues of Non-Compliance:**
Section 1215 – Fee Transmittals
APM 206 – Working Paper Documentation

**3rd Follow-up Review Date:** April 27, 2011

**Issues of Non-Compliance:**
Section 1215 – Fee Transmittals

**Dispute Resolution Committee Decision:** May 6, 2011

Beginning with the quarter ending September 30, 2011 and every quarter thereafter through the first quarter of 2012, Rhode Island is required to submit to the Peer Review Committee, within 15 days of the end of each quarter, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Section 1215
Dispute Resolution Committee Decision: May 18, 2012
Moved and seconded to find Rhode Island in compliance with Plan Section 1215.
Rhode Island now in compliance with all sections of the Plan

Peer Review Date: Aug. 12-14, 2014

Issues of Non-Compliance:
APM 206 – Working Paper Documentation
APM 404 – Exit Conference
APM 502 – Evaluation of Internal Control

Follow-up Review Date: September 16, 2015
Rhode Island is in compliance with all Sections of the Plan.
Saskatchewan Peer Review

Peer Review Date: July 15-16, 1999

Issues of Non-Compliance:
Section 204, Definition of Apportioned Vehicle
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 1404, Interjurisdiction or Intrajurisdiction Operation
Audit Section, Field Work Standards

Follow-up Review Date: Oct. 6, 2000

Issue of Non-Compliance:
Audit Section, Field Work Standards

Dispute Resolution Committee Decision: Nov. 11, 2000
Saskatchewan complies with all sections of the Plan

Peer Review Date: Oct. 28, 2004

Issues of Non-Compliance:
Section 408 – Jurisdiction Notification of Application Filing
Section 700 – Vehicle Withdrawn: Disposition of Fees
Section 702 – Replacement Vehicles
Section 1600 – Frequency of Audits
APM Section 202 – Professional Care
APM Section 204 – Adequate Planning and Supervision
APM Section 206 – Working Paper Documentation

Follow-up Review Date: Nov. 30, 2005
Saskatchewan complies with all sections of the Plan

Peer Review Date: June 3-4, 2009

Issues of Non-Compliance:
Section 1015 – Frequency of Audits Findings
APM 202 – Professional Care
APM 206 – Working Paper Documentation
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: June 25, 2010
APM 202 – Professional Care
APM 206 – Working Paper Documentation
APM 803 – Interjurisdictional Audit report and Distribution of the Audit Findings
2nd Follow-up Review Date: April 27, 2011
Saskatchewan complies with all Sections of the Plan

Peer Review Date: June 10-12, 2014

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates Base Jurisdictions
Section 530 – Unladen Vehicle Registration – Hunter’s Permit
APM 703 – Sampling and Extrapolation Procedures
APM 601 – Pre-Audit Contact

Follow-up Review Dates: June 24, 2015, July 6, 2015 and July 22, 2015
Saskatchewan complies with all Sections of the Plan
South Carolina Peer Review

Peer Review Date: July 13-15, 1994

Issues of Non-Compliance:
Section 204, Apportionable Vehicle Restricted Plates
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards
Section 1304, Determination of Total Bus Miles
Audit Section, Jurisdiction Accountability

Follow-up Review Date: July 12, 1995

Issues of Non-Compliance:
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards

Dispute Resolution Committee Decision Aug. 28, 1995:
South Carolina has 12 months to comply with Section 408
South Carolina has 90 days to comply with Section 502

Dispute Resolution Committee Decision April 25, 1996:
South Carolina complies with all sections of the Plan

Peer Review Date: June 20-21, 2000

Issues of Non-Compliance:
Section 204, Apportionable Vehicle Restricted Plates
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 1404, Interjurisdiction or Intrajurisdiction Operation
Audit Section, Jurisdictional Audit Staff Standards – Professional Care
Audit Section, Field Work Standards

Follow-up Review Date: Aug. 20, 2001

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing

Dispute Resolution Committee Decision: Nov. 1, 2001
South Carolina has 12 months to comply with Section 408
Dispute Resolution Committee Decision: Nov. 9, 2002
South Carolina is required to submit quarterly reports on transmittal activity until April 1, 2003.

Dispute Resolution Committee Decision: Nov. 15, 2003
South Carolina complies with all sections of the Plan.

Peer Review Date: Dec. 14-15, 2005

Issues of Non-Compliance:
Section 300 – Determination of Fees
Section 400 – Application Filed with Base Jurisdiction
Section 408 – Jurisdiction Notification of Application Filing
Section 800 – Application for Initial Registration
Section 1604 – Notification of Audit Findings
APM Section 206 – Working Paper Documentation
APM Section 703 – Sampling and Extrapolation Procedures
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

Follow-up Review Date: Nov. 29, 2006
South Carolina complies with all sections of the Plan

Peer Review Date: Dec. 8-9, 2010

Issues of Non-Compliance:
Section Article II, Definitions
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 405 – Calculation of Apportionment Percentage
Section 1215 – Fee Transmittals

Follow-up Review Date: December 21, 2011
South Carolina complies with all sections of the Plan

Peer Review Date: Dec. 9-10, 2015

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction

Follow-up Review Date: December 21, 2016
South Carolina complies with all sections of the Plan
South Dakota Peer Review

**Peer Review Date:** May 21-23, 1997

**Issues of Non-Compliance:**
- Section 204, Definition of Apportioned Vehicle
- Section 256, Total Distance
- Section 502, Identification Plates and Cab Cards
- Section 800(a), Application for Initial Registration
- Section 800(b), Applications with Estimated Mileage
- Section 910, Hunter Permits
- Section 1111, Rental Vehicle: Base Jurisdiction
- Section 1118(b), Rental Trailers and Semi-Trailers
- Section 1120, Utility Trailers
- Section 1122, One Way Vehicles
- Section 1202, Owner-Operator Leased Equipment
- Section 1304, Determination of Total Bus Miles
- Audit Section, Field Work Standards
- Audit Section, Registrants Record Requirements

**Follow-up Review Date:** June 3, 1998

**Issues of Non-Compliance:**
- Section 256, Total Distance
- Section 800(a), Application for Initial Registration
- Section 800(b), Applications with Estimated Mileage

**Dispute Resolution Committee Decision: Nov. 19, 1998**
South Dakota has 12 months to comply with Section 256
South Dakota has 12 months to comply with Sections 800(a) and 800(b)

**Dispute Resolution Committee Decision: Nov. 13, 1999**
South Dakota is in compliance with Section 256
South Dakota has 12 months to comply with Sections 800(a) and 800(b)

**Dispute Resolution Committee Decision: Nov. 11, 2000**
South Dakota complies with all sections of the Plan

---

**Peer Review Date:** Aug. 15-16, 2001

**Issues of Non-Compliance:**
- Section 400, Application Filed with Base Jurisdiction
- Section 800, Application for Initial Registration
- Section 906, Place of Business (Owner-Operator)

**Follow-up Review Date:** Aug. 28, 2002
**Issue of Non-Compliance:**
Section 906, Place of Business (Owner-Operator)

**Dispute Resolution Committee Decision:**  Nov. 9, 2002
South Dakota complies with all sections of the Plan.

---

**Peer Review Date:**  April 26-27, 2006

**Issues of Non-Compliance:**
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 702, Replacement Vehicles
Section 1704, Netting of Audit Adjustments
APM Section 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:**  May 09, 2007

**Issues of Non-Compliance:**
Section 1704, Netting of Audit Adjustments

**Dispute Resolution Committee Decision:**  Sept. 28, 2007
South Dakota has until Nov. 1, 2007, to come into compliance with Section 1704. South Dakota must provide proof of compliance at that time. If South Dakota does not come into compliance, it will lose its right to vote until it has achieved full compliance.

**Board of Directors Decision:**  January 24, 2008
The board heard an appeal by South Dakota stemming from the DRC decision on September 28, 2007. While the board supported the DRC decision, South Dakota’s voting rights were reinstated based on new evidence submitted. However, South Dakota must comply with the Plan by July 1, 2010.

**July 1, 2010:**  PRC received documentation demonstrating South Dakota’s compliance with Section 1704

---

**Peer Review Date:**  May 18 – 19, 2011

**Issues of Non-Compliance:**
APM 204 – Adequate Planning and Supervision
APM 803 – Interjurisdictional Audit Report
AMP 703 – Sampling and Extrapolation Procedures

**Follow-up Review Date:**  June 20, 2012
South Dakota complies with all sections of the Plan.
Peer Review Date: October 26-27, 2016

Issues of Non-Compliance:
Article II, Definitions
Section 530 – Unladen Vehicle Registration – Hunter’s Permit
Section 605 – Display of Registration Credentials; Renewal Credentials
APM 402 – Opening Conference
APM 501 – Preliminary Audit Procedures
APM 502 – Evaluation of Internal Control
APM 601 – Audit Report

Follow-up Review Date: October 18, 2017

Issues of Non-Compliance:
Section 530 – Unladen Vehicle Registration – Hunter’s Permit
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report

2nd Follow-up Review Date: October 18, 2017

Issues of Non-Compliance:
Section 530 – Unladen Vehicle Registration – Hunter’s Permit
APM 501 – Preliminary Audit Procedures

3rd Follow-up Review Date: February 21, 2018
Section 530 – Unladen Vehicle Registration – Hunter’s Permit

May 2, 2018 DRC Ruling: Motion and second to find South Dakota in compliance with Section 530, Unladen Vehicle Registration as of July 1, 2018 upon the implementation of the new law.
Tennessee Peer Review

Peer Review Date: Dec. 6-8, 1995

Issues of Non-Compliance:
Section 256, Total Distance
Section 408(a), Jurisdiction Notification of Application Filing
Section 1304, Determination of Total Bus Miles
Audit Section, Jurisdiction Accountability

Follow-up Review Date: Nov. 15, 1996

Issues of Non-Compliance:
Section 408(a), Jurisdiction Notification of Application Filing
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Sept. 11, 1997
Tennessee has 12 months to come into compliance with Section 408
Tennessee has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 19, 1998
Section 408(a), Jurisdiction Notification of Application Filing
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 19, 1998
Dispute Resolution Committee Referred Issue of Suspension of Voting Rights to IRP Membership.

Dispute Resolution Committee Decision: Nov. 13, 1999
A decision was postponed regarding Section 408 and Audit Section, Jurisdiction Accountability. A letter from the Dispute Resolution Committee was sent to Tennessee’s governor.

Dispute Resolution Committee Decision: Nov. 11, 2000
Tennessee has 12 months to come into compliance with Section 408 and Section 1600

Dispute Resolution Committee Decision: Nov. 1, 2001
Tennessee complies with all sections of the Plan.


Peer Review Date: Oct. 4-5, 2001

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 408, Jurisdiction Notification of Application Filing
Section 906, Place of Business (Owner-Operators)
Article XXIII, Dispute Resolution
Follow-up Review Date: Nov. 1, 2002
Tennessee complies with all sections of the Plan

Peer Review Date: Dec. 13-14, 2006

Issues of Non-Compliance:

APM 206, Working Paper Documentation
APM 701, Initial Audit Procedures
APM 703, Sampling and Extrapolation Procedures
APM 803 Interjurisdictional Audit Report and Distribution of the Audit Findings
Section 1604, Notification of Audit Findings

Follow-up Review Date: Nov. 14, 2007
Tennessee complies with all sections of the Plan

Peer Review Date: Dec. 7-8, 2011

Issues of Non-Compliance:
Section 1065 – Netting of Audit Adjustments
APM 603 – Request for Records

Follow-up Review Date: December 19, 2012
Tennessee complies with all sections of the Plan

Peer Review Date: December 6-12, 2016

Issues of Non-Compliance:
Section 320 – Average Per-Vehicle Distance
Section 1050 – Netting Audit Adjustments
Section 1215 – Fee Transmittals
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report

Follow-up Review Date: January 24, 2018
Tennessee complies with all sections of the Plan
Texas Peer Review

Peer Review Date: Oct. 31-Nov. 2, 1994

Issues of Non-Compliance:
Section 256, Total Distance
Section 400, Application Filed with a Base Jurisdiction
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards
Section 702, Replacement Vehicles
Section 800(a), Application for Initial Registration

Follow-up Review Date: Nov. 1, 1995

Issues of Non-Compliance:
Section 256, Total Distance

Dispute Resolution Committee Decision: April 25, 1996
Texas has one year to come into compliance with the Plan

Dispute Resolution Committee Decision: Sept. 11, 1997
Texas complies with all sections of the Plan

Peer Review Date: Aug. 3-4, 2000

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800, Application for Initial Registration

Follow-up Review Date: Oct. 24, 2001

Issues of Non-Compliance:
Texas complies with all sections of the Plan

Peer Review Date: June 15-16, 2005

Issues of Non-Compliance:
Section 400 — Application Filed with Base Jurisdiction
Section 408 — Jurisdiction Notification of Application Filing
Section 700 — Vehicle Withdrawn: Disposition of Fees
Section 800 — Application for Initial Registration
Section 1604 — Notification of Audit Findings
APM Section 201 — Training and Proficiency
APM Section 202 — Professional Care
APM Section 203 — Study and Evaluation
APM Section 204 – Adequate Planning and Supervision
APM Section 205 – Auditor Independence
APM Section 206 – Working Paper Documentation
APM Section 301 - Auditor Qualifications
APM Section 302 – Auditor Responsibilities
APM Section 601 – Pre-Audit Contact
APM Section 602 – Initial Audit Conference
APM Section 702 – Evaluation of Internal Control
APM Section 703 – Sampling and Extrapolation Procedures
APM Section 801 – Exit Conference
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

Follow-up Review Date: July 19, 2006

Issues of Non-Compliance:
Section 1604 – Notification of Audit Findings
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

2nd Follow-up Review Date: Aug. 21, 2006
Texas complies with all sections of the Plan.

Peer Review Date: December 1-2, 2010

Issues of Non-Compliance
Section 1215 – Fee Transmittals
Section 305 – Selection of Base Jurisdiction
Section 1010- Registrant’s Failure to Maintain or Produce Records
APM 204 – Adequate Planning and Supervision
APM 401 – Adequacy of Records

Follow-up Review Date: December 21, 2011

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction
Section 1010- Registrant’s Failure to Maintain or Produce Records
APM 204 – Adequate Planning and Supervision
APM 401 – Adequacy of Records

2nd Follow-up Review Date: March 23, 2012
Texas complies with all sections of the Plan.

May 19, 2015 DRC Ruling: The IRP Dispute Resolution Committee (DRC) ruled to find Texas out of compliance with Plan Section 1050 (Netting Audit Adjustments). The DRC requested that Texas have a manual process in place by their next IRP Peer Review scheduled in 2015 to address this issue.
Peer Review Date: November 18-19, 2015

Issues of Non-Compliance
Section 305 – Selection of Base Jurisdiction
Section 1025 – Frequency of Audits
Section 1050 – Netting Audit Adjustments (DRC Ruling)

Follow-up Review Date: January 18, 2017

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction
Section 1050 – Netting Audit Adjustments (DRC Ruling)

May 18, 2017: DRC Meeting Motion and second to find Texas remains out of compliance with Section 1050 – Netting audit adjustments. In addition Texas is required to provide proof to the Peer Review Committee, satisfactory to that committee, of completed netting of audit adjustments by June 30, 2017. Effective immediately voting rights of the State of Texas shall be suspended until such time as DRC finds Texas in compliance.

Motion and second to find Texas out of compliance with Section 305 – Selection of Base Jurisdiction. Texas shall provide the PRC with a detailed plan of action by June 1, 2017, to address the large number of accounts using the addresses of service providers as their established place of business. Texas shall provide quarterly progress reports to the PRC within 15 days of the end of each quarter to attain full compliance with Section 305 by April 1, 2018.

May 1, 2018: DRC Meeting Motion and second to find Texas in compliance with Section 1050, Netting of Audit Adjustments and that effective immediately, Texas voting rights are restored.

Motion and second to find Texas in compliance with Section 305, Selection of Base Jurisdiction.

Texas complies with all sections of the Plan.
Utah Peer Review

Peer Review Date: June 5-7, 1996

Issues of Non-Compliance:
Section 218, Established Place of Business
Section 910, Hunter Permits
Section 1116, Rental Passenger Cars
Section 1202, Owner-Operator Leased Equipment
Section 1204, Registration in Base of Service Representative
Section 1206, Registration in Base of Carrier
Section 1304, Determination of Total Bus Miles
Audit Section, Jurisdiction Accountability

Follow-up Review Date: June 17, 1997

Issues of Non-Compliance:
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 16, 1997
Utah has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 19, 1998
The Dispute Resolution Committee could not make a decision on this matter and it was referred to the membership for a vote.

Dispute Resolution Committee Decision: Nov. 13, 1999
Utah is required to annually complete audits of at least 3% of its carriers

Dispute Resolution Committee Decision: Nov. 11, 2000
Utah complies with all sections of the Plan

Peer Review Date: July 19-20, 2001

Issues of Non-Compliance:
Section 210, Base Jurisdiction
Section 218, Established Place of Business
Section 238, Preceding Year
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 700, Vehicle Withdrawn, Disposition of Fees
Section 800, Application for Initial Registration
Section 906, Place of Business (Owner-Operators)

Follow-up Review Date: Sept. 5, 2002
**Issue of Non-Compliance:**
Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 7, 2002
Utah is required to submit quarterly reports of transmittal activity for one year.

**Dispute Resolution Committee Decision:** Nov. 15, 2003
Utah complies with all sections of the Plan.

---

**Peer Review Date:** March 22-23, 2006

**Issues of Non-Compliance:**
Section 218 – Established Place of Business
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration
Section 906 – Place of Business (Owner-Operators)
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** March 22, 2007

**Issue of Non-Compliance:**
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**2nd Follow-up Review Date: May 9, 2007**

**Issue of Non-Compliance:**
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Dispute Resolution Committee Decision:** Sept. 28, 2007
Utah has until Nov. 1, 2007, to come into compliance with APM Section 803. Utah must provide proof of compliance at that time. If Utah does not come into compliance, it will lose its right to vote until it has achieved full compliance.

**Board of Directors Motion:** Oct. 1, 2007
The Board of Directors has been informed by Utah that Utah has come into compliance.

**Dispute Resolution Committee Decision:** Nov. 14, 2007
Utah is in full compliance with the Plan.
Peer Review Date: April 21-22, 2011

Issue of Non-Compliance:
Section Article II – Definitions
Section 305 – Selection of Base Jurisdiction
Section 315 – Application Process
Section 1025 – Notification of Audit Finding
Section APM 603 – Request for Operation Records

Follow-up Review Date: February 22, 2012
Utah complies with all Sections of the Plan

Peer Review Date: April 13-14, 2016

Issue of Non-Compliance:
Section 530 – Unladen Vehicle Registration – Hunter’s Permit
Section APM 401 – Pre Audit Notification
Section APM 502 – Evaluation of Internal Control

Follow-up Review Date: April 19, 2017
Utah complies with all Sections of the Plan
Vermont Peer Review

**Peer Review Date:** April 20-22, 1994

**Issues of Non-Compliance:**
Section 400, Application Filed with Base Jurisdiction
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards
Section 508, Variance of Registered Weights
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 800, Application for Initial Registration
Section 800, Applications with Estimated Mileage
Section 1304, Determination of Total Bus Miles
Audit Section, Audit Program Auditor Qualifications

**Follow-up Review Date:** May 5, 1995

**Issues of Non-Compliance:**
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards
Section 508, Variance of Registered Weights
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 800, Application for Initial Registration
Section 800, Applications with Estimated Mileage

**Dispute Resolution Committee Decision Aug. 28, 1995:**
Vermont has 12 months to comply with Section 408 & 800
Vermont has 90 days to comply with Section 502
Vermont has 6 months to comply with Section 508 & 702

**Dispute Resolution Committee Decision April 25, 1996:**
Vermont complies with all sections of the Plan

**Peer Review Date:** Feb. 28-29, 2000

**Issues of Non-Compliance:**
Section 110, Registrant from Non-Member Jurisdiction
Section 300, Determination of Fees
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800 (b), Applications with Estimated Mileage
Section 1116, Rental Passenger Cars
Section 1304, Determination of Total Bus Miles
Audit Section, Jurisdiction Accountability
Audit Section, Standards of Reporting
Follow-up Review Date:  Feb. March 12, 2001

Issues of Non-Compliance:
Vermont complies with all sections of the Plan

Peer Review Date:  Aug. 10-11, 2005

Issues of Non-Compliance:
Section 218 – Established Place of Business
Section 234 – Owner-Operator
Section 400 – Application Filed with Base Jurisdiction
Section 408 – Jurisdiction Notification of Application Filing
Section 700 – Vehicle Withdrawn: Disposition of Fees
Section 800 – Application for Initial Registration
APM Section 202 – Professional Care
APM Section 204 – Adequate Planning and Supervision

Follow-up Review Date:  Sept. 20, 2006

Issue of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration
APM Section 202 – Professional Care
APM Section 204 – Adequate Planning and Supervision

2nd Follow-up Review Date:  July 18, 2007

Issue of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration

Dispute Resolution Committee Decision:  Nov. 14, 2007
Vermont was found out of compliance with Sections 400 and 800 of the Plan. Vermont has until March 1, 2008, to come into compliance with Sections 400 and 800. Vermont must provide proof of compliance to the Peer Review Committee. If Vermont does not come into compliance by March 1, 2008, it will lose its right to vote until it has achieved full compliance. In addition, if Vermont does not comply by the next meeting of the Dispute Resolution Committee, additional sanctions may be imposed by the Dispute Resolution Committee.

Dispute Resolution Committee Decision:  March 29, 2008
Vermont is now in compliance with Sections 400 and 800. Vermont complies with all Sections of the Plan
Peer Review Date: Aug. 11-12, 2010

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions

Follow-up Review Date: September 14, 2011
Vermont complies with all Sections of the Plan

Peer Review Date: Aug. 25-27, 2015

Issues of Non-Compliance:
Article II, Definitions
Section 305 – Selection of Base Jurisdiction
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1050 – Netting Audit Adjustments
Section APM 401 – Pre Audit Notification
Section 1025 – Frequency of Audit
Section 1055 – Audit Reports

Follow-up Review Date: August 17, 2016
Issue of Non-Compliance:
Section 305 – Selection of Base Jurisdiction

2nd Follow-up Review Date: February 22, 2017
Vermont complies with all Sections of the Plan
Virginia Peer Review

Peer Review Date: Nov. 7-9, 1995

Issues of Non-Compliance:
Section 110(a), Registrant from Non-Member Jurisdiction
Section 110(b), Registrant from Non-Member Jurisdiction
Section 204, Definition of Apportioned Vehicle
Section 218, Established Place of Business
Section 256, Total Distance
Section 400, Application Filed with Base Jurisdiction
Section 408(a), Jurisdiction Notification of Application Filing
Section 408(b), Commentary — Exchange of Canadian Fees
Section 410, Jurisdiction Cooperation
Section 502, Identification Plates and Cab Cards
Section 506, Operation Under Apportioned Registration
Section 704, Temporary Registration
Section 800(b), Applications with Estimated Mileage
Section 906, Place of Business (Owner-Operators)
Section 1116, Rental Passenger Cars
Section 1202, Owner-Operator Leased Equipment
Section 1404, Interjurisdiction or IntraJurisdiction Operation
Section 1304, Determination of Total Bus Miles
Audit Section, Jurisdiction Accountability

Follow-up Review Date: Nov. 15, 1996

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 410, Jurisdiction Cooperation
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Sept. 11, 1997
Virginia has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 16, 1997
Virginia complies with Sections 400 and 410 of the Plan

Dispute Resolution Committee Decision: Nov. 19, 1998
The Dispute Resolution Committee could not make a decision on Audit Section, Jurisdiction Accountability, and it was referred to the membership for a vote.

Dispute Resolution Committee Decision: Nov. 13, 1999
Virginia complies with all sections of the Plan

Peer Review Date: June 13-14, 2001
Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 1206, Registration in Base of Carrier

Follow-up Review Date: July 30, 2002

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 1206, Registration in Base of Carrier

Dispute Resolution Committee Decision: Nov. 7, 2002
Virginia complies with all sections of the Plan

Peer Review Date: June 14-15, 2006

Issues of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 408 – Jurisdiction Notification of Application Filing
Section 700 – Vehicle Withdrawn: Disposition of Fees
Section 800 – Application for Initial Registration
Section 1704 – Netting of Audit Adjustments

Follow-up Review Date: July 18, 2007
Virginia complies with all Sections of the Plan

Peer Review Date: September 21-22, 2011

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 1215 – Fee Transmittals
APM 802 – Registrant Audit Report
APM 803 – Interjurisdictional Audit Report
Section 1025 – Notification of Audit Findings
APM 703 – Sampling and Extrapolation Procedures

Follow-up Review Date: September 26, 2012

Issues of Non-Compliance:
Section 1215 – Fee Transmittals

2nd Follow-up Review Date: March 27, 2013
Virginia complies with all Sections of the Plan
Peer Review Date: September 13-15, 2016

Issues of Non-Compliance:
Section APM 601 – Audit Report

Follow-up Review Date: September 13, 2017
Virginia complies with all Sections of the Plan
Washington Peer Review

Peer Review Date: June 22-23, 1999

Issues of Non-Compliance:
Section 256, Total Distance
Section 400, Application Filed with Base Jurisdiction
Section 906, Place of Business (Owner-Operator)
Section 1304, Determination of Total Bus Miles
Section 1104, Rental Fleet
Section 1111, Rental Vehicle: Base Jurisdiction
Section 1116, Rental Passenger Cars
Section 1118, Rental Trailers and Semi-Trailers
Section 1120, Utility Trailers
Section 1122, One-Way Vehicles
Audit Section, Jurisdiction Accountability
Audit Section, Field Work Standards

Follow-up Review Date: Dec. 14, 2000

Issues of Non-Compliance:
Section 256, Total Distance
Audit Section, Field Work Standards
Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 1, 2001
The Dispute Resolution Committee postponed a decision until the next Dispute Resolution Committee meeting.

Dispute Resolution Committee Decision: Nov. 7, 2002
Washington is in compliance with Audit Section, Jurisdiction Accountability.
Washington is required to submit evidence of compliance with Section 256 and APM 704.1 by June 1, 2003.

Dispute Resolution Committee Decision: Nov. 15, 2003
Washington is in compliance with Section 256 and APM 704.1 but required to submit a status report of continued compliance in Jan. and Aug. 2004.

Peer Review Date: April 23-24, 2003

Issues of Non-Compliance:
Section 300, Determination of Fees
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800, Application for Initial Registration

Follow-up Review Date: April 21, 2004
The committee delayed a decision on Section 300 until additional research is conducted on the issue of continuous registration.

**Issues of Non-Compliance:**
Section 400 – Application Filed with Base Jurisdiction

**Follow-up Review Date:** Aug. 11, 2004
Washington complies with all sections of the Plan.

**Peer Review Date:** September 17-18, 2008

**Issues of Non-Compliance:**
Section 600, Credentials for Apportioned Registration
Section 1604, Notification of Audit Finding
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Finding

**Follow-up Review Date:** September 16, 2009
Washington complies with all Sections of the Plan.

**Peer Review Date:** September 18-19, 2013

**Issues of Non-Compliance:**
Article II, Definitions
Section 305 – Selection of Base Jurisdiction

**May 14, 2014 DRC Ruling (Limited Scope Review)**

**Issue of Non-Compliance:**
Section 1015 – Inadequate records; Assessment

**Follow-up Review Date:** September 17, 2014

**Issue of Non-Compliance:**
Section 1015 – Inadequate records; Assessment

**Dispute Resolution Committee Decision:** May 14, 2014
Motion and Seconded to find to find Washington out of compliance with Plan Section 1015. Washington shall track and provide a list with details of all audits that would result in an inadequate assessment quarterly to the DRC within 15 days of each quarter beginning with the 3rd Qtr. 204. A summary will be provided by the DRC to the member jurisdictions. Washington shall come into compliance by July 1, 2015 and report its progress to the DRC at the 2015 DRC meeting.

*Motion passed unanimously*

**Dispute Resolution Committee Decision:** May 19, 2015
Motion and seconed to find Washington remains out of compliance with Plan Section 1015 (Inadequate Records; Assessment). To confirm Washington’s anticipated
compliance, they shall provide to the PRC by April 15, 2016, a list of all audits resulting in an inadequate assessment from the period of July 1, 2015 thru March 31, 2016.

**Dispute Resolution Committee Decision:** May 3, 2016
The DRC determined Washington was now in full compliance based upon the information provided. Washington’s new legislation took effect on 7/1/2015.

---

**Peer Review Date:** September 11-13, 2018

**Issues of Non-Compliance:**
Section 320 – Average Per-Vehicle Distance
APM 202 – Study and Evaluation
APM 502 – Evaluation of Internal Control
APM 503 – Sampling and Extrapolation Procedures
APM 601 – Audit Report
Section 1025 – Frequency of Audits
Section 1040 – Reexaminations
Section 1055 – Audit Reports
Section 1065 – Audit Appeals
West Virginia Peer Review

Peer Review Date: Feb. 8-10, 1994

Issues of Non-Compliance:
Section 204, Apportionable Vehicle
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards
Audit Section, Independence

Follow-up Review Date: Feb. 28, 1995

Issues of Non-Compliance:
Section 408, Commentary — Exchange of Canadian Fees
Section 502, Identification Plates & Cab Cards

Dispute Resolution Committee Decision Aug. 28, 1995:
West Virginia has 12 months to comply with Section 408
West Virginia has 90 days to comply with Section 502

Dispute Resolution Committee Decision April 25, 1996:
West Virginia complies with all sections of the Plan

Peer Review Date: Jan. 26-27, 2000

Issues of Non-Compliance:
Section 400, Application Filed with Base Jurisdiction
Section 408, Jurisdiction Notification of Application Filing
Section 800, Applications with Estimated Mileage
Section 1304, Determination of Total Bus Miles
Section 1404, Interjurisdiction or Intrajurisdiction Operation

Follow-up Review Date: Feb. 22, 2001

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing

Dispute Resolution Committee Decision: Nov. 1, 2001
West Virginia has 12 months to comply with Section 408

Dispute Resolution Committee Decision: Nov. 9, 2002
West Virginia complies with all sections of the Plan.

Peer Review Date: Feb. 16-17, 2005
Issues of Non-Compliance:
Section 400 – Application Filed with Base Jurisdiction
Section 800 – Application for Initial Registration

Follow-up Review Date: Feb. 15, 2006
West Virginia Complies with all Sections of the Plan

Peer Review Date: February 24-25, 2010

Issues of Non-Compliance:
Section 1065 – Netting of Audit Adjustments
APM 206 – Working Paper Documentation

Follow-up Review Date: Feb. 16, 2011
APM 206 – Working Paper Documentation

2nd Follow-up Review Date: March 16, 2011
West Virginia Complies with all Sections of the Plan

Peer Review Date: August 19-20, 2015

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction
Section 530 – Unladen Vehicle Registration – Hunter’s Permit
Section APM 203 – Planning and Supervision
Section APM 502 – Evaluation of Internal Control

Follow-up Review Date: September 7, 2016
West Virginia Complies with all Sections of the Plan
Wisconsin Peer Review

Peer Review Date: April 1-2, 1998

Issues of Non-Compliance:
Section 502, Identification Plates and Cab Cards
Section 800(a), Application for Initial Registration
Section 1116, Rental Passenger Cars
Section 1118(b), Rental Trailers and Semi-Trailers
Section 1120, Utility Trailers
Section 1304, Determination of Total Bus Miles

Follow-up Review Date: April 15, 1999
Wisconsin complies with all sections of the Plan

Peer Review Date: Feb. 26-27, 2003

Issues of Non-Compliance:
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 702, Replacement Vehicles
Section 800, Application for Initial Registration

Follow-up Review Date: March 17, 2004
Wisconsin complies with all sections of the Plan

Peer Review Date: July 23-24, 2008

Issues of Non-Compliance:
(Plan Sections Prior to July 1, 2008)
Section 400, Application Filed with Base Jurisdiction
Section 800, Application for Initial Registration
Section 801, Estimated Distance Methodology
Section 1704, Netting of Audit Adjustments
APM 701, Initial Audit Procedures

(Current Plan Sections)
Section 315, Application Process
Section 320, Distance Estimates by Base Jurisdictions

Follow-up Review Dates: July 20<sup>th</sup> and July 27<sup>th</sup>, 2009
Wisconsin complies with all sections of the Plan
Peer Review Date: July 23-24, 2013

Issues of Non-Compliance:
Section 305 – Selection of Base Jurisdiction
Section 1215 – Fee Transmittals

Follow-up Review Date: July 16, 2014
Wisconsin complies with all sections of the Plan

Peer Review Date: July 18-19, 2018

Issues of Non-Compliance:
Section 315 – Application Process
APM 501 – Preliminary Audit Procedures
APM 601 – Audit Report

Follow-up Review Date:
Wyoming Peer Review

Peer Review Date: April 9-11, 1997

Issues of Non-Compliance:
Section 408(a), Jurisdiction Notification of Application Filing
Section 1104, Rental Fleet
Section 1111, Rental Vehicle: Base Jurisdiction
Section 1116, Rental Passenger Cars
Section 1118(b), Rental Trailers and Semi-Trailers
Section 1120, Utility Trailers
Section 1122, One Way Vehicles
Section 1304, Determination of Total Bus Miles
Section 1404, Interjurisdiction or Intrajurisdiction Operation Audit Section, Jurisdiction Accountability
Audit Section, Registrant’s Record Requirements

Follow-Up Review Date: May 13, 1998

Issues of Non-Compliance:
Jurisdiction Accountability

Dispute Resolution Committee Decision: Nov. 19, 1998
Wyoming has 12 months to comply with Section 1600

Dispute Resolution Committee Decision: Nov. 13, 1999
Wyoming complies with all sections of the Plan

Peer Review Date: Nov. 13-14, 2002

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing

Follow-up Review Date: Dec. 17, 2003
Wyoming complies with all sections of the Plan

Peer Review Date: May 16-18, 2007

Issues of Non-Compliance:
Section 408, Jurisdiction Notification of Application Filing
Section 700, Vehicle Withdrawn: Disposition of Fees
Section 1120, Rental Utility Trailers
Section 1704, Netting of Audit Adjustments
APM 900, Summary

Follow-up Review Date: May 14, 2008
Issues of Non-Compliance:
Section 1120, Rental Utility Trailers

2nd Follow-up Review Date: July 16, 2008
Wyoming complies with all sections of the Plan

Peer Review Date: October 9-10, 2012

Issues of Non-Compliance:
Section 315 – Application Process
Section 320 – Distance Estimates by Base Jurisdictions
Section 405 – Calculation of Apportionment Percentage
Section 610 – Contents of the Cab Card
Section 1025 – Notification of Audit Finding

Follow-up Review Date: October 30, 2013
Wyoming complies with all sections of the Plan

Peer Review Date: October 10-12, 2017

Issues of Non-Compliance:
Section 1215 – Fee Transmittals
Section 1055 – Audit Reports
APM 502 – Evaluation of Internal Control
APM 601 – Audit Report

Follow-up Review Date: November 14, 2018

Issues of Non-Compliance:
Section 1055 – Audit Reports