



International Registration Plan, Inc.

# **Jurisdictions Peer Review Results**

December 2018

## **Alabama Peer Review**

**Peer Review Date:** Jan. 14, 1998

**Issues of Non-Compliance:**

Section 800(a), Application for Initial Registration  
Section 1111, Rental Vehicle : Base Jurisdiction

**Follow-up Review Date:** Jan. 19, 1999

Alabama complies with all sections of the Plan

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**Peer Review Date:** March 12-13, 2003

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 400, Application Filed with Base Jurisdiction  
Section 906, Place of Business (Owner-Operators)

**Follow-up Review Date:** March 17, 2004

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Follow-up Review Date:** Aug. 11, 2004

Alabama complies with all sections of the Plan

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**Peer Review Date:** March 12-13, 2008

**Issues of Non-Compliance:**

Section 204, Apportionable Vehicle  
Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 801, Estimated Distance Methodology  
Section 1116, Rental Passenger Cars  
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** March 18, 2009

Alabama complies with all sections of the Plan

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**Peer Review Date:** March 18-22, 2013

**Issues of Non-Compliance:**

Article II, Definitions

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

Section 1215 – Transmittals

**Follow-up Review Date:** April 11, 2014

**Issues of Non-Compliance:**

Section 1215 – Transmittals

**2<sup>nd</sup> Follow-up Review Date:** March 6, 2015

Alabama complies with all sections of the Plan

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**Peer Review Date:** March 13-14, 2018

**Issues of Non-Compliance:**

Section 315 – Application Process

APM 601 – Audit Report

## **Alberta Peer Review**

**Peer Review Date:** July 16-17, 1997

**Issues of Non-Compliance:**

Section 256, Total Distance  
Section 400, Application Filed with Base Jurisdiction  
Section 910, Hunter Permits  
Audit Section, Jurisdiction Accountability  
Audit Section, Standards of Reporting

**Follow-up Review Date:** Aug. 24, 1998

**Issues of Non-Compliance:**

Jurisdiction Accountability

**Dispute Resolution Committee Decision: Nov. 19, 1998**

Alberta has 12 months to come into compliance with the Plan

**Dispute Resolution Committee Decision: Nov. 13, 1999**

Alberta complies with all sections of the Plan

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**Peer Review Date:** Aug. 7-8, 2002

**Issues of Non-Compliance:**

Section 1704, Netting of Audit Adjustments  
Section 1706, Audit Transmittals  
APM Section 800: Audit Completion and Audit Reporting Procedures

**Follow-up Review Date:** Sept. 9, 2003

**Issues of Non-Compliance:**

Section 1704, Netting of Audit Adjustments  
Section 1706, Audit Transmittals

**Dispute Resolution Committee Decision: Nov. 15, 2003**

Alberta has until Sept. 2004 to come into compliance with the Plan.

**Dispute Resolution Committee Decision: Nov. 5-6, 2004**

Alberta complies with all sections of the Plan

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**Peer Review Date:** July 25-26, 2007

**Issues of Non-Compliance:**

Section APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** June 18, 2008  
Alberta complies with all sections of the Plan

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**Peer Review Date:** June 27-28, 2012

**Issues of Non-Compliance:**

Section 115 – Effect of the Plan on other Agreements  
Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 1065 – Netting of Audit Adjustments  
Section 1070 – Audit Transmittals

**Follow-up Review Dates:** June 19 & July 17, 2013

**Issues of Non-Compliance:**

Section 115 – Effect of the Plan on other Agreements

**Dispute Resolution Committee Decision:** May 14, 2014

Moved and seconded to find to find Alberta's issuance of temporary breakdown permits under Part II of the Canadian Agreement of Vehicle Registration (CAVR) was out of compliance with Plan Section 115. Based on Alberta's amendment to its policy effective April 1, 2014, the DRC now finds Alberta to be in compliance with Section 115. Alberta shall provide written confirmation of the policy amendment to the Chair of the DRC by July 1, 2014.

*Motion passed unanimously*

**Dispute Resolution Committee Decision:**

Alberta complies with all sections of the Plan

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**Peer Review Date:** July 11-13, 2017

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction  
Section 530 – Unladen Vehicle Registration – Hunter's Permit  
Section 1215 – Fee Transmittals  
APM 401 – Pre-Audit Notification  
APM 601 – Audit Report

**Follow-up Review Dates:** November 29 and December 19, 2018

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction  
Section 530 – Unladen Vehicle Registration – Hunter's Permit  
Section 1215 – Fee Transmittals

## Arizona Peer Review

**Peer Review Date:** April 22-23, 1998

**Issues of Non-Compliance:**

Section 1304, Determination of Total Bus Miles

**Follow-up Review Date:** April 19, 1999

Arizona complies with all sections of the Plan

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**Peer Review Date:** Dec. 11-12, 2003

**Issues of Non-Compliance:**

Section 218 – Established Place of Business

Section 700 – Vehicle Withdrawn: Disposition of Fees

Section 910 – Hunter Permits

APM 601 – Pre-audit Contact

APM 801 – Exit Conference

**Follow-up Review Date:** Jan. 26, 2005 & Feb. 23, 2005

Arizona complies with all sections of the Plan

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**Peer Review Date:** February 20-21, 2008

**Issues of Non-Compliance:**

Section 400 – APPLICATION FILED WITH BASE JURISDICTION

Section 800 – APPLICATION FOR INITIAL REGISTRATION

Section 910 – UNLADEN WEIGHT PERMIT

APM 203 – Study and Evaluation

APM 206 – Working Paper Documentation

Section – 1604 NOTIFICATION OF AUDIT FINDINGS;

APM 803 – INTERJURISDICTIONAL AUDIT REPORT AND DISTRIBUTION OF THE AUDIT FINDINGS

APM 603 – Request for Operational Records

Section – 1704 NETTING OF AUDIT ADJUSTMENTS

APM 804 – Annual Report of IRP Audit Activity

Section – 1600 FREQUENCY OF AUDITS

**Follow-up Review Date:** February 25, 2009

**Issues of Non-Compliance:**

Section 910 – UNLADEN WEIGHT PERMIT

APM 206 – Working Paper Documentation

Section – 1604 NOTIFICATION OF AUDIT FINDINGS;

APM 803 – INTERJURISDICTIONAL AUDIT REPORT AND DISTRIBUTION OF THE AUDIT FINDINGS

**2<sup>nd</sup> Follow-up Review Date:** July 20, 2009

**Issues of Non-Compliance:**

Section – 1604 NOTIFICATION OF AUDIT FINDINGS;  
APM 803 – INTERJURISDICTIONAL AUDIT REPORT AND DISTRIBUTION OF THE  
AUDIT FINDINGS

**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find Arizona in compliance with Plan Section 1604 and APM 803.  
Motion passed. Arizona complies with all Sections of the Plan.

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**Peer Review Date:** February 12-14, 2013

**Issues of Non-Compliance:**

Article II Definitions  
Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 405 – Calculations of Apportionment Percentage  
Section 620 – Temporary Evidence of Apportioned Registration

**Follow-up Review Date:** February 19, 2014

**Issues of Non-Compliance:**

Section 620 – Temporary Evidence of Apportioned Registration

**Dispute Resolution Committee Decision:** May 14, 2014

Motion and Seconded to find Arizona out of compliance with Plan Section 620. Arizona is required to come into compliance by July 1, 2015 and reports progress to the DRC at the 2015 DRC meeting.

*Motion passed unanimously*

**Dispute Resolution Committee Decision:** May 19, 2015

Arizona provided an update to the DRC informing them that the Arizona legislature did not pass the proposed legislation, which would amend the law to make a temporary permit valid for a maximum of 60 days. Since the bill did not pass, and there it is extremely unlikely that the law will change by the DRC's required deadline of July 1, 2015, Arizona is still out of compliance. However, Arizona indicated that it will attempt to get the bill introduced in the next session, the DRC found cause to set another deadline for next year, and would like an update at the next meeting.

**Dispute Resolution Committee Decision:** May 3, 2016

Arizona provided an update to the DRC informing them that the Arizona legislature passed and was signed by the Governor in March 2016. The legislation will take effect 90 days after the close of the legislative session. The DRC anticipates full compliance will be achieved by the 2017 DRC Meeting.

**Dispute Resolution Committee Decision:** May 18, 2017, Motion and second to find Arizona in compliance with Section 620.

**Peer Review Date:** April 10-13, 2018

**Issues of Non-Compliance**

APM 202 – Study and Evaluation

APM 502 – Evaluation of Internal Control

APM 504 – Distance Audit Procedures

APM 601 – Audit Report

**Follow-up Review Dates:** July 25, 2018 and August 22, 2018

Arizona complies with all Sections of the Plan.



## **Arkansas Peer Review**

**Peer Review Date:** Feb. 23-25, 1994

### **Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards  
Section 600, Applications of Mileage Percentage  
Section 910, Hunter Permits  
Section 1118(b), Rental Trailers and Semi-Trailers  
Section 1120, Utility Trailers  
Section 1304, Determination of Total Bus Miles

**Follow-up Review Date:** Feb. 23, 1995

### **Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards

**Dispute Resolution Committee Decision:** Aug. 28, 1995

Arkansas has 12 months to comply with Section 408

Arkansas has 90 days to comply with Section 502

**Dispute Resolution Committee Decision:** April 25, 1996

Arkansas complies with all sections of the Plan

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**Peer Review Date:** Dec. 8-9, 1999

### **Issues of Non-Compliance:**

Section 210, Base Jurisdiction  
Section 238, Preceding Year  
Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 910, Hunter Permits  
Section 1116, Rental Passenger Cars  
Section 1118, Rental Trailers and Semi-Trailers  
Section 1120, Utility Trailers

**Follow-up Review Date:** Jan. 23, 2001

### **Issue of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 1, 2001  
Arkansas complies with all sections of the Plan

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**Peer Review Date:** Oct. 6-7, 2004

**Issues of Non-Compliance:**

Section 218 – Established Place of Business

Section 408 – Jurisdiction Notification of Application Filing

**Follow-up Review Date:** Oct. 26, 2005

**Issue of Non-Compliance:**

Section 408 – Jurisdiction Notification of Application Filing

**Follow-up Review Date:** Aug. 21, 2006

Arkansas complies with all sections of the Plan

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**Peer Review Date:** November 18-19, 2009

**Issues of Non-Compliance:**

Article II, Definitions

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

APM 802 – Registrant Audit Report

Section 1025 – Notification of Audit Findings

APM 803 – Interjurisdictional Audit Report

APM 603 – Request for Operational Records

**Follow-up Review Date:** November 17, 2010

Arkansas complies with all sections of the Plan

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**Peer Review Date:** November 18-20, 2014

**Issues of Non-Compliance:**

Definition – Apportionable Vehicle

Section 305 – Selection of Base Jurisdiction

APM 203 – Planning and Supervision

Section 1055 – Audit Reports

**Follow-up Review Dates:** December 21, 2015 and January 13, 2016

Arkansas complies with all sections of the Plan

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## **British Columbia Peer Review**

**Peer Review Date:** Oct. 4-5, 1999

**Issues of Non-Compliance:**

Audit Section, Field Work Standards

**Follow-up Review Date:** Oct. 16, 2000

**Issues of Non-Compliance:**

Audit Section, Field Work Standards

**Dispute Resolution Committee Decision:** Nov. 11, 2000

British Columbia complies with all sections of the Plan

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**Peer Review Date:** July 21-22, 2004

**Issues of Non-Compliance:**

British Columbia complies with all sections of the Plan

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**Peer Review Date:** July 22-23, 2009

**Issues of Non-Compliance:**

British Columbia complies with all Sections of the Plan

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**Peer Review Date:** July 23-24, 2014

**Issues of Non-Compliance:**

British Columbia complies with all Sections of the Plan

## California Peer Review

**Peer Review Date:** Sept. 7-9, 1994

**Issues of Non-Compliance:**

Section 256, Total Distance  
Section 400, Application Filed with a Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 408, Commentary — Exchange of Canadian Fees  
Section 500, Base Jurisdiction Registration  
Section 502, Identification Plates & Cab Cards  
Section 1206, Registration in Base of Carrier  
Section 1304, Determination of Total Bus Miles  
Audit Section, Registrant's Record Requirements

**Follow-up Review Date:** Oct. 25, 1995

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** April 25, 1996

California has one year to come into compliance with the Plan

**Dispute Resolution Committee Decision:** Sept. 11, 1997

California has until Dec. 1997 to come into compliance with the Plan

**Dispute Resolution Committee Decision:** Nov. 19, 1998

A decision was postponed for 30 days

**Dispute Resolution Committee Decision:** Nov. 13, 1999

A decision was postponed until California's next peer review in April 2000

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**Peer Review Date:** April 6-7, 2000

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing (carried over from previous peer review)

Section 910, Hunter Permit

**Dispute Resolution Committee Decision:** Nov. 11, 2000

California complies with Section 408.

**Follow-up Review Date:** May 25, 2001

**Issues of Non-Compliance:**

Section 910, Hunter Permit

**Dispute Resolution Committee Decision:** Nov. 1, 2001

California complies with all sections of the Plan

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**Peer Review Date:** May 11-12, 2005

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction

Section 408- Jurisdiction Notification of Application Filing

Section 800 – Application for Initial Registration

**Follow-up Review:** May 17, 2006

**Issues of Non-Compliance:**

Section 408 – Jurisdiction Notification of Application Filing

**Follow-up Review:** Aug. 21, 2006

California complies with all sections of the Plan

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**Peer Review Date:** April 14-15, 2010

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

Section 410 – No Minimum Registration Fee; Collection of Other Fees Permitted

Section 1215 – Fee Transmittals

Section 1015 – Frequency of Audits

**Follow-up Review:** April 27, 2011

**Issues of Non-Compliance:**

Section 410 – No Minimum Registration Fee; Collection of Other Fees Permitted

**Dispute Resolution Committee Decision:** May 18, 2012

Moved and seconded to find California in compliance with Plan Section 410 since no registration fees are charged when the calculation results in a registration fee that is less than \$.01 and cab cards are issued providing authority to operate in California.  
*Motion passed with five yes votes and two no votes.*

California is now in full compliance with the Plan.

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**Peer Review Date:** April 15-16, 2015

**Issues of Non-Compliance:**

Section 1050 (f) – Netting Audit Adjustments

Section 1060 – Audit Transmittals

**Follow-up Review:** April 20, 2016  
California is now in full compliance with the Plan.

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## **Colorado Peer Review**

**Peer Review Date:** June 21-23, 1995

**Issues of Non-Compliance:**

Section 256, Total Distance

Section 408, Jurisdiction Notification of Application Filing

Section 502, Identification Plates & Cab Cards

Audit Section, Independence

Audit Section, Field Work Standards

Audit Section, Auditor Qualifications

Audit Section, Records Requirement

**Follow-up Review Date:** June 11, 1996

Colorado complies with all sections of the Plan

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**Peer Review Date:** Oct. 2-3, 2000

**Issues of Non-Compliance:**

Section 300, Determination of Fees

Section 400, Application Filed with Base Jurisdiction

Section 800, Application for Initial Registration

Section 1204, Registration in Base of Service Representative

Section 1206, Registration in Base of Carrier

Section 1304, Determination of Total Bus Miles

Audit Section, Jurisdiction Accountability

Audit Section, Standards of Reporting

**Follow-up Review Date:** Nov. 28, 2001

**Issue of Non-Compliance:**

Audit Section, Standards of Reporting

**Dispute Resolution Committee Decision:** Nov. 9, 2002

Colorado is required to submit quarterly lists of audited accounts for four quarters, and the Peer Review Committee will determine Colorado's compliance.

**Peer Review Committee Decision:** Sept. 17, 2003

Colorado complies with all sections of the Plan.

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**Peer Review Date:** Sept. 28-29, 2005

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction  
Section 800 – Application for Initial Registration  
Section 408 – Jurisdiction Notification of Application Filing  
Section 700 – Vehicle Withdrawn: Disposition of Fees  
Section 702 – Replacement Vehicles  
Section APM 703 – Sampling and Extrapolation Procedures, Distance per Summary or Application  
Section APM 801 – Exit Conference  
Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** Sept. 20, 2006

Colorado complies with all sections of the Plan.

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**Peer Review Date:** October 20-21, 2010

**Issues of Non-Compliance:**

Article II, Definitions  
Section 305 – Selection of Base Jurisdiction  
Section 1065 – Netting of Audit Adjustments  
Section 1070 – Audit Transmittals

**Follow-up Review Date:** October 19, 2011

**Issue of Non-Compliance:**

Section 1065 – Netting of Audit Adjustments  
Section 1070 – Audit Transmittals  
Colorado complies with all sections of the Plan.

**2<sup>nd</sup> Follow-up Review Date:** March 21, 2012

Colorado complies with all sections of the Plan.

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**Peer Review Date:** October 14-15, 2015

**Issues of Non-Compliance:**

Section 605 – Display of Registration Credentials; Renewal Credentials  
Section 315 – Application Process  
Section 320 – Average Per-Vehicle Distance  
Section 1025 – Frequency of Audits

**Follow-up Review Date:** October 19, 2016

Colorado complies with all Sections of the Plan.

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## **Connecticut Peer Review**

**Peer Review Date:** Aug. 19-20, 1998

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 800(a), Application for Initial Registration  
Section 800(b), Applications with Estimated Mileage

**Follow-up Review Date:** Sept. 8, 1999

Connecticut complies with all sections of the Plan

**Peer Review Date:** Oct. 10-11, 2001

**Issues of Non-Compliance:**

Connecticut complies with all sections of the Plan

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**Peer Review Date:** Oct. 25-26, 2006

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filings  
Section 800, Application for Initial Registration  
Section 1706, Audit Transmittals  
APM 803.4, Interjurisdictional Audit Report and Distribution of Audit Findings

**Follow-up Review Date:** Nov. 14, 2007

Connecticut complies with all sections of the Plan

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**Peer Review Date:** August 10-11, 2011

**Issues of Non-Compliance:**

Definition – Apportionable Vehicle  
APM 803 – Interjurisdictional Audit Report

**Follow-up Review Date:** October 17, 2012

Connecticut complies with all sections of the Plan

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**Peer Review Date:** August 10-11, 2016

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction

Section 320 – Average Per-Vehicle Distance

Section 420 – New Fleets

**Follow-up Review Date:** September 13, 2017

Connecticut complies with all sections of the Plan

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## **Delaware Peer Review**

**Peer Review Date:** April 21-22, 1999

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 704, Temporary Registration  
Section 800, Applications with Estimated Mileage  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** May 31, 2000

Delaware complies with all sections of the Plan

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**Peer Review Date:** Jan. 22-23, 2003

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration

**Follow-up Review Date:** Feb. 25, 2004

Delaware complies with all sections of the Plan

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**Peer Review Date:** Jan. 16-17, 2008

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 1600, Frequency of Audit

**Follow-up Review Date:** Jan. 26, 2009

**Issues of Non-Compliance:**

Section 1600, Frequency of Audit

**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find Delaware out of compliance with Plan Section 1600. By December 31, 2009, Delaware is required to perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual for the period 2004-2007. Delaware shall submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter. Motion passed.

**Dispute Resolution Committee Decision:** May 13, 2010

Delaware is in compliance with Section 1600, Frequency of Audits (current Plan Section 1015).

Delaware complies with all Sections of the Plan

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**Peer Review Date:** Jan. 15-17, 2013

Delaware complies with all sections of the Plan

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**Peer Review Date:** May 23-24, 2018

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

**Follow-up Review Date:**

## **District of Columbia Peer Review**

**Peer Review Date:** March 20-21, 2001

### **Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800, Application for Initial Registration  
Section 910, Hunter's Permit  
Section 1116, Rental Passenger Cars  
Section 1118, Rental Trailers and Semi-Trailers  
Section 1120, Utility Trailers  
Section 1122, One-Way Vehicles  
Article, XXIII, Dispute Resolution  
IRP Audit Program

**Follow-up Review Date:** July 18, 2002 (and Peer Review Committee Conference Call Sept. 24, 2002)

### **Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800, Application for Initial Registration  
Section 910, Hunter's Permit  
Article XXIII, Dispute Resolution  
IRP Audit Program

### **Dispute Resolution Committee Decision:** Nov. 7, 2002

The District of Columbia is required to:

- Submit a copy of its Hunter's Permit by Jan. 6, 2003 (*D.C. was found in compliance with Section 910 in March 2003*)
- Revise its estimated distance chart, internal processing procedures and proof of appropriate use of applications by Jan. 6, 2003.
- Required to conduct nine audits by June 2003 and submit audits to Peer Review Committee as they are completed.
- Required to submit monthly reports of transmittal activity until April 8, 2003.

### **Dispute Resolution Committee Decision:** Nov. 15, 2003

The District of Columbia is in compliance with Section 400, 800, and its audit program.

The District of Columbia is required to:

- Through 2006, annually submit 1) procedures for using D.C. estimated distance chart, 2) copies of three renewal applications using the estimated distance chart, 3) list of new applications, from which the Peer Review Committee will select five accounts to review. *In April 2004 the Peer Review determined that D.C. was making good efforts to remain in compliance with Sections 400 and 800. A report will be made to the DRC in Nov. 2004.*
- Submit monthly reports of transmittal activity through May 2004.

**Dispute Resolution Committee Decision:** Nov. 5-6, 2004

The District of Columbia complies with all sections of the Plan. The Peer Review Committee will continue monitoring D.C.'s compliance with Section 400 on an annual basis through 2006.

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**Peer Review Date:** Jan. 18-19, 2006

**Issues of Non-Compliance:**

Section 234 – Owner-Operator  
Section 400 – Application Filed with Base Jurisdiction  
Section 408 – Jurisdiction Notification of Application Filing  
Section 800 – Application for Initial Registration  
Section 1704 – Netting of Audit Adjustments  
Section 1706 – Audit Transmittals  
APM Section 204 – Adequate Planning and Supervision  
APM 206 – Working Paper Documentation  
APM 601 – Pre-Audit Contact  
APM 603 – Request for Records  
APM 704 – New Operations/Change(s) in Operations  
APM 801 – Exit Conference  
APM 802 – Registrant Audit Report  
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** Feb. 21, 2007

**Issues of Non-Compliance:**

Section 1704 – Netting of Audit Adjustments  
Section 1706 – Audit Transmittals  
APM 204.1 – Adequate Planning and Supervision  
APM 206.1 – Working Paper Documentation  
APM 802.1 – Registrant Audit Report  
APM 803.3 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**2<sup>nd</sup> Follow-up Review Date:** April 19, 2007

**Issues of Non-Compliance:**

APM 206.1 – Working Paper Documentation  
APM 802.1 – Registrant Audit Report  
APM 803.3 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**3<sup>rd</sup> Follow-up Review Date:** July 18, 2007

The District of Columbia complies with all Sections of the Plan

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**Peer Review Date:** March 30-31, 2011

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 1215 – Fee Transmittals  
APM 206 – Working Paper Documentation  
APM 704 – Distance Audit Procedures  
APM 703 – Sampling and Extrapolation Procedures  
APM 802 – Registrant Audit Report  
APM 803 – Interjurisdictional Audit Report

**Follow-up Review Date:** March 21 and April 18, 2012

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

**2nd Follow-up Review Date:** March 27, 2013

The District of Columbia complies with all Sections of the Plan

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**Peer Review Date:** March 23-24, 2016

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Average Per-Vehicle Distance  
APM 401 – Pre Audit Notification  
APM 403 – Request for Records  
APM 501 – Preliminary Audit Procedures  
APM 601 – Audit Report

**Follow-up Review Date:** March 22, 2017

The District of Columbia complies with all Sections of the Plan

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## **Florida Peer Review**

**Peer Review Date:** May 15-17, 1996

**Issues of Non-Compliance:**

Section 256, Total Distance  
Section 218, Established Place of Business  
Section 800(b), Applications with Estimated Mileage  
Section 906, Place of Business (Owner-Operators)  
Section 1206, Registration in Base of Carrier  
Section 1304, Determination of Total Bus Miles  
Audit Section, Jurisdiction Accountability  
Audit Section, Registrant's Record Requirements

**Follow-up Review Date:** June 17, 1997

**Issues of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 16, 1997

Florida has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 18, 1998

Florida complies with all sections of the Plan

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**Peer Review Date:** Feb. 7-8, 2001

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 800, Application for Initial Registration  
Audit Section, Due Professional Care  
Audit Section, Field Work Standards

**Follow-up Review Date:** March 4, 2002

**Issues of Non-Compliance:**

Section 800, Application for Initial Registration

**Dispute Resolution Committee Decision:** Nov. 7, 2002

Florida complies with all sections of the Plan

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**Peer Review Date:** Sept. 13-14, 2006

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction  
Section 800 – Application for Initial Registration  
Section 408 – Jurisdiction Notification of Application Filing  
Section APM 206 – Working Paper Documentation  
Section APM 802 – Registrant Audit Report

**Follow-up Review Date:** Nov. 14, 2007

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction  
Section 800 – Application for Initial Registration  
Section 408 – Jurisdiction Notification of Application Filing

**2<sup>nd</sup> Follow-up Review Date:** August 27, 2008

Section 400 – Application Filed with Base Jurisdiction  
Section 800 – Application for Initial Registration  
*The findings of noncompliance continue to be relevant under the Plan rewrite (Sections 320 and 405).*  
Section 408 – Jurisdiction Notification of Application Filing  
*The findings of noncompliance continue to be relevant under the Plan rewrite (Section 1215)*

**Dispute Resolution Committee Decision:** October 3, 2008

Florida is in compliance with Section 408 of the Plan.

Concerning compliance with Sections 400 and 800 of the Plan, Florida is directed to send to the Peer Review Committee by April 30, 2009, a list of new and renewal applications for Plan registration that include estimated distances processed by Florida between October 3, 2008, and March 31, 2009. The Peer Review Committee will select 5 new and 5 renewal applications for review and submit its findings to the Dispute Resolution Committee at the next meeting of the DRC.

**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find Florida in compliance with Sections 400 and 800. Motion passed.  
Florida Complies with all Sections of the Plan.

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**Peer Review Date:** February 23-24, 2011

**Issues of Non-Compliance:**

Section 1070 – Audit Transmittals  
Section 620 – Temporary Evidence of Apportioned Registration  
Section 1210 – Timely Collection of Apportionable Fees  
Section 405 – Calculation of Apportionment Percentage

**Follow-up Review Date:** February 22, 2012

**Issues of Non-Compliance**

Section 1070 – Audit Transmittals

**Dispute Resolution Committee Decision:** May 18, 2012

Moved and seconded to find Florida is out of compliance with Plan Section 1070. Further, that by July 1, 2012, Florida shall provide the Peer Review Committee a project plan indicating milestones and deliverables that demonstrate the ability to come into compliance by March 31, 2013 with Plan § 1070. Florida shall also provide quarterly plan updates commencing October 1, 2012.

*Motion passed unanimously*

**Dispute Resolution Committee Decision:** June 4, 2013

Moved and seconded to find that Florida continues to be out of compliance with Plan Section 1070. Florida is required to provide Clearinghouse transmittal reports to the Peer Review Committee that include audit fees and a listing of all paid audits for the 3rd and 4th quarter of 2013 within 15 days of the end of each quarter to demonstrate compliance with Section 1070 of the Plan.

*Motion passed unanimously.*

**Dispute Resolution Committee Decision:** May 14, 2014

Moved and seconded to find that Florida in compliance with Plan Section 1070.

*Motion passed unanimously*

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**Peer Review Date:** June 8-9, 2016

**Issues of Non-Compliance:**

Section 320 – Average per-Vehicle Distance

Section APM 601 – Audit Report

**Follow-up Review Date:** June 28, 2017

Florida complies with all Sections of the Plan

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## **Georgia Peer Review**

**Peer Review Date:** May 12-13, 1999

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800, Applications with Estimated Mileage  
Section 1104, Rental Fleet  
Section 1111, Rental Vehicle: Base Jurisdiction  
Section 1116, Rental Passenger Cars  
Section 1118, Rental Trailers and Semi-Trailers  
Section 1120, Utility Trailers  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** June 29, 2000

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Georgia is in compliance with Section 400

Georgia is required to annually complete audits of at least 3% of its carriers

**2001 Follow-up to 2000 Dispute Resolution Committee Decision:** Nov. 1, 2001

Georgia complies with all sections of the Plan.

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**Peer Review Date:** May 5-6, 2004

**Issues of Non-Compliance:**

Section 218 – Established Place of Business  
Section 400 – Application Filed with Base Jurisdiction  
Section 700 – Vehicle Withdrawn: Disposition of Fees  
Section 800 – Application for Initial Registration

**Follow-up Review Date:** June 29, 2005

Georgia complies with all sections of the Plan.

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**Peer Review Date:** April 15-16, 2009

**Issues of Non-Compliance:**

Article II, Definitions  
Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 1065 – Netting of Audit Adjustments

Section 1215 – Fee Transmittals  
Section 1225 – Fee Test  
Section 1015 – Frequency of Audits  
Section 1025 – Notification of Audit Findings  
APM 202 – Professional Care  
APM 803 – Interjurisdictional Audit Report  
Section 1040 - Reexaminations

**Follow-up Review Date:** May 26, 2010

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions

**2<sup>nd</sup> Follow-up Review Date:** April 27, 2011

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions

**Dispute Resolution Committee Decision:** May 6, 2011

Georgia is required to submit a list of new and renewal accounts with estimated distance from the 3<sup>rd</sup> and 4<sup>th</sup> quarters of 2011 from which the Peer Review Committee will select ten accounts for review to determine compliance.

**Dispute Resolution Committee Decision:** May 18, 2012

Moved and seconded to find Georgia still out of compliance with Plan Sections 315 and 320. The DRC will send a letter to the Commissioner of the Georgia Department of Revenue explaining the actions to date. Additionally, Georgia shall submit a list of new and renewal accounts with estimated distance from the 3<sup>rd</sup> and 4<sup>th</sup> quarters of 2012 from which the Peer Review Committee would select ten accounts for review to determine compliance. *Motion passed unanimously.*

**Dispute Resolution Committee Decision:** June 4, 2013

Moved and seconded to find Georgia in compliance with Plan Sections 315 and 320.

Georgia is now in full compliance with the Plan.

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**Peer Review Date:** April 16-17, 2014

**Issues of Non-Compliance:**

Apportionable Vehicle  
Section 305 – Selection of Base Jurisdiction  
Section 405 – Calculation of Apportionment Percentage  
Section 1050 – Netting of Audit Adjustments  
Section 1060 – Audit Transmittals

APM – 206 – Working Paper Documentation

**Follow-up Review Date:** April 22, 2015

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction

**2<sup>nd</sup> Follow-up Review Date:** February 17, 2016

Georgia is now in full compliance with the Plan.

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## **Idaho Peer Review**

**Peer Review Date:** March 12-14, 1997

**Issues of Non-Compliance:**

Section 910, Hunter Permits  
Audit Section, Jurisdiction Accountability  
Audit Section, Standards of Reporting  
Audit Section, Registrant's Record Requirements

**Follow-up Review Date:** May 12, 1998

**Issues of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision: Nov. 19, 1998**

Idaho has 12 months to comply with the Plan

**Dispute Resolution Committee Decision: Nov. 13, 1999**

Idaho has 12 months to comply with the Plan

**Dispute Resolution Committee Decision: Nov. 13, 2000**

Idaho complies with sections of the Plan

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**Peer Review Date:** April 25-26, 2001

**Issues of Non-Compliance:**

Idaho complies with all sections of the Plan

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**Peer Review Date:** Aug. 9-10, 2006

**Issues of Non-Compliance:**

Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings  
Section IRP 1610 – Reexaminations

**Follow-up Review Date:** Aug. 15, 2007

Idaho Complies with all sections of the Plan

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**Peer Review Date:** July 20-21, 2011

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 1025 – Notification of Audit Findings  
APM 803 – Interjurisdictional Audit Report

**Follow-up Review Date:** July 18, 2012

Idaho Complies with all sections of the Plan

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**Peer Review Date:** July 12-14, 2016

**Issues of Non-Compliance:**

Section APM 502 – Evaluation of Internal Control

**Follow-up Review Date:** July 19, 2017

Idaho Complies with all sections of the Plan

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## **Illinois Peer Review**

**Peer Review Date:** July 23-25, 1997

**Issues of Non-Compliance:**

Section 204, Definition of Apportioned Vehicle  
Section 400, Application Filed with Base Jurisdiction  
Section 408(a), Jurisdiction Notification of Application Filing  
Section 1120, Utility Trailers  
Section 1202, Owner-Operator Leased Equipment  
Section 1404, Interjurisdiction or Intrajurisdiction Operation

**Follow-up Review Date:** July 27, 1998

**Issues of Non-Compliance:**

Section 408(a), Jurisdiction Notification of Application Filing  
Section 1404, Interjurisdiction or Intrajurisdiction Operation

**Dispute Resolution Committee Decision:** Nov. 19, 1998

Illinois has 12 months to comply with Section 408(a)  
Illinois has 12 months to comply with Section 1404

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Illinois is in compliance with Section 1404  
Illinois has 12 months to comply with Section 408

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Illinois complies with all sections of the Plan

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**Peer Review Date:** Sept. 11-12, 2002

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 502, Identification Plates and Cab Cards  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 910, Hunter's Permit  
APM, Section 700, Audit Procedure

**Follow-up Review Date:** Oct. 15, 2003

Illinois complies with all sections of the Plan

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**Peer Review Date:** June 20-21, 2007

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 400, Application Filed with Base Jurisdiction  
Section APM 206, Working Paper Documentation  
Section APM 602, Initial Audit Conference  
Section APM 801, Exit Conference  
Section APM 802, Registrant Audit Report

**Follow-up Review Date:** July 16, 2008

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 400, Application Filed with Base Jurisdiction  
Section APM 206, Working Paper Documentation

**Dispute Resolution Committee Decision:** October 3, 2008

Illinois is now in compliance with Sections 400 and 408.

Illinois is still out of compliance with APM 206 and is directed to send to the Peer Review Committee by April 30, 2009, ten audits completed between October 3, 2008, and March 31, 2009. The Peer Review Committee will review the information and submit its findings to the Dispute Resolution Committee at the next meeting of the DRC. Motion passed.

**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find Illinois in compliance with APM Section 206. Motion passed. Illinois complies with all Sections of the Plan.

---

**Peer Review Date:** July 24-26, 2012

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 1025 – Notification of Audit Findings  
APM 703 – Sampling and Extrapolation Procedures  
APM 802 – Registrant Audit Report  
APM 803 – Interjurisdictional Audit Report

**Dispute Resolution Committee Decision:** May 14, 2014

Motion and Seconded to find Illinois out of compliance with Plan Section 305 and 320. Illinois is required to identify and report in detail the financial impact of its non-compliance on each member jurisdictions for the 2013 registration period. Illinois shall submit its report to the DRC by December 31, 2014. A summary will be provided by the

DRC to the member jurisdictions. Effective June 1, 2014, Illinois shall properly calculate fees for the remainder of 2014. Illinois shall provide a list of all renewal, new, and supplemental applications with estimated distance to the PRC quarterly within 15 days of the end of each quarter.

*Motion passed unanimously.*

**Dispute Resolution Committee Decision:** May 19, 2015

Motion and Seconded to find Illinois in compliance with Plan Section 315 (Application Process) and Plan Section 320 (Distance Estimates by Base Jurisdictions) subject to confirmation by the PRC that fees were calculated correctly beginning June 1, 2014.

September 2, 2015 – PRC provided confirmation to DRC that based on Committee review of accounts, Illinois was in fact calculating was calculating fees correctly by the beginning of June 1, 2014.

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**Peer Review Date:** August 23-24, 2017

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 530 – Unladen Vehicle registration – Hunter's Permit

APM 501 – Preliminary Audit Procedures

APM 601 – Audit Report

Section 1055 – Audit Reports

**Follow-up Review Date:** August 22, 2018

**Issues of Non-Compliance:**

Section 315 – Application Process

## Indiana Peer Review

**Peer Review Date:** July 22-23, 1998

**Issue of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** Aug. 9, 1999

Indiana complies with all sections of the Plan

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**Peer Review Date:** June 16-17, 2004

**Issues of Non-Compliance:**

Section 218 – Established Place of Business

Section 306 – Fee Change Notification

Section 400 – Application Filed with Base Jurisdiction

Section 500 – Base Jurisdiction Registration

Section 502 – Identification Plates and Cab Cards

Section 700 – Vehicle Withdrawn: Disposition of Fees

Section 1202 – Owner-Operator Leased Equipment

APM Section 704 – Audit Process

**Follow-up Review Date:** July 20, 2005

Indiana complies with all sections of the Plan

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**Peer Review Date:** June 24-25, 2009

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 1070 – Audit Transmittals

Section 1215 – Fee Transmittals

**Follow-up Review Date:** June 25, 2010.

Section 1070 – Audit Transmittals

Section 1215 – Fee Transmittals

**2<sup>nd</sup> Follow-up Review Date:** April 6, 2011

Section 1070 – Audit Transmittals

Section 1215 – Fee Transmittals

**Dispute Resolution Committee Decision:** May 6, 2011

Indiana is required to provide Clearinghouse transmittal reports that include audit fees to the Peer Review Committee beginning with the quarter ending September 30, 2011 and every quarter thereafter, through the first quarter of 2012, within 15 days of the end of each quarter.

Beginning with the quarter ending September 30, 2011 and every quarter thereafter, through the first quarter of 2012, Indiana is required to submit to the Peer Review Committee, within 15 days of the end of each quarter, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Section 1215

**Dispute Resolution Committee Decision:** May 18, 2012

Moved and seconded to find Indiana in compliance with Plan Sections 1070 and 1215. Indiana is now in compliance with all sections of the Plan

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**Peer Review Date:** June 25-26, 2014

**Issues of Non-Compliance:**  
Section 1215 – Fee Transmittals

**Follow-up Review Date:** July 6, 2015

**Issues of Non-Compliance:**  
Section 1215 – Fee Transmittals

**2<sup>nd</sup> Follow-up Review Date:** February 17, 2016  
Indiana is now in full compliance with the Plan.

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## **Iowa Peer Review**

**Peer Review Date:** March 28-31, 1994

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards  
Section 600, Applications of Mileage Percentage  
Section 910, Hunter Permits  
Section 1118(b), Rental Trailers and Semi-Trailers  
Section 1120, Utility Trailers  
Section 1304, Determination of Total Bus Miles

**Follow-up Review Date:** March 31, 1995  
Iowa complies with all sections of the Plan

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**Peer Review Date:** April 26-27, 2000

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 906, Place of Business (Owner-Operators)  
Section 238, Preceding Year  
Section 408, Jurisdiction Notification of Application Filing  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 1104, Rental Fleet  
Section 1206, Registration in Base of Carrier  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** May 3, 2001

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 906, Place of Business (Owner-Operator)

**Dispute Resolution Committee Decision:** Nov. 11, 2001  
Iowa complies with all sections of the Plan.

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**Peer Review Date:** Oct. 19-20, 2005

**Issues of Non-Compliance:**

Section 218 – Established Place of Business  
Section 408 – Jurisdiction Notification of Application Filing  
Section 700 – Vehicle Withdrawn: Disposition of Fees

**Follow-up Review Date:** Oct. 18, 2006

Iowa complies with all sections of the Plan.

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**Peer Review Date:** September 8-9, 2010

**Issues of Non-Compliance:**

Section 905 – Rental Passenger Cars

Section 1215 – Fee Transmittals

APM 206 – Working Paper Documentation

APM 703 – Sampling and Extrapolation Procedures

APM 803 – Interjurisdictional Audit Report

Section 1015 – Frequency of Audits

**Follow-up Review Date:** September 14, 2011

**Issues of Non-Compliance:**

Section 905 – Rental Passenger Cars

APM 703 – Sampling and Extrapolation Procedures

**Follow-up Review Date:** January 18, 2012

Iowa complies with all sections of the Plan.

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**Peer Review Date:** September 8-10, 2015

**Issues of Non-Compliance:**

APM 402 – Opening Conference

APM 404 – Exit Conference

APM 501 – Preliminary Audit Procedures

APM 601 – Audit Report

**Follow-up Review Date:** September 7, 2016

Iowa complies with all Sections of the Plan.

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## **Kansas Peer Review**

**Peer Review Date:** Nov. 5-6, 1997

**Issues of Non-Compliance:**

Section 506, Operation Under Apportioned Registration  
Section 700, Vehicle Withdrawn, Disposition of Fees  
Section 800(b), Applications with Estimated Mileage  
Section 1104, Rental Fleet  
Section 1111, Rental Vehicle: Base Jurisdiction  
Section 1118 (b), Rental Trailers and Semi-Trailers  
Section 1122, One Way Vehicles  
Section 1304, Determination of Total Bus Miles  
Section 1404, Interjurisdiction or Intrajurisdiction Operation  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** Nov. 6, 1998

**Issue of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Kansas is required to annually complete audits of at least 3% of its carriers

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Kansas complies with all sections of the Plan

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**Peer Review Date:** March 6-7, 2002

**Issues of Non-Compliance:**

Kansas complies with all sections of the Plan

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**Peer Review Date:** Aug. 8-9, 2007

**Issues of Non-Compliance:**

Section 408 – JURISDICTION NOTIFICATION OF APPLICATION FILING  
Section 801 – ESTIMATED DISTANCE METHODOLOGY  
APM 803 – INTERJURISDICTIONAL AUDIT REPORT AND DISTRIBUTION OF THE  
AUDIT FINDINGS  
Section 1604 – NOTIFICATION OF AUDIT FINDING  
Section 1608 – AUDIT APPEALS

**Follow-up Review Date:** August 27, 2008

**Issues of Non-Compliance:**

Section 408 – Jurisdiction Notification of Application Filing

**2<sup>nd</sup> Follow-up Review Date:** May 27, 2009

Kansas complies with all sections of the Plan

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**Peer Review Date:** August 7-9, 2012

**Issues of Non-Compliance:**

Section 1070 – Audit Transmittals

Section 1025 – Notification of Audit Finding

APM 802 – Registrant Audit Report

APM 803 – Interjurisdictional Audit Report

**Follow-up Review Date:** August 21, 2013

Kansas complies with all sections of the Plan

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**Peer Review Date:** November 8-9, 2017

**Issues of Non-Compliance:**

Section 530 – Unladen Vehicle Registration – Hunter's Permit

APM 601 – Audit Report

**Follow-up Review Date:** November 14, 2018

Kansas complies with all sections of the Plan

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## **Kentucky Peer Review**

**Peer Review Date:** Sept. 11-13, 1996

**Issues of Non-Compliance:**

Section 110(a), Registrant from Non-Member Jurisdiction  
Section 218, Established Place of Business  
Section 408(b), Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates and Cab Cards  
Section 1111, Rental Vehicle: Base Jurisdiction  
Section 1304, Determination of Total Bus Miles  
Section 1404, Inter or Intrajurisdiction Operation  
Section 1408, Issuance of Trip Permits  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** Sept. 1997

Kentucky complies with all sections of the Plan

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**Peer Review Date:** July 24-25, 2002

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 800, Application for Initial Registration  
APM Section 801, Exit Conference

**Follow-up Review Date:** Aug. 6, 2003

**Issue of Non-Compliance:**

APM Section 801, Exit Conference

**Follow-up Review Date #2:** Sept. 17, 2003

Kentucky complies with all sections of the Plan

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**Peer Review Date:** May 23-24, 2007

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 408 – Jurisdiction Notification of Application Filing  
Section 218, Established Place of Business  
Section 906, Place of Business (Owner-Operator)  
Section 234, Owner-Operator  
Section 700, Vehicle Withdrawn: Disposition of Fees  
APM 206, Working Paper Documentation

APM 603, Request for Records  
APM 801, Exit Conference  
Section 1604, Notification of Audit Findings  
APM 802, Registrant Audit Report  
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** June 18, 2008  
Kentucky complies with all sections of the Plan

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**Peer Review Date:** June 12-14, 2012

**Issues of Non-Compliance:**

Article II, Definitions  
Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdiction  
Section 1225 – Fee Test  
Section 1015 – Frequency of Audits

**Follow-up Review Date:** June 19, 2013  
Kentucky complies with all sections of the Plan

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**Peer Review Date:** June 13-15, 2017

**Issues of Non-Compliance:**

Article II, Definitions  
Section 805 – Determination of Distance  
Section 315 – Application Process  
APM 601 – Audit Report  
Section 1040 – Reexaminations

**Follow-up Review Date:** June 20, 2018  
Kentucky complies with all sections of the Plan

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## **Louisiana Peer Review**

**Peer Review Date:** Sept. 2-3, 1998

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 506, Operation Under Apportioned Registration  
Section 1304, Determination of Total Bus Miles  
Section 910, Hunter Permits

**Follow-up Review Date:** Oct. 14, 1999

**Issue of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Louisiana has 12 months to come in compliance with Section 408

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Louisiana complies with all sections of the Plan

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**Peer Review Date:** Oct. 23-24, 2003

**Issues of Non-Compliance:**

Section 256 – Total Distance  
Section 262 – Audit  
Section 502 – Identification Plates and Cab Cards  
Section 1600 – Frequency of Audits  
Section 1604 – Notification of Audit Findings  
Section 1610 – Reexamination  
APM Section 203 – Study and Evaluation  
APM Section 301 – Auditor Qualification  
APM Section 601 – Pre-Audit Contact  
APM Section 703 – Sampling & Extrapolation Procedures  
APM Section 704 – New Operations/Change(s) in Operations  
APM Section 802 – Registrant Audit Report  
APM Section 803 – Interjurisdictional Audit Report and Distribution of Audit Findings

**Follow-up Review Date:** Nov. 9, 2004 & Jan. 26, 2005

Louisiana complies with all Sections of the Plan.

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**Peer Review Date:** November 19-20, 2008

**Issue of Non-Compliance:**

Article II, Definitions

APM 801, Exit Conference

APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** May 27, 2009

Louisiana complies with all Sections of the Plan.

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**Peer Review Date:** December 3-5, 2013

**Issue of Non-Compliance:**

Article II, Definitions

Section 1025 – Notification of Audit Finding (Current Plan Section 1055)

**Follow-up Review Date:** January 21, 2015

Louisiana complies with all Sections of the Plan.

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**Peer Review Date:** December 4-6, 2018

**Issue of Non-Compliance:**

APM 601 – Audit Report

Section 1025 – Frequency of Audits

Section 1040 – Reexaminations

Section 1055 – Reexaminations

Section 1065 – Audit Appeals.

APM 401 – Audit Notification

APM 402 – Opening Conference

APM 501-Preliminary Audit Procedures

APM 502 – Evaluation of Internal Control

## Maine Peer Review

**Peer Review Date:** Oct. 5-7, 1994

**Issues of Non-Compliance:**

Section 408, Commentary — Exchange of Canadian Fees

Section 502, Identification Plates & Cab Cards

Section 506, Operation Under Apportioned Registration

**Follow-up Review Date:** Oct. 5, 1995

Maine complies with all sections of the Plan

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**Peer Review Date:** July 12-13, 2000

**Issues of Non-Compliance:**

Maine complies with all sections of the Plan

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**Peer Review Date:** March 30-31, 2005

**Issues of Non-Compliance:**

Section 408 – Jurisdiction Notification of Application Filing

Section 800 – Application for Initial Registration

**Follow-up Review Date:** March 15, 2006

Maine complies with all sections of the Plan.

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**Peer Review Date:** May 19-20, 2010

**Issues of Non-Compliance:**

APM 202 – Professional Care

Section 1065 – Netting of Audit Adjustments

**Follow-up Review Date:** May 11, 2011

Maine complies with all sections of the Plan.

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**Peer Review Date:** May 12-14, 2015

**Issues of Non-Compliance:**

APM 601 – Audit Report

Section 1055 – Audit Reports (1025, APM 802 & 803)

**Follow-up Review Date:** May 18, 2016

Maine complies with all sections of the Plan.

## **Manitoba Peer Review**

**Peer Review Date:** Sept. 15-16, 2004

**Issues of Non-Compliance:**

Section 1600 – Frequency of Audits

Section 1604 – Notification of Audit Findings

Section 1614 – Finality of Audit Findings

Section 1704 – Netting of Audit Adjustments

Section 1706 – Audit Transmittals

APM Section 802 – Registrant Audit Report

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

APM Section 804 – Annual Report of IRP Audit Activity

**Follow-up Review Date:** Sept. 26, 2005

Manitoba complies with all sections of the Plan.

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**Peer Review Date:** Sept. 23-24, 2009

**Issues of Non-Compliance:**

Section 315 – Application Process

**Follow-up Review Date:** Sept. 22, 2010

Manitoba complies with all sections of the Plan.

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**Peer Review Date:** Sept. 24-25, 2014

**Issues of Non-Compliance:**

**Section 305** – Selection of Base Jurisdiction

**Section 605** – Display of Registration Credentials; Renewal Credentials

**Section 1215** – Fee Transmittals

**Section 1025** – Frequency of Audit

**Follow-up Review Date:** Sept. 16 and October 28, 2015

Manitoba complies with all Sections of the Plan.

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## **Maryland Peer Review**

**Peer Review Date:** June 10-11, 1998

**Issues of Non-Compliance:**

Section 110(b), Registrant from Non-Member Jurisdiction  
Section 300, Determination of Fees  
Section 400, Application Filed with Base Jurisdiction  
Section 408(a), Jurisdiction Notification of Application Filing  
Section 800(a), Application for Initial Registration  
Section 800(b), Applications with Estimated Mileage  
Section 1304, Determination of Total Bus Miles  
Audit Section, Jurisdiction Accountability  
Audit Section, Appeals Process

**Follow-up Review Date:** July 7, 1999

**Issues of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Maryland has 12 months to come into compliance with Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Maryland has 12 months to come into compliance with Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 1, 2001

Maryland complies with all sections of the Plan.

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**Peer Review Date:** Nov. 14-15, 2001

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 702, Replacement Vehicles  
Section 906, Place of Business (Owner-Operators)  
Audit Section, Jurisdiction Accountability  
Audit Section, Standards of Reporting

**Follow-up Review Date:** Nov. 25, 2002

Maryland complies with all sections of the Plan

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**Peer Review Date:** May 24-25, 2006

**Issues of Non-Compliance:**

Section 408 – Jurisdiction Notification of Application Filing  
Section 502 – Identification Plates and Registration Cab Cards  
Section 700 – Vehicle Withdrawn: Disposition of Fees  
Section 1600 – Frequency of Audits  
Section 1704 – Netting of Audit Adjustments  
APM Section 203 – Study and Evaluation  
APM 206 – Working Paper Documentation  
APM 703 – Sampling and Extrapolation Procedures  
APM 801 – Exit Conference

**Follow-up Review Date:** May 9, 2007

**Issues of Non-Compliance:**

Section 1600 – Frequency of Audits  
APM 203 – Study & Evaluation  
APM 206 – Working Paper Documentation  
APM 703 – Sampling and Extrapolation Procedures

**Dispute Resolution Committee Decision:** Sept. 28, 2007

Maryland must submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports must include an electronic list of new audits for review by the Peer Review Committee, from which the Committee will select five completed audits to review each quarter.

Maryland must provide a copy of its audit contract when it is executed.

Maryland must submit a plan of action to come into full compliance. Maryland must complete the required number of audits as required by the Plan to include the number of audits required over the last peer review period and forward.

**Dispute Resolution Committee Decision:** October 3, 2008

Maryland remains out of compliance with Section 1600 of the Plan and APM Sections 203, 206, and 703. By November 1, 2008, Maryland must have in place and submit to the Peer Review Committee an updated audit program providing for the attainment of full compliance with the Plan, including audit procedures, forms and worksheets, and a copy of its audit contract.

Beginning on January 15, 2009, Maryland shall submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter from which the Peer Review Committee will select 5 completed audit reports for review. Maryland must perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual for the period 2006-2009 by the annual meeting of the Dispute Resolution Committee in 2009.



**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find Maryland in compliance with Section 1600 and APM Sections 203, 206, and 703. Motion passed. Maryland complies with all Sections of the Plan.

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**Peer Review Date:** June 22-23, 2011

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

Section 1215 – Fee Transmittals

**Follow-up Review Date:** July 18, 2012

Maryland complies with all sections of the Plan

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**Peer Review Date:** June 22-23, 2016

**Issues of Non-Compliance:**

Section 420 – New Fleets

Section 620 – Temporary Evidence of Apportioned Registration

APM 501 – Preliminary Audit Procedures

APM 601 – Audit Report

**Follow-up Review Date:** July 28, 2017

**Issues of Non-Compliance:**

APM 501 – Preliminary Audit Procedures

APM 601 – Audit Report

**2<sup>nd</sup> Follow-up Review Date:** February 21, 2018

**Issues of Non-Compliance:**

APM 601 – Audit Report

**Dispute Resolution Committee Decision:** May 2, 2018, motion and second to find Maryland in compliance with APM Section 601, Audit Report.

## **Massachusetts Peer Review**

**Peer Review Date:** Feb. 10, 1999

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408(a), Jurisdiction Notification of Application Filing  
Section 800(b), Applications with Estimated Mileage  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** Feb. 22, 2000

**Issue of Non-Compliance:**

Section 408(a), Jurisdiction Notification of Application Filing  
Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Massachusetts is in compliance with Section 408  
Massachusetts is required to submit a plan to demonstrate how, beginning March 31, 2001, through March 31, 2002, they intend to annually complete audits of at least 3% of its carriers

**2001 Follow-up to 2000 Dispute Resolution Committee Decision:** Nov. 1, 2001

Report only. No action until the next Dispute Resolution Committee meeting.

**2002 Follow-up to 2000 Dispute Resolution Committee Decision:** Nov. 9, 2002

Massachusetts complies with all sections of the Plan

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**Peer Review Date:** Dec. 4-5, 2002

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 1704, Netting of Audit Adjustments  
Section 1706, Audit Transmittals

**Follow-up Review Date:** Feb. 25, 2004

**Issue of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration

**Follow-up Review Date:** Aug. 11, 2004

Massachusetts complies with all sections of the Plan

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**Peer Review Date:** Dec. 12-13, 2007

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 500, Base Jurisdiction Registration  
Section 502, Identification Plates and Cab Cards  
APM 206, Working Paper Documentation  
APM 601, Pre-Audit Contact  
APM 801, Exit Conference  
APM 802, Registrant Audit Report  
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** December 17, 2008

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
APM 206, Working Paper Documentation  
APM 801, Exit Conference

**Follow-up Review Date:** July 20, 2009

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
APM 206, Working Paper Documentation

**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find Massachusetts out of compliance with Plan Section 408 (new Section 1215) and APM Section 206. Massachusetts is required to submit to the Peer Review Committee by March 31, 2010, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Section 408. Massachusetts is required to submit to the Peer Review Committee by December 31, 2009, a list of audits completed, from which the Peer Review Committee will select a suitable number of audits for review to ensure the working papers are in compliance with the Audit Procedures Manual. Motion passed.

**Dispute Resolution Committee Decision:** May 13, 2010

Motion and second to find Massachusetts in compliance with Section 206. Motion Passed. Motion and second to find Massachusetts out of compliance with Plan Section 408 (current Plan section 1215) Fee Transmittals. Beginning with the quarter ending June 30, 2010 and every quarter thereafter until March 31, 2011, Massachusetts is required to submit to the Peer Review Committee within 15 days of the end of each quarter, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Plan Section 408 (current Plan section 1215). Motion passed.

**Dispute Resolution Committee Decision:** May 6, 2011

Beginning with the quarter ending September 30, 2011 and every quarter thereafter through the first quarter of 2012, Massachusetts is required to submit to the Peer Review Committee, within 15 days of the end of each quarter, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Section 1215 (former Section 408).

**Dispute Resolution Committee Decision:** May 6, 2011

Moved and seconded to find Massachusetts is in compliance with current Plan Section 1215 (prior Plan Section 408). *Motion passed unanimously.*

Massachusetts is in full compliance with the Plan

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**Peer Review Date:** Dec. 11-13, 2012

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
APM 802 – Registrant Audit Report  
APM 803 – Interjurisdictional Report  
APM 601 – Pre-Audit Contact  
Section 1015 – Frequency of Audits

**Follow-up Review Date:** December 18, 2013

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 1015 – Frequency of Audits

**Dispute Resolution Committee Decision:** July 14, 2014

Motion and Seconded to find Massachusetts is out of compliance with Plan Sections 315 and 320. Massachusetts is required to submit a list of renewal and new accounts with estimated distance from the 3<sup>rd</sup> and 4<sup>th</sup> Qtr. 2014 with 15 days of the end of each quarter, from which the PRC will select 10 accounts for review to determine compliance. *Motion passed unanimously*

Motion and seconded to find Massachusetts must have in place and submit to the Peer Review Committee (PRC) by July 1, 2014, a detailed plan of action with milestones to address the audit deficit during the period of 2007-2011 in order to attain compliance by December 31, 2015. In addition, Massachusetts must provide the Peer Review Committee quarterly progress reports within 15 days of the end of each quarter beginning with the 2<sup>nd</sup> Qtr. 2014, which shall include a list of audits completed in each quarter. Massachusetts is required to provide a separate plan to the PRC by July 1, 2014, on how it intends to maintain compliance with current Plan Section 1025 (Frequency of Audits) for the current review period of 2012-2014. *Motion passed unanimously*

**Dispute Resolution Committee Decision: May 19, 2015**

In May 2015, the DRC found that Massachusetts complied with and met the requirements for Plan Sections 315 (Application Process) and 320 (Distance Estimates by Jurisdictions) and determined they were in compliance with these Sections. The DRC congratulates Massachusetts for coming into compliance.

The DRC also found that Massachusetts remains out of compliance with Plan Section 1015 (Frequency of Audits), current Plan Section 1025. Massachusetts also failed to submit a detailed plan of action to the PRC as requested by the DRC with milestones to address the audit deficit for the 2007-2011 review period, in order to attain compliance by December 31, 2015. The DRC is concerned with Massachusetts' ability to make up the outstanding 303 audits by the end of this calendar year. The DRC is hopeful that Massachusetts can accomplish this requirement.

**Dispute Resolution Committee Decision: May 3, 2016**

The DRC found that Massachusetts remains out of compliance with Plan Section 1015 (Frequency of Audits), current Plan Section 1025. It was the expectation of the DRC that Massachusetts would attain compliance by December 31, 2015. It did not, and remains out of compliance. The DRC is concerned with the ability of Massachusetts to make up the prior deficit of 97 audits plus the required 3% of audits for 2016 for a total of 170 audits to be completed for the 2016 calendar year. Massachusetts reported to the DRC that as of May 3<sup>rd</sup>, 2016 70 audits had been completed. The DRC is also concerned about the quality of the audits being performed as only one auditor is performing these audits and no additional resources are being assigned to address the backlog of audits. The DRC spent a considerable amount of time in closed session discussing Massachusetts' continuing non-compliance and ultimately determined that it needed to send a strong message to the administrators of Massachusetts by sending a letter to the Registrar of Motor Vehicles of Massachusetts expressing these concerns.

**Dispute Resolution Committee Decision: May 18, 2017**

Motion and second to find Massachusetts in compliance with Section 1015.

**Peer Review Date:** Dec. 12-14, 2017

**Issues of Non-Compliance:**

Article II, Definitions  
APM 202 – Study and Evaluation  
APM 203 – Planning and Supervision  
APM 206 – Working Paper Documentation  
APM 402 – Opening Conference  
APM 501 – Preliminary Audit Procedures  
APM 502 – Evaluation of Internal Control  
APM 601 – Audit Report

**Follow-up Review Date:** December 19, 2018

APM 601 – Audit Report

## **Michigan Peer Review**

**Peer Review Date:** May 17-19, 1995

**Issues of Non-Compliance:**

Section 400, Application Filed with a Base Jurisdiction  
Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards  
Section 700, Vehicle Withdrawn; Disposition of Fees  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** July 18, 1996

**Issues of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Aug. 25, 1996

Michigan has 12 months to comply with Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Sept. 11, 1997

Michigan has until Dec. 1997 to come into compliance with the Plan

**Dispute Resolution Committee Decision:** Nov. 19, 1998

Michigan complies with all sections of the Plan

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**Peer Review Date:** Sept. 7-8, 2000

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 800, Applications with Estimated Mileage (Application for Initial Registration)  
Section 1204, Registration in Base of Service Representative

**Follow-up Review Date:** Sept. 25, 2001

**Issues of Non-Compliance:**

Michigan complies with all sections of the Plan

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**Peer Review Date:** July 27-28, 2005

**Issues of Non-Compliance:**

Section 408 – Jurisdiction Notification of Application Filing  
APM 801 – Exit Conference  
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** Feb. 15, 2006

Michigan complies with all sections of the Plan

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**Peer Review Date:** June 23-24, 2010

**Issues of Non-Compliance:**

APM 202 – Professional Care

APM 402 – Monthly, Quarterly, and Yearly Summaries

APM 803 – Interjurisdictional Audit Report

**Follow-up Review Date:** 6-15-2011

Michigan complies with all sections of the Plan

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**Peer Review Date:** October 20-22, 2015

**Issues of Non-Compliance:**

APM 404 – Exit Conference

APM 502 – Evaluation of Internal Control

APM 601 – Audit Report

**Follow-up Review Date:** 10-19, 2016

Michigan complies with all sections of the Plan

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## **Minnesota Peer Review**

**Peer Review Date:** April 10-12, 1996

**Issues of Non-Compliance:**

Section 408(a), Jurisdiction Notification of Application Filing  
Section 1116, Rental Passenger Cars  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** April 16, 1997

**Issues of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 16, 1997

Minnesota has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 19, 1998

The Dispute Resolution Committee could not make a decision on this matter and it was referred to the membership for a vote.

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Minnesota is required to annually complete audits of at least 3% of its carriers

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Minnesota complies with all sections of the Plan

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**Peer Review Date:** May 17-18, 2001

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800, Application for Initial Registration  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** May 29, 2002

**Issue of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 9, 2002

Minnesota is required to submit quarterly reports of its transmittal activity through June 2003.

**Dispute Resolution Committee Decision:** Nov. 15, 2003



Minnesota complies with all sections of the Plan.

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**Peer Review Date:** July 12-14, 2006

**Issues of Non-Compliance:**

Section 218 – Established Place of Business

Section 234 – Owner-Operator

Section 400 – Application Filed with Base Jurisdiction

Section 408 – Jurisdiction Notification of Application Filing

Section 502 – Identification Plates and Registration Cab Cards

Section 800 – Application for Initial Registration

Section 906 – Place of Business (Owner-Operators)

Section 1604 – Notification of Audit Finding

APM Section 206 – Working Paper Documentation

APM Section 602 – Initial Audit Conference

APM Section 703 – Sampling and Extrapolation Procedures

APM Section 801 – Exit Conference

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

**Follow-up Review Date:** July 18, 2007

**Issue of Non-Compliance:**

Section 1604 – Notification of Audit Finding

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

**2<sup>nd</sup> Follow-up Review:** Aug. 15, 2007

Minnesota complies with all sections of the Plan.

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**Peer Review Date:** October 26-27, 2011

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

Section 1025 – Notification of Audit Finding

APM 803 – Interjurisdictional Audit Report

APM 703 – Sampling and Extrapolation Procedures

**Follow-up Review Date:** October 17, 2012

Minnesota complies with all sections of the Plan

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**Peer Review Date:** October 12-13, 2016

**Issues of Non-Compliance:**

Section 1055 Audit Reports

APM 202 – Study and Evaluation

APM 402 – Opening Conference

APM 404 – Exit Conference

APM 501 – Preliminary Audit Procedures

APM 503 – Sampling and Extrapolation Procedures

APM 601 – Audit Report

**Follow-up Review Date:** November 22, 2017

Minnesota complies with all sections of the Plan

## **Mississippi Peer Review**

**Peer Review Date:** Jan. 15-17, 1997

**Issues of Non-Compliance:**

Section 204, Definition of Apportioned Vehicle

Section 256, Total Distance

Section 506, Operation Under Apportioned Registration

Section 1104, Rental Fleet

Audit Section, Jurisdiction Accountability

**Follow-Up Review Date:** April 28, 1998

Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 19, 1998

Mississippi has 12 months to comply with the Plan

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Mississippi is required to annually complete audits of at least 3% of its carriers.

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Mississippi complies with all sections of the Plan

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**Peer Review Date:** Jan. 23-24, 2002

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction

Section 800, Application for Initial Registration

APM Section 803, Interjurisdictional Audit Report and Distribution of the Audit Findings.

**Follow-up Review Date:** Feb. 6, 2003

Mississippi complies with all sections of the Plan

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**Peer Review Date:** Jan. 24-25, 2007

**Issues of Non-Compliance:**

Section 700 – Vehicle withdrawn: Disposition of Fees

Section 1704 – Netting of Audit Adjustments

Section 1604 – Notification of audit Findings

APM 701 – Initial Audit Procedures

APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** Sept. 12, 2007

Mississippi complies with all sections of the Plan

**Peer Review Date:** February 14-16, 2012

**Issues of Non-Compliance:**

Article II, Definitions

Section 305 – Selection of Base Jurisdiction

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

Section 505 – Cancellation of Registration

Section 905 – Rental Passenger Cars

Section 1065 – Netting of Audit Adjustments

Section 1215 – Fee Transmittals

APM 206 – Working Paper Documentation

Section 1025 – Notification of Audit Findings

APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** February 20, 2013

**Issues of Non-Compliance:**

Article II, Definitions

Section 305 – Selection of Base Jurisdiction

Section 1025 – Notification of Audit Findings

APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**2<sup>nd</sup> Follow-up Review Date:** April 24, 2013

Mississippi Complies with all Sections of the Plan

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**Peer Review Date:** February 14-16, 2017

**Issues of Non-Compliance:**

APM 401 – Pre Audit Notification

APM 501 – Preliminary Audit Procedures

APM 502 – Evaluation of Internal Controls

APM 601 – Audit Report

**Follow-up Review Date:** February 21, 2018

Mississippi Complies with all Sections of the Plan

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## **Missouri Peer Review**

**Peer Review Date:** May 4-6, 1994

**Issues of Non-Compliance:**

Section 204, Apportionable Vehicle Restricted Plates

Section 502, Identification Plates & Cab Cards

Section 800, Application for Initial Registration

Section 1304, Determination of Total Bus Miles

**Follow-up Review Date:** May 9, 1995

**Issues of Non-Compliance:**

Section 502, Identification Plates & Cab Cards

**Dispute Resolution Committee Decision Aug. 28, 1995:**

Missouri has 12 months to comply with Section 502

**Dispute Resolution Committee Decision April 25, 1996:**

Missouri complies with all sections of the Plan

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**Peer Review Date:** May 10-11, 2000

**Issues of Non-Compliance:**

Section 300, Determination of Fees

Section 800, Application for Initial Registration

Section 1116, Rental Passenger Cars

Audit Section, Independence

Audit Section, Due Professional Care

Audit Section, Field Work Standards

**Follow-up Review Date:** July 24, 2001

**Issues of Non-Compliance:**

Audit Section, Independence

Audit Section, Due Professional Care

Audit Section, Field Work Standards

**Dispute Resolution Committee Decision:** Nov. 1, 2001

Missouri is has 12 months to comply with Audit Sections: Independence, Due Professional Care and Field Work Standards

**Dispute Resolution Committee Decision:** Nov. 9, 2002

Missouri has nine months to comply with Audit Sections: Independence, Due Professional Care and Field Work Standards, and is required to submit quarterly progress reports.

**Dispute Resolution Committee Decision:** Nov. 15, 2003

Missouri complies with all sections of the Plan.

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**Peer Review Date:** May 25-26, 2005

**Issues of Non-Compliance:**

Section 408 – Jurisdiction Notification of Application Filings

Section 1600 – Frequency of Audits

**Follow-up Review Date:** April 19, 2006

Missouri complies with all sections of the Plan.

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**Peer Review Date:** March 24-25, 2010

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

Section 1215 – Fee Transmittals

Section 1015 – Frequency of Audits

Section 1025 – Notification of Audit Findings

APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

Section 1065 – Netting of Audit Adjustments

APM 603 – Request for Operation Records

APM 204 – Adequate Planning and Supervision

APM 206 – Working Paper Documentation

**Follow-up Review Date:** March 16 and May 11, 2011

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

**2<sup>nd</sup> Follow-up Review Date:** February 22, 2012

Missouri complies with all sections of the Plan.

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**Peer Review Date:** March 25 – 26, 2015

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 1215 – Fee Transmittals

**Follow-up Review Date:** March 16, 2016

Missouri complies with all sections of the Plan.

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## **Montana Peer Review**

**Peer Review Date:** Aug. 6-7, 1997

**Issues of Non-Compliance:**

Section 408(a), Jurisdiction Notification of Application Filing

Section 1118(b), Rental Trailers and Semi-Trailers

Section 1120, Utility Trailers

**Follow-up Review Date:** Sept. 15, 1998

Montana complies with all sections of the Plan

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**Peer Review Date:** July 23-24, 2003

**Issue of Non-Compliance:**

APM Section 803, Interjurisdiction Audit Report

**Follow-up Review Date:** Aug. 11, 2004

Montana complies with all sections of the Plan

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**Peer Review Date:** June 25-26, 2008

**Issue of Non-Compliance:**

Section 400 – Application filed with Base Jurisdiction

Section 1704, Netting of Audit Adjustments

Section 1706, Audit Transmittals

Section 1600, Frequency of Audits

APM 206, Working Paper Documentation

APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Dates:** July 20<sup>th</sup> and July 27<sup>th</sup>, 2009

Montana complies with all sections of the Plan

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**Peer Review Date:** June 25-26, 2013

**Issue of Non-Compliance:**

Section Article II, Definitions

Section 315 – Application Process

Section 320 – Distance Estimates Base Jurisdictions

Section APM 703 – Sampling and Extrapolation Procedures

Section APM 803 – Interjurisdictional Audit Report

Section 1025 – Notification of Audit Finding

**Follow-up Review Dates:** July 16, 2014

**Issues of Non-Compliance:**

Section APM 803 – Interjurisdictional Audit Report

Section 1025 – Notification of Audit Finding

**2<sup>nd</sup> Follow-up Review Dates:** October, 22, 2014  
Montana complies with all sections of the Plan

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**Peer Review Date:** June 13-14, 2018

**Issue of Non-Compliance:**  
APM 601 – Audit Report  
Section 1040 - Reexaminations



## **Nebraska Peer Review**

**Peer Review Date:** March 19-21, 1997

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 256, Total Distance  
Section 1104, Rental Fleet  
Section 1116, Rental Passenger Cars  
Section 1120, Utility Trailers  
Section 1122, One Way Vehicles  
Section 1304, Determination of Total Bus Miles

**Follow-up Review Date:** May 7, 1998

Nebraska complies with all sections of the Plan

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**Peer Review Date:** June 25-26, 2003

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 1604, Notification of Audit Findings  
Section 1614, Finality of Audit Findings  
APM Section 203, Study & Evaluation  
APM Section 205, Auditor Independence  
APM Section 702, Evaluation of Internal Control  
APM Section 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** June 23, 2004

**Issues of Non-Compliance:**

The Peer Review Committee delayed a decision on the following items until Oct. 20, 2004:

Section 400 – Application Filed with Base Jurisdiction  
Section 800 – Application for Initial Registration

**Follow-up Review Date:** Oct. 2004

Nebraska complies with all sections of the Plan

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**Peer Review Date:** November 5-6, 2008

(Plan Sections Prior to July 1, 2008)

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 408 – Jurisdiction Notification of Application Filing

## APM 803 Interjurisdictional Audit Report and Distribution of the Audit Findings

(Current Plan Sections)

Section 1215, Fee Transmittals

Section 315, Application Process

**Follow-up Review Date:** Nov. 12, 2009

Nebraska complies with all sections of the Plan

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**Peer Review Date:** November 12-14, 2013

**Issues of Non-Compliance:**

Nebraska complies with all sections of the Plan

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**Peer Review Date:** November 6-8, 2018

**Issues of Non-Compliance:**

Section 1050 – Netting of Audit Adjustments

Section 1060 – Audit Transmittals

## **Nevada Peer Review**

**Peer Review Date:** Jan. 24-26, 1996

**Issues of Non-Compliance:**

Section 910, Hunter Permits  
Section 1116, Rental Passenger Cars  
Section 1304, Determination of Total Bus Miles  
Audit Section, Standards on Reporting

**Follow-up Review Date:** Jan. 31, 1997  
Nevada complies with all sections of the Plan

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**Peer Review Date:** Nov. 30-Dec. 1, 2000

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 800, Application for Initial Registration  
Section 1404, Interjurisdiction or Intrajurisdiction Operation

**Follow-up Review Date:** Dec. 18, 2001

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 9, 2002  
Nevada complies with all sections of the Plan.

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**Peer Review Date:** Jan. 19-20, 2005

**Issues of Non-Compliance:**

Section 800 – Application for Initial Registration

**Follow-up Review Date:** Jan. 23, 2006  
Nevada complies with all sections of the Plan

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**Peer Review Date:** July 28-29, 2010

**Issues of Non-Compliance:**

Section 1065 – Netting of Audit Adjustments  
Section 1215 – Fee Transmittals  
Section 1015 – Frequency of Audits

**Follow-up Review Date:** July 27, 2011

**Issues of Non-Compliance:**

Section 1015 – Frequency of Audits

**2<sup>nd</sup> Follow-up Review Date:** January 18, 2012

Nevada complies with all sections of the Plan

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**Peer Review Date:** July 15-16, 2015

**Issues of Non-Compliance:**

Section 315 – Application Process

**Follow-up Review Date:** July 20, 2016

Nevada complies with all sections of the Plan

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## **New Brunswick Peer Review**

**Peer Review Date:** Nov. 9-10, 2003

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 700, Vehicle Withdrawn: Disposition of Fees

**Follow-up Review Date:** Feb. 23, 2005

New Brunswick complies with all sections of the Plan.

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**Peer Review Date:** May 21-22, 2008

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section APM 804, Annual Report of IRP Audit Activity

**Follow-up Review Date:** April 29, 2009

New Brunswick complies with all sections of the Plan.

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**Peer Review Date:** April 16-18, 2013

New Brunswick complies with all sections of the Plan.

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**Peer Review Date:** April 24-26, 2018

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals  
APM 601 – Audit Report

## **New Hampshire Peer Review**

**Peer Review Date:** Nov. 4-5, 1998

**Issues of Non-Compliance:**

Section 400, Application Files with Base Jurisdiction  
Section 408(a), Jurisdiction Notification of Application Filing  
Section 800(b), Applications with Estimated Mileage  
Audit Section, Annual Audit Activity Report  
Audit Section, New Operations

**Follow-up Review Date:** Jan. 5, 2000

**Issue of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 11, 2000  
New Hampshire has 12 months to comply with Section 408

**Dispute Resolution Committee Decision:** Nov. 1, 2001  
New Hampshire has 12 months to comply with Section 408

**Dispute Resolution Committee Decision:** Nov. 9, 2002  
New Hampshire complies with Section 408.

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**Peer Review Date:** June 5-6, 2002

**Issues of Non-Compliance:**

Section 904, Lessee Carrier as Registrant  
Section 1202, Owner-Operator Leased Equipment  
Section 1204, Registration in Base of Service Representative  
Section 1600, Frequency of Audits  
Section 1604, Notification of Audit Findings  
Section 1614, Finality of Audit Findings  
APM Section 802, Registrant Audit Report  
APM Section 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** July 8, 2003  
New Hampshire complies with all sections of the Plan

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**Peer Review Date:** March 14-15, 2007

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 408, Jurisdiction Notification of Application Filing

Section 1704, Netting of Audit Adjustments  
Section 1604, Notification of Audit Findings  
APM 803, Interjurisdictional Audit Report and Distribution of the Audit Findings  
APM 802, Registrant Audit Report

**Follow-up Review Date:** March 19, 2008  
New Hampshire complies with all sections of the Plan

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**Peer Review Date:** March 13-15, 2012

**Issues of Non-Compliance:**  
Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions

**Follow-up Review Date:** March 27, 2013

**Issues of Non-Compliance:**  
Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions

**Dispute Resolution Committee Decision:** June 4, 2013  
Moved and seconded to find New Hampshire is out of compliance with Plan Sections 315 and 320. New Hampshire is required to submit a list of new and renewal accounts with estimated distance from the 3rd and 4th quarters of 2013 from which the Peer Review Committee will select ten accounts to review to determine compliance with Plan Sections 315 and 320. *Motion passed unanimously.*

**Dispute Resolution Committee Decision:** May 14, 2014  
Moved and seconded to find that New Hampshire in compliance with Plan Sections 315 and 320.  
*Motion passed unanimously*

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**Peer Review Date:** March 14-17, 2017

**Issues of Non-Compliance:**  
Section 305 – Selection of Base Jurisdiction  
Section 1050 – Netting Audit Adjustments  
APM 501 – Preliminary Audit Procedures  
APM 502 – Evaluation of Internal Control  
APM 601 – Audit Report

**Follow-up Review Date:** March 28, 2018  
New Hampshire complies with all Sections of the Plan

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## **New Jersey Peer Review**

**Peer Review Date:** Aug. 11-12, 1999

**Issues of Non-Compliance:**

Section 400, Application Files with Base Jurisdiction

Section 408, Jurisdiction Notification of Application Filing

Section 800, Applications with Estimated Mileage

**Follow-up Review Date:** Aug. 23, 2000

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

Section 1600, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 11, 2000

New Jersey is in compliance with Section 408

New Jersey is required to annually complete audits of at least 3% of its carriers

**Dispute Resolution Committee Decision:** Nov. 1, 2001

New Jersey complies with all sections of the Plan

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**Peer Review Date:** Dec. 8-9, 2004

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction

Section 408 – Jurisdiction Notification of Application Filing

Section 700 – Vehicle Withdrawn: Disposition of Fees

Section 800 – Application for Initial Registration

Section 1604 – Notification of Audit Finding

APM Section 702 – Evaluation of Internal Controls

APM Section 802 – Registrant Audit Report

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** Jan. 23, 2006

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction

Section 800 – Application for Initial Registration



**Dispute Resolution Committee Decision:** Sept. 30, 2006

New Jersey must submit to the Peer Review committee by Jan. 1, 2007 a plan of action to come into compliance by June 30, 2007. The plan of action will include training for the New Jersey staff, to be completed by June 30, 2007. New Jersey is also directed to notify the Peer Review Committee when staff training is completed and the notification shall include any materials used in the training.

In addition, New Jersey must submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports must include an electronic list of new accounts for review by the Peer Review Committee, from which the committee will select five accounts to review each quarter.

**Dispute Resolution Committee Decision:** Sept. 28, 2007

New Jersey complies with all sections of the Plan

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**Peer Review Date:** December 9-10, 2009

**Issues of Non-Compliance:**

Article II, Definitions

Section 1215 – Fee Transmittals

Section 1015 – Frequency of Audits

APM Section 603 – Request for Operational Records

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** December 15, 2010

**Issues of Non-Compliance:**

Section 1015 – Frequency of Audits

**Dispute Resolution Committee Decision:** May 6, 2011

New Jersey must perform audits equivalent to at least 3% of the number of IRP fleets renewed annually, as required to be reported on the Annual Report of Audit Activity pursuant to Section 1015, for the current review period (2004 - 2008) by the time of their next peer review in 2014. New Jersey shall report each year to the Dispute Resolution Committee on its progress for meeting the above requirements.

New Jersey must have in place and submit to the Peer Review Committee by July 1, 2011, a detailed plan of action with milestones, for coming into compliance. In addition, New Jersey must provide the Peer Review Committee quarterly progress reports within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter.

**Dispute Resolution Committee Decision:** May 18, 2012

Moved and seconded to find New Jersey still out of compliance with Plan Section 1015. In addition to the DRC expectations outlined in May 2011, the DRC further requires New Jersey to perform audits equivalent to three percent (3%) of the number of New Jersey IRP fleets renewed for the registration year 2012, by December 31, 2012. Further, a

letter will be sent by the DRP to the Governor of New Jersey outlining the state's responsibility to come into immediate compliance with the Plan's three percent requirement for 2004 through current. Continued non-compliance may result in sanctions which may include suspending voting rights.

*Motion passed unanimously.*

**Dispute Resolution Committee Decision:** June 4, 2013

Moved and seconded to find New Jersey has complied with the DRC's May 2012 requirement to perform audits equivalent to three percent of the number of New Jersey IRP fleets renewed for the 2012 registration year. New Jersey, however, has not performed the required number of audits for the review period of 2004-2008 and therefore New Jersey is required to continue to provide the PRC quarterly progress reports within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter. *Motion passed unanimously.*

**Dispute Resolution Committee Decision:** July 14, 2014

At the May 2014 DRC meeting information presented showed that New Jersey has made the required 3% audit requirement for the current review period 2009-2013 and plan to have the back log of audits from the 2008-2012 review period completed by September 2013 well before the PRC cones in December 1. It was discussed that the passage of time and/or amendment to the Plan does not excuse a jurisdiction from complying. The DRC recognized the incredible strides New Jersey has made in order to become compliant, especially in sight of where they were at a few years ago. We appreciated that effort and commitment from New Jersey.

**Dispute Resolution Committee Decision:** May 19, 2015

At the May 2015 DRC meeting, information presented showed that New Jersey completed all of their required audits. Over the past four years, New Jersey has made tremendous strides, completing almost 3,000 audits between 2009 and 2014. We commend and congratulate them on this accomplishment.

**Peer Review Date:** December 9-15, 2014

**Issues of Non-Compliance:**

Article II, Definitions

APM 501 – Preliminary Audit Procedures

Section 1025 – Frequency of Audit

Section 1055 – Audit Reports

**Follow-up Review Date:** December 21, 2015

New Jersey complies with all sections of the Plan

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## **New Mexico Peer Review**

**Peer Review Date:** March 8-9, 1999

### **Issues of Non-Compliance:**

Section 204, Definition of Apportioned Vehicles  
Section 218, Established Place of Business  
Section 300, Determination of Fees  
Section 400, Application Files with Base Jurisdiction  
Section 506, Operation Under Apportioned Registration  
Section 800(b), Applications with Estimated Mileage  
Section 906, Place of Business (Owner Operators)  
Section 910, Hunter Permits  
Section 1104, Rental Fleet  
Section 1111, Rental Vehicle: Base Jurisdiction  
Section 1116, Rental Passenger Cars  
Section 1118(b), Rental Trailers and Semi-Trailers  
Section 1120, Utility Trailers  
Section 1122, One Way Vehicles  
Section 1202, Owner-Operator Leased Equipment  
Section 1206, Registration in Base of Carrier  
Section 1304, Determination of Total Bus Miles  
Section 1404, Interjurisdiction or Intrajurisdiction Operation  
Audit Section, Independence  
Audit Section, Field Work Standards  
Audit Section, Standards of Reporting

**Follow-up Review Date:** May 12, 2000

New Mexico complies with all sections of the Plan

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**Peer Review Date:** March 24-25, 2004

### **Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction  
Section 800 – Application for Initial Registration  
APM Section 204 – Audit Process  
APM Section 803 – Audit Reports

**Follow-up Review Date:** June 1, 2005

### **Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction  
Section 800 – Application for Initial Registration

**Dispute Resolution Committee Decision:** Nov. 4, 2005

New Mexico must submit a plan of action to come into compliance including proposed training, new procedures and forms to both the New Mexico staff and carriers by Jan. 1, 2006. In addition, New Mexico must submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports must include an electronic list of new accounts for review by the Peer Review Committee and a summary of any progress made to come into compliance.

**Dispute Resolution Committee Decision:** Sept. 30, 2006

New Mexico must continue submitting calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports must include an electronic list of new accounts for review by the Peer Review Committee, from which the committee will select five accounts to review each quarter.

**Dispute Resolution Committee Decision:** Sept. 28, 2007

New Mexico complies with all sections of the Plan

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**Peer Review Date:** March 11-12, 2009

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Application for Initial Registration  
Section 1215 – Fee Transmittals  
Section 610 – Contents of the Cab Card  
Section 1065 – Netting of Audit Adjustments  
APM 401 – Acceptable Source Documents  
APM 603 – Request for Records  
APM 703 – Sampling and Extrapolation Procedures

**Follow-up Review Date:** March 17, 2010

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 1215 – Fee Transmittals

**2<sup>nd</sup> Follow-up Review Date:** April 21, 2010

New Mexico complies with all Sections of the Plan

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**Peer Review Date:** March 19-20, 2014

**Issues of Non-Compliance:**

Article II, Definitions  
Section 315 – Application Process  
Section 320 – Application for Initial Registration  
Section 605 – Display of Registration Credentials; Renewal Credentials Section

1065 – Audit Reports  
Section 1025 – Frequency of Audits

**Follow-up Review Date:** March 18, 2015

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 320 – Application for Initial Registration

**2<sup>nd</sup> Follow-up Review Date:** April 22, 2015

New Mexico complies with all Sections of the Plan

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## **New York Peer Review**

**Peer Review Date:** June 16-17, 1999

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction

Section 408, Jurisdiction Notification of Application Filing

**Follow-up Review Date:** Aug. 17, 2000

**Issue of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 11, 2000

New York has 12 months to comply with Section 408

**Dispute Resolution Committee Decision:** Nov. 1, 2001

New York has 6 months to comply with Section 408

**Dispute Resolution Committee Decision:** Nov. 7, 2002

New York complies with all sections of the Plan

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**Peer Review Date:** May 7-8, 2003

**Issues of Non-Compliance:**

Section 700, Vehicle Withdrawn: Disposition of Fees

Section 800, Application for Initial Registration

**Follow-up Review Date #1:** Oct. 15, 2003

New York complies with Section 700, Vehicle Withdrawn: Disposition of Fees

**Follow-up Review Date #2:** July 19, 2004

New York complies with all sections of the Plan

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**Peer Review Date:** October 22-23, 2008

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing (Section Prior to July 1, 2008)

Section 1215, Fee Transmittals (Current Plan Section)

Section 400, Application Filed with Base Jurisdiction (Section Prior to July 1, 2008)

Section 315, Application Process (Current Plan Section)

Section 405, Calculation of Apportionment Percentage (Current Plan Section)

Section APM 803, Interjurisdictional Audit Report and Distribution of the Audit Finding

**Follow-up Review Date:** October 21, 2009

**Issues of Non-Compliance:**

Section 1215, Fee Transmittals

Section APM 803, Interjurisdictional Audit Report and Distribution of the Audit Finding

**2<sup>nd</sup> Follow-up Review Date:** January 17, 2010

**Issues of Non-Compliance:**

Section 1215, Fee Transmittals

**3<sup>rd</sup> Follow-up Review Date:** April 21, 2010

**Issues of Non-Compliance:**

Section 1215, Fee Transmittals

**Dispute Resolution Committee Decision:** May 13, 2010

Motion and second to find New York out of compliance with Plan Section 408 (current Plan section 1215) Fee Transmittals. Beginning with the quarter ending June 30, 2010 and every quarter thereafter until March 31, 2011, New York is required to submit to the Peer Review Committee within 15 days of the end of each quarter, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Plan Section 408 (current Plan section 1215). Motion passed.

**Dispute Resolution Committee Decision:** May 6, 2011

Motion to find New York in compliance with Section 1215 (former Section 408).  
New York is now in compliance with all sections of the Plan

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**Peer Review Date:** October 15-17, 2013

**Issues of Non-Compliance:**

Section APM 801, Exit Conference

**Follow-up Review Date:** October 22, 2014

**Issues of Non-Compliance:**

Section APM 801, Exit Conference

**2<sup>nd</sup> Follow-up Review Date:** February 18, 2015

Section APM 801, Exit Conference

**3<sup>rd</sup> Follow-up Review Date:** February 18, 2015

Section APM 801, Exit Conference

**4<sup>th</sup> Follow-up Review Date:** March 18, 2015

New York is now in compliance with all Sections of the Plan

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**Peer Review Date:** October 23-25, 2018

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

APM 601 – Audit Report

Section 1040 - Reexaminations



## **Newfoundland and Labrador Review**

**Peer Review Date:** April 25-26, 2002

**Issues of Non-Compliance:**

Section 256, Total Distance  
Section 300, Determination of Fees  
Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 702, Replacement Vehicles  
Section 800, Application for Initial Registration

**Follow-up Review Date:** April 17, 2003

**Issue of Non-Compliance:**

Section 300, Determination of Fees

**Dispute Resolution Committee Decision:** Nov. 15, 2003

Newfoundland has until Sept. 2004 to come into compliance with the Plan.

**Peer Review Committee Conference Call:** April 21, 2004

The Peer Review Committee is delaying a determination of compliance until a review of continuous registration is conducted

**Dispute Resolution Committee Decision:** Nov. 5-6, 2004

Newfoundland complies with all sections of the Plan.

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**Peer Review Date:** April 25-26, 2007

**Issues of Non-Compliance:**

Section 204, Apportionable Vehicle  
Section 400, Application filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 801, Estimated Distance Methodology  
Section 408, Jurisdiction Notification of Application Filing  
Section 1704, Netting of Audit Adjustments  
Section 1706, Audit Transmittals  
Section 1600, Frequency of Audits  
Section 1604, Notification of Audit Findings  
APM 803, Interjurisdictional Audit Reports and Distribution of the Audit Findings  
APM 701, Initial Audit Procedures  
APM 702, Evaluation of Internal Controls  
APM 801, Exit Conference  
APM 802, Registrant Audit Report

**Follow-up Review Date:** June 18, 2008

**Issues of Non-Compliance:**

Section 1600, Frequency of Audits

APM 803, Interjurisdictional Audit Reports and Distribution of the Audit Findings

APM 701, Initial Audit Procedures

APM 702, Evaluation of Internal Controls

APM 801, Exit Conference

APM 802, Registrant Audit Report

**Dispute Resolution Committee Decision:** October 3, 2008

Newfoundland and Labrador is in compliance with APM Section 801.

Newfoundland and Labrador remains out of compliance with Section 1600 of the Plan and APM Sections 701, 702, 802 and 803.

Beginning on January 15, 2009, Newfoundland and Labrador shall submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter from which the Peer Review Committee will select a suitable number of completed audit reports for review. Newfoundland and Labrador must perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual for the period 2006-2009 by the annual meeting of the Dispute Resolution Committee in 2009.

**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find that Newfoundland and Labrador (Newfoundland) is still in non-compliance with Section 1600 of the Plan and APM Sections 701, 702, 802 and 803. By October 15, 2009, Newfoundland must have in place and submit to the Peer Review Committee an updated audit program providing for the attainment of full compliance with the Plan, including audit procedures, forms and worksheets, as well as auditor qualifications and auditor training.

Newfoundland shall continue submitting calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter, from which the Peer Review Committee will review all completed audits. Newfoundland must perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual, for the period 2006-2009 by the annual meeting of the Dispute Resolution Committee in May 2010.

Failure to meet these requirements fully could result in the imposition of sanctions under the Plan, including the loss of voting privileges.

The DRC strongly encourages Newfoundland to reach out to its surrounding jurisdictions for assistance in establishing its audit program and with coming into compliance with the Plan. Motion passed.

**Dispute Resolution Committee Decision: May 13, 2010**

Motion and second to find that Newfoundland and Labrador (Newfoundland) remains out of compliance with Section 1600 (current Plan Section 1015) and APM Sections 701, 702, 802 and 803. Newfoundland must have in place and submit to the Peer Review Committee an updated audit program providing for the attainment of full compliance with these provisions, including audit procedures, forms and worksheets, as well as auditor qualifications and auditor training.

Also move that Newfoundland's voting rights be suspended until such time as the Peer Review Committee informs the Chair of the Dispute Resolution Committee that Newfoundland is in compliance with Section 1600 (current Plan Section 1015) and APM Sections 701, 702, 802 and 803, and the Dispute Resolution Committee finds them in compliance.

Failure to meet these requirements fully could result in the imposition at the next Annual Meeting of the Dispute Resolution Committee of additional sanctions under the Plan.

**Dispute Resolution Committee Decision: May 6, 2011**

Motion to find Newfoundland and Labrador in compliance with Section 1015 (former Section 1600); APM 701, 702, 802 and 803, and restore their voting rights.

Newfoundland and Labrador is now in full compliance with the Plan.

**Peer Review Date: May 2-3, 2012**

**Issues of Non-Compliance:**

Section 115 – Effect of the Plan on other Agreements  
Section 305 – Selection of Base Jurisdiction  
Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdiction  
Section 1215 – Fee Transmittals

**Follow-up Review Date: June 19, 2013**

Section 115 – Effect of the Plan on other Agreements  
Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdiction  
Section 1215 – Fee Transmittals

**2<sup>nd</sup> Follow-up Review Date: August 21, 2013**

Section 1215 – Fee Transmittals

**3<sup>rd</sup> Follow-up Review Date: February 19, 2014**

Newfoundland and Labrador is now in full compliance with the Plan.

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**Peer Review Date:** May 2-4, 2017

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction  
Section 315 – Application Process  
Section 320 – Average Per-Vehicle Distance  
Section 420 – New Fleets  
APM 401 – Pre-Audit Notification  
APM 501 – Preliminary Audit Procedures  
APM 601 – Audit Report  
Section 1055 – Audit Reports  
Section 1220 – Annual Report of Activity

**Follow-up Review Date:** May 16, 2018

**Issues of Non-Compliance:**

APM 401 – Pre-Audit Notification  
APM 601 – Audit Report  
Section 1055 – Audit Reports

## **North Carolina Peer Review**

**Peer Review Date:** June 18-19, 1997

**Issues of Non-Compliance:**

Section 408(b), Commentary — Exchange of Canadian Fees  
Audit Section, Registrant's Record Requirements

**Follow-up Review Date:** July 14, 1998

**Issues of Non-Compliance:**

Section 408(b), Commentary — Exchange of Canadian Fees

**Dispute Resolution Committee Decision: Nov. 19, 1998**

North Carolina has 12 months to comply with Section 408(b)

**Dispute Resolution Committee Decision: Nov. 13, 1999**

North Carolina complies with all sections of the Plan

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**Peer Review Date:** March 27-28, 2002

**Issue of Non-Compliance:**

Section 800, Application for Initial Registration

**Follow-up Review Date:** April 21, 2003

North Carolina complies with all sections of the Plan

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**Peer Review Date:** March 7-8, 2007

**Issues of Non-Compliance:**

Section 500 – Base Jurisdiction Registration

Section 502 – Identification Plates and Cab Cards

Section 700 – Vehicle Withdrawn; Disposition of Fees

Section APM 703 – Sampling and Extrapolation Procedures

Section 1604 – Notification of Audit Findings

Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** March 19, 2008

North Carolina complies with all Sections of the Plan

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**Peer Review Date:** March 27 – April 11, 2012

**Issue of Non-Compliance:**

Section Article II, Definitions  
Section 305 – Selection of Base Jurisdiction  
Section 315 – Application Process  
Section 1215 – Fee Transmittals  
Section 1065 – Netting of Audit Adjustments  
Section APM 803 – Interjurisdictional Audit Report  
Section 1025 – Notification of Audit Finding  
Section 1015 – Frequency of Audits

**Follow-up Review Date:** April 24, 2013

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 1015 – Fee Transmittals  
Section 1015 – Frequency of Audits

**Follow-up Review Date:** February 19 and March 26 2014  
North Carolina complies with all Sections of the Plan

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**Peer Review Date:** April 11-19, 2017

**Issue of Non-Compliance:**

APM 502-Evaluation of Internal Control  
APM 601 – Audit Report  
Section 1040 – Reexaminations  
Section 1025 – Frequency of Audits

**Follow-up Review Date:** April 18, 2018

**Issue of Non-Compliance:**

APM 601 – Audit Report

**2<sup>nd</sup> Follow-up Review Date:** January 19, 2018  
North Carolina complies with all Sections of the Plan

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## **North Dakota Peer Review**

**Peer Review Date:** May 20-21, 1998

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 408(a), Jurisdiction Notification of Application Filing  
Section 800(b), Applications with Estimated Mileage  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** May 24, 1999

**Issues of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 13, 1999

North Dakota is required to annually complete audits of at least 3% of its carriers.

**Dispute Resolution Committee Decision:** Nov. 11, 2000

North Dakota complies with all sections of the Plan

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**Peer Review Date:** Aug. 13-14, 2003

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 906, Place of Business (Owner-Operators)  
Section 400, Application Filed with Base Jurisdiction  
APM Section 803, Interjurisdictional Audit Report

**Follow-up Review Date:** Aug. 11, 2004

Section 218 – Established Place of Business  
Section 906 – Place of Business (Owner-Operators)

**Dispute Resolution Committee Decision:** Nov. 6, 2004

The DRC upheld the findings concerning compliance with Sections 218 and 906 by the Peer Review Committee for the 2003 North Dakota peer review.

**Follow-up Review Date:** March 23, 2005

North Dakota complies with all sections of the Plan.

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**Peer Review Date:** April 23-24, 2008

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 408, Jurisdiction Notification of Application Filing

Section APM 204, Adequate Planning and Supervision  
Section 1604, Notification of Audit Findings  
Section APM 803, Interjurisdiction Audit Report and Distribution of the Audit Findings  
Section 1600, Frequency of Audits

**Follow-up Review Date:** June 17, 2009  
Section 1600, Frequency of Audits

**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find North Dakota out of compliance with Section 1600. By March 31, 2010, North Dakota is required to perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual for the period 2004-2007. North Dakota shall submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter. Motion passed.

**Dispute Resolution Committee Decision:** May 13, 2010  
North Dakota is in compliance with Section 1600, Frequency of Audits (current Plan Section 1015).

North Dakota complies with all Sections of the Plan

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**Peer Review Date:** October 9-10, 2013

**Issues of Non-Compliance:**  
Section 305, Selection of Base Jurisdiction  
APM 802, Registrant Audit Report  
APM 803, Interjurisdictional Audit Report  
Section 1025, Notification of Audit Findings

**Follow-up Review Date:** October 22, 2014

**Issues of Non-Compliance:**  
APM 803, Interjurisdictional Audit Report  
Section 1025, Notification of Audit Findings

**2<sup>nd</sup> Follow-up Review Date:** February 18, 2015  
North Dakota complies with all Sections of the Plan

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**Peer Review Date:** October 9-11, 2018

**Issues of Non-Compliance**

Section 530 – Unladen Vehicle Registration – Hunters Permit

Section 1050 – Netting Audit Adjustments

Section 1060 – Audit Transmittals

APM 501 – Preliminary Audit Procedures

APM 601 – Audit Report

## **Nova Scotia Peer Review**

**Peer Review Date:** Oct. 9-10, 2002

**Issues of Non-Compliance:**

Section 300, Determination of Fees  
Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800, Application for Initial Registration

**Follow-up Review Date:** Dec. 17, 2003

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800, Application for Initial Registration

**Follow-up Review Date:** Aug. 11, 2004

Nova Scotia complies with all sections of the Plan

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**Peer Review Date:** October 24-25, 2007

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 906, Place of Business  
Section 408, Jurisdiction Notification of Application Filing  
Section 600, Applications of Distance Percentage  
Section 1704, Netting of Audit Adjustments  
APM 206, Working Paper Documentation  
APM 804, Audit Report of IRP Audit Activity  
Section 1600, Frequency of Audits

**Follow-up Review Date:** December 17, 2008

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 1704, Netting of Audit Adjustments  
APM 206, Working Paper Documentation  
Section 1600, Frequency of Audits

**2<sup>nd</sup> Follow-up Review Date: August 17, 2009**

Section 1704, Netting of Audit Adjustments  
Section 1600, Frequency of Audits

**Dispute Resolution Committee Decision:** September 11, 2009

Motion and second to find Nova Scotia out of compliance with Plan Sections 1600 and 1704. By December 31, 2009, Nova Scotia is required to perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required pursuant to the Audit Procedures Manual for the period 2003-2006. Nova Scotia shall submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter. Nova Scotia is required to come into compliance with Section 1704 by December 31, 2009. Nova Scotia shall submit proof of compliance to the Peer Review Committee at that time. Motion passed.

**Dispute Resolution Committee Decision:** May 13, 2010

Nova Scotia is in compliance with Sections 1600 (current Plan Section 1015) and Section 1704 (current Plan Section 1065).

Nova Scotia complies with all Sections of the Plan

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**Peer Review Date:** November 28-29, 2012

**Issues of Non-Compliance:**

Section 1015 – Frequency of Audits

**Follow-up Review Date:** November 20, 2013

Nova Scotia complies with all sections of the Plan

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**Peer Review Date:** October 25-26, 2017

**Issues of Non-Compliance:**

APM 501 – Preliminary Audit Procedures

APM 601 – Audit Report

**Follow-up Review Date:** October 31, 2018

**Issues of Non-Compliance:**

APM 601 – Audit Report

## **Ohio Peer Review**

**Peer Review Date:** Sept. 21-22, 1999

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 700, Vehicle Withdrawn; Disposition of Fees  
Section 800, Applications with Estimated Mileage  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** Oct. 18, 2000

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 800, Application for Initial Registration  
Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Ohio is required to annually complete audits of at least 3% of its carriers

**2001 Follow-up to 2000 Dispute Resolution Committee Decision:** Nov. 1, 2001

Ohio complies with all sections of the Plan.

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**Peer Review Date:** Jan. 28-29, 2004

**Issues of Non-Compliance:**

Section 700 – Vehicle Withdrawn: Disposition of Fees  
APM Section 801 – Exit Conference  
APM Section 803 – Interjurisdictional Audit Report and Distribution of Audit Findings

**Follow-up Review Date:** April 27, 2005

The compliance of Section 700 and APM Sections 801 and 803 have been extended to July 1, 2005.

**Follow-up Review Date:** July 20, 2005

Ohio compliance with all sections of the Plan

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**Peer Review Date:** Jan. 28-29, 2009

**Issues of Non-Compliance:**

Section 320 – Distance Estimates by Base Jurisdictions  
Section IRP 1025 Notification of Audit Finding  
Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings  
Section IRP 1015 – Frequency of Audits  
Section APM 206 – Working Paper Documentation  
Section APM 703 – Sampling and Extrapolation Procedures Findings

Section 1065 – Netting of Audit Adjustments  
Section APM 603(a) – Request for Operational Records

**Follow-up Review Date:** February 17, 2010

**Issues of Non-Compliance:**

Section APM 206 – Working Paper Documentation  
Section APM 703 – Sampling and Extrapolation Procedures Findings

**2<sup>nd</sup> Follow-up Review Date:** April 21, 2010

**Issues of Non-Compliance:**

Section APM 206 – Working Paper Documentation

**Dispute Resolution Committee Decision:** May 13, 2010

Motion and second to find Ohio out of compliance with APM Section 206 – Working Paper Documentation. Ohio is required to submit to the Peer Review Committee by January 15, 2011 a list of audits completed in the 3rd and 4th quarters of 2010, from which the Peer Review Committee will select a suitable number of audits for review to ensure the working papers are in compliance with the Audit Procedures Manual. Motion passed.

**Dispute Resolution Committee Decision:** May 6, 2011

Motion to find Ohio in compliance with APM 206.  
Ohio is now in compliance with all sections of the Plan

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**Peer Review Date:** Jan. 29-30, 2014

**Issues of Non-Compliance:**

Section 405 – Calculation of Apportionment Percentage  
Section 1055 – Audit Reports (Previous Plan Section 1025)

**Follow-up Review Date:** January 21, 2015

Ohio compliance with all Sections of the Plan

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## **Oklahoma Peer Review**

**Peer Review Date:** Oct. 22-23, 1997

**Issues of Non-Compliance:**

Section 210, Base Jurisdiction  
Section 218, Established Place of Business  
Section 506, Operation Under Apportioned Registration  
Section 800(b), Applications with Estimated Mileage  
Section 906, Place of Business (Owner-Operators)  
Section 910, Hunter Permits  
Section 1304, Determination of Total Bus Miles  
Section 1404, Interjurisdiction or Intrajurisdiction Operation  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** Nov. 12, 1998

**Issues of Non-Compliance:**

Section 800(b), Applications with Estimated Mileage  
Section 910, Hunter Permits  
Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Oklahoma is in compliance with Section 800(b)  
Oklahoma has 12 months to come into compliance with Section 910  
Oklahoma is required to annually complete audits of at least 3% of its carriers.

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Oklahoma has 12 months to come into compliance with Section 910 and Section 1600

**Dispute Resolution Committee Decision:** Nov. 1, 2001

Oklahoma complies with all sections of the Plan.

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**Peer Review Date:** July 16-18, 2001

**Issues of Non-Compliance:**

Section 210, Base Jurisdiction  
Section 218, Established Place of Business  
Section 800, Application for Initial Registration  
Section 906, Place of Business (Owner-Operator)  
Section 910, Hunter's Permit  
Article XXIII – Dispute Resolution Committee  
Audit Section, Jurisdiction Accountability  
Audit Section, Due Professional Care  
Audit Section, Field Work Standards  
Audit Section, Independence  
Audit Section, Auditor Qualifications

**Follow-up Review Date:** Sept. 23-26, 2002

**Issues of Non-Compliance:**

Section 800, Application for Initial Registration

**Issues being Referred to Dispute Resolution Committee, Nov. 7, 2002:**

Section 210, Base Jurisdiction

Section 218, Established Place of Business

Section 800, Application for Initial Registration

Section 906, Place of Business (Owner-Operators)

**Dispute Resolution Committee Decision:** Nov. 7, 2002

Oklahoma is required to:

- Submit its estimated distance chart by Feb. 5, 2003.
- Submit list of new accounts on a monthly basis.
- Submit a list of accounts that registered or renewed prior to Oct. 18, 2001, for the 2002 registration year.
- Submit on a monthly basis, beginning Feb. 2003, evidence of implementation of owner-operator requirements as directed by DRC.

**Dispute Resolution Committee Decision:** Nov. 15, 2003

Oklahoma is in compliance with Section 800.

Oklahoma is required to:

- Each month through May 2004 submit a) list of owner-operators with physical addresses and telephone numbers and b) list of motor carriers with physical addresses and telephone numbers. *On June 23, 2004, the Peer Review Committee found Oklahoma in compliance with Sections 210, 218 & 906. A report will be made to the DRC.*

**Dispute Resolution Committee Decision:** Nov. 5-6, 2004

Oklahoma complies with all sections of the Plan.

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**Peer Review Date:** Feb. 22-23, 2006

**Issues of Non-Compliance:**

Section 256 – Total Distance

Section 800 – Application for Initial Registration

Section 1604 – Notification of Audit Findings

Section APM 803 – Interjurisdictional Audit Report and Distributions of the Audit Findings

**Follow-up Review Date:** Feb. 21, 2007

Oklahoma complies with all sections of the Plan.

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**Peer Review Date:** November 9-10, 2011

**Issues of Non-Compliance:**

Section 315 – Application Process

**Follow-up Review Date:** November 14, 2012

Oklahoma complies with all sections of the Plan.

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**Peer Review Date:** November 8-10, 2016

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

**Follow-up Review Date:** November 22, 2017

Oklahoma complies with all sections of the Plan



## Ontario Peer Review

**Peer Review Date:** Oct. 12-13, 2006

**Issues of Non-Compliance:**

Section 234 – Owner-Operator

Section 408 – Jurisdiction Notification of Application Filings

Section 1706 – Audit Transmittals

Section 1600 – Frequency of Audits

Section APM 802 – Registrant Audit Report

Section 1604 – Notification of Audit Findings

Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** Nov. 29, 2006

**Issues of Non-Compliance:**

Section 1604 – Notification of Audit Findings

Section APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**2<sup>nd</sup> Follow-up Review Date:** April 19, 2007

Ontario complies with all Sections of the Plan.

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**Peer Review Date:** October 6-7, 2010

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

Section 1215 – Fee Transmittals

Section 1225 – Fee Test

Section 1015 – Frequency of Audits

Section APM 206 – Working Paper Documentation

**Follow-up Review Date:** October 19, 2011

Ontario complies with all Sections of the Plan.

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**Peer Review Date:** September 30 – October 1, 2015

**Issues of Non-Compliance:**

Section 1025 – Frequency of Audits

**Follow-up Review Date:** October 19, 2016

**Issues of Non-Compliance:**

Section 1025 – Frequency of Audits

**Dispute Resolution Committee Decision: May 18, 2017**

Motion and second to find Ontario out of compliance with Section 1025 – Frequency of Audits. Ontario shall prepare and provide to the PRC by July 1, 2017 a detailed plan of action with milestones to address the audit deficit during the period of 2010 – 2014. Additionally, Ontario shall provide the PRC quarterly reports, within 15 days of the end of each quarter beginning with the second quarter of 2017.

**Dispute Resolution Committee Decision: May 1, 2018**

Motion and second to find Ontario in compliance with Section 1025, Frequency of Audits.

## **Oregon Peer Review**

**Peer Review Date:** March 6-8, 1996

**Issues of Non-Compliance:**

Section 1122, One Way Vehicles  
Section 1304, Determination of Total Bus Miles  
Section 1202, Owner-Operator Leased Equipment  
Section 1204, Registration In Base of Service Representative  
Section 1206, Registration In Base of Carrier  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** March 28, 1997

**Issues of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Sept. 11, 1997

Oregon has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 19, 1998

The Dispute Resolution Committee could not make a decision on this matter and it was referred to the membership for a vote.

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Oregon is required to annually complete audits of at least 3% of its carriers

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Oregon complies with all sections of the Plan.

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**Peer Review Date:** May 22-23, 2002

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 800, Application for Initial Registration

**Follow-up Review Date:** May 29, 2003

Oregon complies with all sections of the Plan.

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**Peer Review Date:** Sept. 18-20, 2007

**Issues of Non-Compliance:**

Section 400 - APPLICATION FILED WITH BASE JURISDICTION

Section 408 - JURISDICTION NOTIFICATION OF APPLICATION FILING

Section 1604 – NOTIFICATION OF AUDIT FINDING

APM 803 Interjurisdictional Audit Report and Distribution of the Audit Finding

Section 1704 – NETTING OF AUDIT ADJUSTMENTS

**Follow-up Review Date:** August 27, 2008

**Issues of Non-Compliance:**

Section 1604 – Notification of Audit Findings

AMP 803 – Interjurisdictional Audit report and Distribution of the Audit Findings

*The Committee has determined that the noncompliance findings continue to be relevant under the Plan rewrite (Sections 1025 and APM 803).*

**2<sup>nd</sup> Follow-up Review Date:** September 24, 2008

Oregon complies with all sections of the Plan.

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**Peer Review Date:** September 18-20, 2012

Oregon complies with all sections of the Plan.

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**Peer Review Date:** September 19-21, 2017

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction

**Follow-up Review Date:** September 19, 2018

Oregon complies with all sections of the Plan.

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## **Pennsylvania Peer Review**

**Peer Review Date:** Jan. 24-27, 1994

**Issues of Non-Compliance:**

Section 502, Identification Plates & Cab Cards  
Audit Section, Due Professional Care

**Follow-up Review Date:** Feb. 3, 1995

**Issues of Non-Compliance:**

Section 502, Identification of Plates and Cab Cards

**Dispute Resolution Committee Decision Aug. 28, 1995:**

Pennsylvania has 12 months to comply with Section 502

**Dispute Resolution Committee Follow-up Aug. 25, 1996:**

Pennsylvania complies with all sections of the Plan

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**Peer Review Date:** Nov. 3-4, 1999

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 1304, Determination of Total Bus Miles  
Audit Section, Field Work Standards

**Follow-up Review Date:** Dec. 13, 2000

**Issues of Non-Compliance:**

Audit Section Field Work Standards

**Dispute Resolution Committee Decision:** Nov. 1, 2001

Pennsylvania complies with all Sections of the Plan.

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**Peer Review Date:** Feb. 18-19, 2004

**Issues of Non-Compliance:**

Section 218 – Established Place of Business  
Section 400 – Application Filed with Base Jurisdiction  
Section 408 – Jurisdiction Notification of Application Filing  
Section 502 – Identification Plates and Cab Cards  
Section 1600 – Frequency of Audits  
Section 1604 – Notification of Audit Findings  
Section 1704 – Netting of Audit Adjustments  
Section 1706 – Audit Transmittals

APM Section 801 – Exit Conference

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

**Follow-up Review Date:** March 23, 2005

Pennsylvania complies with Section 218. The compliance with Sections 408 and 502 has been extended to April 20 2005.

**Issues of Non-Compliance:**

Section 1600 – Frequency of Audits

Section 1604 – Notification of Audit Findings

Section 1704 – Netting of Audit Adjustments

Section 1706 – Audit Transmittals

APM Section 801 – Exit Conference

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

**Follow-up Review Date:** April 27, 2005

Pennsylvania complies with Sections 408 and 502.

**Dispute Resolution Committee Decision:** Nov. 4, 2005

Pennsylvania must have in place and submit to the Peer Review Committee an audit program, including audit procedures, forms and worksheets by Jan. 1, 2006.

Thereafter, calendar quarterly progress reports must be submitted to the Peer Review Committee within 15 days of the end of each quarter, which shall include a list of audits completed in the quarter. Pennsylvania must perform audits equivalent to at least an average of 3% of the number of IRP fleets renewed annually, as required to be reported on the Annual Report pursuant to the Audit Procedures Manual, Section 800, Audit Reporting, 804 – Annual Audit Activity, for the current peer review period (2003-2007) by the time of their next peer review in 2009. Pennsylvania shall report each year to the Dispute Resolution Committee on its progress for meeting the above requirements.

**Dispute Resolution Committee Decision:** Sept. 30, 2006

Pennsylvania must continue submitting calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports must include an electronic list of new audits for review by the Peer Review Committee, from which the committee will select five completed audits to review each quarter. In addition, Pennsylvania is directed by Nov. 1, 2006, to submit an updated plan of action to come into full compliance. Pennsylvania is also directed to notify the Dispute Resolution Committee when its RFP for the conduct of audits has been issued and awarded.

**Dispute Resolution Committee Decision:** Nov. 14, 2007

Pennsylvania is in compliance with the total number of audits they were required to perform since their last peer review.

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**Peer Review Date:** Feb. 18-19, 2009

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 405 – Calculation of Apportionment Percentage  
Section 1065 – Netting of Audit Adjustments  
Section 1025 – Notification of Audit Findings  
Section 1070 – Audit Transmittals  
Section 1215 – Fee Transmittals

**Follow-up Review Date:** March 17, 2010  
Pennsylvania complies with all Sections of the Plan

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**Peer Review Date:** Feb. 12-13, 2014

**Issues of Non-Compliance:**

Section 400 – Calculation of Apportionable Fees  
Section 415 – Additional Jurisdictions  
Section 420 – New Fleets

**Follow-up Review Date:** March 18, 2015  
Pennsylvania complies with all Sections of the Plan

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## **Prince Edward Island Peer Review**

**Peer Review Date:** Sept. 24-25, 2003

**Issue of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Follow-up Review Date:** Sept. 22, 2004

Prince Edward Island complies with all sections of the Plan

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**Peer Review Date:** August 13-14, 2008

**Issue of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing (Plan Section Prior to 7-01-08)  
Section 1215, Fee Transmittals (Current Plan Section)

Section 1704, Netting of Audit Adjustments

APM 603, Request for Records

**Follow-up Review Date:** August 17, 2009

Prince Edward Island complies with all Sections of the Plan

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**Peer Review Date:** August 13-14, 2013

**Issue of Non-Compliance:**

Section 1015 – Frequency of Audit (Current Plan Section 1025 Frequency of Audit)

**Follow-up Review Date:** August 20, 2014

Prince Edward Island complies with all Sections of the Plan

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**Peer Review Date:** August 7-10, 2018

**Issue of Non-Compliance:**

APM 601 – Audit Report



## Quebec Peer Review

**Peer Review Date:** May 20-21, 2004

**Issues of Non-Compliance:**

Section 210, Base Jurisdiction  
Section 218, Established Place of Business  
Section 256, Total Distance  
Section 400, Application Filed with Base Jurisdiction  
Section 602, Filing of Applications  
Section 800, Application for Initial Registration  
Section 1600, Frequency of Audits

**Follow-up Review Date:** July 20, 2005

Quebec compliance with all sections of the Plan

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**Peer Review Date:** May 20-21, 2009

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 1215 – Fee Transmittals  
APM 401 – Acceptable Source Documents  
APM 603 – Request for Records  
APM 803 – Interjurisdictional Audit Report  
Section 1065 – Netting of Audit Adjustments  
Section 1025 – Notification of Audit Findings

**Follow-up Review Date:** May 26, 2010

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
APM 803 – Interjurisdictional Audit Report

**2nd Follow-up Review Date:** April 27, 2011

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions

**Dispute Resolution Committee Decision:** May 6, 2011

Québec is required to submit a list of new and renewal accounts with estimated distance from the 3rd and 4th quarters of 2011 from which the Peer Review Committee will select ten accounts for review.

**Dispute Resolution Committee Decision:** May 18, 2012

Moved and seconded to find Quebec in compliance with Plan Sections 315 and 1215.

Quebec is now in compliance with all sections of the Plan

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**Peer Review Date:** May 20-22, 2014

**Issues of Non-Compliance:**

Article II, Definitions

**Follow-up Review Date:** May 27, 2015

Quebec is now in compliance with all sections of the Plan

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## **Rhode Island Peer Review**

**Peer Review Date:** June 2-3, 1999

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800(b), Applications with Estimated Mileage  
Section 1204, Registration in Base of Service Representative  
Section 1206, Registration in Base of Carrier  
Section 1304, Determination of Total Bus Miles  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** June 29, 2000

**Issue of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Rhode Island is required to annually complete audits of at least 3% of its carriers

**2001 Follow-up to 2000 Dispute Resolution Committee Decision:** Nov. 1, 2001

Rhode Island complies with all sections of the Plan.

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**Peer Review Date:** Aug. 25-26, 2004

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction  
Section 408 – Jurisdiction Notification of Application Filing  
Section 800 – Application for Initial Registration

**Follow-up Review Date:** Oct. 26, 2005

**Issues of Non-Compliance:**

Section 408 – Jurisdiction Notification of Application Filing  
Section 800 – Application for Initial Registration

**Dispute Resolution Committee Decision:** Sept. 30, 2006

Rhode Island must submit to the Peer Review committee by Jan. 1, 2007, a plan of action to come into compliance by June 30, 2007. The plan of action will include training for the Rhode Island staff, to be completed by June 30, 2007. Rhode Island is also directed to notify the Peer Review Committee when staff training is completed and the notification shall include any materials used in the training.

In addition, Rhode Island must submit calendar quarterly progress reports to the Peer Review Committee within 15 days of the end of each quarter. The quarterly reports

must include an electronic list of new accounts for review by the Peer Review Committee, from which the committee will select five accounts to review each quarter.

**Dispute Resolution Committee Decision:** Sept. 28, 2007

Rhode Island complies with all sections of the Plan

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**Peer Review Date:** Aug. 18-19, 2009

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

Section 405 – Calculation of Apportionment Percentage

Section 1215 – Fee Transmittals

Section APM 204 – Adequate Planning and Supervision

APM 206 – Working Paper Documentation

APM 801 – Exit Conference

Section 1025 – Notification of Audit Findings

APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

Section 1015 – Frequency of Audits

Section 1220 – Annual Report of Activity

**Follow-up Review Date:** August 18, 2010

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

Section APM 204 – Adequate Planning and Supervision

APM 206 – Working Paper Documentation

Section 1025 – Notification of Audit Findings

APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**2<sup>nd</sup> Follow-up Review Date:** March 16, 2011

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

APM 206 – Working Paper Documentation

**3<sup>rd</sup> Follow-up Review Date:** April 27, 2011

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

**Dispute Resolution Committee Decision:** May 6, 2011

Beginning with the quarter ending September 30, 2011 and every quarter thereafter through the first quarter of 2012, Rhode Island is required to submit to the Peer Review Committee, within 15 days of the end of each quarter, a list of monthly transmittals sent to non-Clearinghouse jurisdictions to allow the Peer Review Committee to determine compliance with Section 1215

**Dispute Resolution Committee Decision:** May 18, 2012

Moved and seconded to find Rhode Island in compliance with Plan Section 1215.

Rhode Island now in compliance with all sections of the Plan

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**Peer Review Date:** Aug. 12-14, 2014

**Issues of Non-Compliance:**

APM 206 – Working Paper Documentation

APM 404 – Exit Conference

APM 502 – Evaluation of Internal Control

**Follow-up Review Date:** September 16, 2015

Rhode Island is in compliance with all Sections of the Plan.

## **Saskatchewan Peer Review**

**Peer Review Date:** July 15-16, 1999

**Issues of Non-Compliance:**

Section 204, Definition of Apportioned Vehicle  
Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 1404, Interjurisdiction or Intrajurisdiction Operation  
Audit Section, Field Work Standards

**Follow-up Review Date:** Oct. 6, 2000

**Issue of Non-Compliance:**

Audit Section, Field Work Standards

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Saskatchewan complies with all sections of the Plan

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**Peer Review Date:** Oct. 28, 2004

**Issues of Non-Compliance:**

Section 408 – Jurisdiction Notification of Application Filing  
Section 700 – Vehicle Withdrawn: Disposition of Fees  
Section 702 – Replacement Vehicles  
Section 1600 – Frequency of Audits  
APM Section 202 – Professional Care  
APM Section 204 – Adequate Planning and Supervision  
APM Section 206 – Working Paper Documentation

**Follow-up Review Date:** Nov. 30, 2005

Saskatchewan complies with all sections of the Plan

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**Peer Review Date:** June 3-4, 2009

**Issues of Non-Compliance:**

Section 1015 – Frequency of Audits Findings  
APM 202 – Professional Care  
APM 206 – Working Paper Documentation  
APM 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** June 25, 2010

APM 202 – Professional Care  
APM 206 – Working Paper Documentation  
APM 803 – Interjurisdictional Audit report and Distribution of the Audit Findings

**2<sup>nd</sup> Follow-up Review Date:** April 27, 2011  
Saskatchewan complies with all Sections of the Plan

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**Peer Review Date:** June 10-12, 2014

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 320 – Distance Estimates Base Jurisdictions

Section 530 – Unladen Vehicle Registration – Hunter's Permit

APM 703 – Sampling and Extrapolation Procedures

APM 601 – Pre-Audit Contact

**Follow-up Review Dates:** June 24, 2015, July 6, 2015 and July 22, 2015  
Saskatchewan complies with all Sections of the Plan

## **South Carolina Peer Review**

**Peer Review Date:** July 13-15, 1994

**Issues of Non-Compliance:**

Section 204, Apportionable Vehicle Restricted Plates  
Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards  
Section 1304, Determination of Total Bus Miles  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** July 12, 1995

**Issues of Non-Compliance:**

Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards

**Dispute Resolution Committee Decision Aug. 28, 1995:**

South Carolina has 12 months to comply with Section 408  
South Carolina has 90 days to comply with Section 502

**Dispute Resolution Committee Decision April 25, 1996:**

South Carolina complies with all sections of the Plan

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**Peer Review Date:** June 20-21, 2000

**Issues of Non-Compliance:**

Section 204, Apportionable Vehicle Restricted Plates  
Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 1404, Interjurisdiction or Intrajurisdiction Operation  
Audit Section, Jurisdictional Audit Staff Standards – Professional Care  
Audit Section, Field Work Standards

**Follow-up Review Date:** Aug. 20, 2001

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 1, 2001

South Carolina has 12 months to comply with Section 408



**Dispute Resolution Committee Decision:** Nov. 9, 2002

South Carolina is required to submit quarterly reports on transmittal activity until April 1, 2003.

**Dispute Resolution Committee Decision:** Nov. 15, 2003

South Carolina complies with all sections of the Plan.

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**Peer Review Date:** Dec. 14-15, 2005

**Issues of Non-Compliance:**

Section 300 – Determination of Fees

Section 400 – Application Filed with Base Jurisdiction

Section 408 – Jurisdiction Notification of Application Filing

Section 800 – Application for Initial Registration

Section 1604 – Notification of Audit Findings

APM Section 206 – Working Paper Documentation

APM Section 703 – Sampling and Extrapolation Procedures

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** Nov. 29, 2006

South Carolina complies with all sections of the Plan

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**Peer Review Date:** Dec. 8-9, 2010

**Issues of Non-Compliance:**

Section Article II, Definitions

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

Section 405 – Calculation of Apportionment Percentage

Section 1215 – Fee Transmittals

**Follow-up Review Date:** December 21, 2011

South Carolina complies with all sections of the Plan

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**Peer Review Date:** Dec. 9-10, 2015

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction

**Follow-up Review Date:** December 21, 2016

South Carolina complies with all sections of the Plan

## **South Dakota Peer Review**

**Peer Review Date:** May 21-23, 1997

**Issues of Non-Compliance:**

Section 204, Definition of Apportioned Vehicle  
Section 256, Total Distance  
Section 502, Identification Plates and Cab Cards  
Section 800(a), Application for Initial Registration  
Section 800(b), Applications with Estimated Mileage  
Section 910, Hunter Permits  
Section 1111, Rental Vehicle: Base Jurisdiction  
Section 1118(b), Rental Trailers and Semi-Trailers  
Section 1120, Utility Trailers  
Section 1122, One Way Vehicles  
Section 1202, Owner-Operator Leased Equipment  
Section 1304, Determination of Total Bus Miles  
Audit Section, Field Work Standards  
Audit Section, Registrants Record Requirements

**Follow-up Review Date:** June 3, 1998

**Issues of Non-Compliance:**

Section 256, Total Distance  
Section 800(a), Application for Initial Registration  
Section 800(b), Applications with Estimated Mileage

**Dispute Resolution Committee Decision: Nov. 19, 1998**

South Dakota has 12 months to comply with Section 256  
South Dakota has 12 months to comply with Sections 800(a) and 800(b)

**Dispute Resolution Committee Decision: Nov. 13, 1999**

South Dakota is in compliance with Section 256  
South Dakota has 12 months to comply with Sections 800(a) and 800(b)

**Dispute Resolution Committee Decision: Nov. 11, 2000**

South Dakota complies with all sections of the Plan

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**Peer Review Date:** Aug. 15-16, 2001

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 906, Place of Business (Owner-Operator)

**Follow-up Review Date:** Aug. 28, 2002

**Issue of Non-Compliance:**

Section 906, Place of Business (Owner-Operator)

**Dispute Resolution Committee Decision:** Nov. 9, 2002

South Dakota complies with all sections of the Plan.

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**Peer Review Date:** April 26-27, 2006

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction

Section 408, Jurisdiction Notification of Application Filing

Section 702, Replacement Vehicles

Section 1704, Netting of Audit Adjustments

APM Section 803, Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** May 09, 2007

**Issues of Non-Compliance:**

Section 1704, Netting of Audit Adjustments

**Dispute Resolution Committee Decision:** Sept. 28, 2007

South Dakota has until Nov. 1, 2007, to come into compliance with Section 1704. South Dakota must provide proof of compliance at that time. If South Dakota does not come into compliance, it will lose its right to vote until it has achieved full compliance.

**Board of Directors Decision:** January 24, 2008

The board heard an appeal by South Dakota stemming from the DRC decision on September 28, 2007. While the board supported the DRC decision, South Dakota's voting rights were reinstated based on new evidence submitted. However, South Dakota must comply with the Plan by July 1, 2010.

**July 1, 2010:** PRC received documentation demonstrating South Dakota's compliance with Section 1704

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**Peer Review Date:** May 18 – 19, 2011

**Issues of Non-Compliance:**

APM 204 – Adequate Planning and Supervision

APM 803 – Interjurisdictional Audit Report

AMP 703 – Sampling and Extrapolation Procedures

**Follow-up Review Date:** June 20, 2012

South Dakota complies with all sections of the Plan.

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**Peer Review Date:** October 26-27, 2016

**Issues of Non-Compliance:**

Article II, Definitions

Section 530 – Unladen Vehicle Registration – Hunter’s Permit

Section 605 – Display of Registration Credentials; Renewal Credentials

APM 402 – Opening Conference

APM 501 – Preliminary Audit Procedures

APM 502 – Evaluation of Internal Control

APM 601 – Audit Report

**Follow-up Review Date:** October 18, 2017

**Issues of Non-Compliance:**

Section 530 – Unladen Vehicle Registration – Hunter’s Permit

APM 501 – Preliminary Audit Procedures

APM 601 – Audit Report

**2<sup>nd</sup> Follow-up Review Date:** October 18, 2017

**Issues of Non-Compliance:**

Section 530 – Unladen Vehicle Registration – Hunter’s Permit

APM 501 – Preliminary Audit Procedures

**3<sup>rd</sup> Follow-up Review Date:** February 21, 2018

Section 530 – Unladen Vehicle Registration – Hunter’s Permit

**May 2, 2018 DRC Ruling:** Motion and second to find South Dakota in compliance with Section 530, Unladen Vehicle Registration as of July 1, 2018 upon the implementation of the new law.

## **Tennessee Peer Review**

**Peer Review Date:** Dec. 6-8, 1995

**Issues of Non-Compliance:**

Section 256, Total Distance

Section 408(a), Jurisdiction Notification of Application Filing

Section 1304, Determination of Total Bus Miles

Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** Nov. 15, 1996

**Issues of Non-Compliance:**

Section 408(a), Jurisdiction Notification of Application Filing

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Sept. 11, 1997

Tennessee has 12 months to come into compliance with Section 408

Tennessee has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 19, 1998

Section 408(a), Jurisdiction Notification of Application Filing

Audit Section, Jurisdiction Accountability

Dispute Resolution Committee Referred Issue of Suspension of Voting Rights to IRP Membership.

**Dispute Resolution Committee Decision:** Nov. 13, 1999

A decision was postponed regarding Section 408 and Audit Section, Jurisdiction Accountability. A letter from the Dispute Resolution Committee was sent to Tennessee's governor.

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Tennessee has 12 months to come into compliance with Section 408 and Section 1600

**Dispute Resolution Committee Decision:** Nov. 1, 2001

Tennessee complies with all sections of the Plan.

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**Peer Review Date:** Oct. 4-5, 2001

**Issues of Non-Compliance:**

Section 218, Established Place of Business

Section 408, Jurisdiction Notification of Application Filing

Section 906, Place of Business (Owner-Operators)

Article XXIII, Dispute Resolution

**Follow-up Review Date:** Nov. 1, 2002  
Tennessee complies with all sections of the Plan

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**Peer Review Date:** Dec. 13-14, 2006

**Issues of Non-Compliance:**

APM 206, Working Paper Documentation  
APM 701, Initial Audit Procedures  
APM 703, Sampling and Extrapolation Procedures  
APM 803 Interjurisdictional Audit Report and Distribution of the Audit Findings  
Section 1604, Notification of Audit Findings

**Follow-up Review Date:** Nov. 14, 2007  
Tennessee complies with all sections of the Plan

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**Peer Review Date:** Dec. 7-8, 2011

**Issues of Non-Compliance:**

Section 1065 – Netting of Audit Adjustments  
APM 603 – Request for Records

**Follow-up Review Date:** December 19, 2012  
Tennessee complies with all sections of the Plan

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**Peer Review Date:** December 6-12, 2016

**Issues of Non-Compliance:**

Section 320 – Average Per-Vehicle Distance  
Section 1050 – Netting Audit Adjustments  
Section 1215 – Fee Transmittals  
APM 501 – Preliminary Audit Procedures  
APM 601 – Audit Report

**Follow-up Review Date:** January 24, 2018  
Tennessee complies with all sections of the Plan

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## **Texas Peer Review**

**Peer Review Date:** Oct. 31-Nov. 2, 1994

**Issues of Non-Compliance:**

Section 256, Total Distance  
Section 400, Application Filed with a Base Jurisdiction  
Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards  
Section 702, Replacement Vehicles  
Section 800(a), Application for Initial Registration

**Follow-up Review Date:** Nov. 1, 1995

**Issues of Non-Compliance:**

Section 256, Total Distance

**Dispute Resolution Committee Decision: April 25, 1996**

Texas has one year to come into compliance with the Plan

**Dispute Resolution Committee Decision: Sept. 11, 1997**

Texas complies with all sections of the Plan

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**Peer Review Date:** Aug. 3-4, 2000

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800, Application for Initial Registration

**Follow-up Review Date:** Oct. 24, 2001

**Issues of Non-Compliance:**

Texas complies with all sections of the Plan

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**Peer Review Date:** June 15-16, 2005

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction  
Section 408 – Jurisdiction Notification of Application Filing  
Section 700 – Vehicle Withdrawn: Disposition of Fees  
Section 800 – Application for Initial Registration  
Section 1604 – Notification of Audit Findings  
APM Section 201 – Training and Proficiency  
APM Section 202 – Professional Care  
APM Section 203 – Study and Evaluation

APM Section 204 – Adequate Planning and Supervision  
APM Section 205 – Auditor Independence  
APM Section 206 – Working Paper Documentation  
APM Section 301- Auditor Qualifications  
APM Section 302 – Auditor Responsibilities  
APM Section 601 – Pre-Audit Contact  
APM Section 602 – Initial Audit Conference  
APM Section 702 – Evaluation of Internal Control  
APM Section 703 – Sampling and Extrapolation Procedures  
APM Section 801 – Exit Conference  
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

**Follow-up Review Date:** July 19, 2006

**Issues of Non-Compliance:**

Section 1604 – Notification of Audit Findings  
APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Finding

**2<sup>nd</sup> Follow-up Review Date:** Aug. 21, 2006  
Texas complies with all sections of the Plan.

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**Peer Review Date:** December 1-2, 2010

**Issues of Non-Compliance**

Section 1215 – Fee Transmittals  
Section 305 – Selection of Base Jurisdiction  
Section 1010- Registrant's Failure to Maintain or Produce Records  
APM 204 – Adequate Planning and Supervision  
APM 401 – Adequacy of Records

**Follow-up Review Date:** December 21, 2011

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction  
Section 1010- Registrant's Failure to Maintain or Produce Records  
APM 204 – Adequate Planning and Supervision  
APM 401 – Adequacy of Records

**2<sup>nd</sup> Follow-up Review Date:** March 23, 2012  
Texas complies with all sections of the Plan.

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**May 19, 2015 DRC Ruling:** The IRP Dispute Resolution Committee (DRC) ruled to find Texas out of compliance with Plan Section 1050 (Netting Audit Adjustments). The DRC requested that Texas have a manual process in place by their next IRP Peer Review scheduled in 2015 to address this issue.



**Peer Review Date:** November 18-19, 2015

**Issues of Non-Compliance**

Section 305 – Selection of Base Jurisdiction

Section 1025 – Frequency of Audits

Section 1050 – Netting Audit Adjustments (DRC Ruling)

**Follow-up Review Date:** January 18, 2017

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction

Section 1050 – Netting Audit Adjustments (DRC Ruling)

**May 18, 2017:** DRC Meeting Motion and second to find Texas remains out of compliance with Section 1050 – Netting audit adjustments. In addition Texas is required to provide proof to the Peer Review Committee, satisfactory to that committee, of completed netting of audit adjustments by June 30, 2017. Effective immediately voting rights of the State of Texas shall be suspended until such time as DRC finds Texas in compliance.

Motion and second to find Texas out of compliance with Section 305 – Selection of Base Jurisdiction. Texas shall provide the PRC with a detailed plan of action by June 1, 2017, to address the large number of accounts using the addresses of service providers as their established place of business. Texas shall provide quarterly progress reports to the PRC within 15 days of the end of each quarter to attain full compliance with Section 305 by April 1, 2018.

**May 1, 2018:** DRC Meeting Motion and second to find Texas in compliance with Section 1050, Netting of Audit Adjustments and that effective immediately, Texas voting rights are restored.

Motion and second to find Texas in compliance with Section 305, Selection of Base Jurisdiction.

Texas complies with all sections of the Plan.

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## **Utah Peer Review**

**Peer Review Date:** June 5-7, 1996

**Issues of Non-Compliance:**

Section 218, Established Place of Business  
Section 910, Hunter Permits  
Section 1116, Rental Passenger Cars  
Section 1202, Owner-Operator Leased Equipment  
Section 1204, Registration in Base of Service Representative  
Section 1206, Registration in Base of Carrier  
Section 1304, Determination of Total Bus Miles  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** June 17, 1997

**Issues of Non-Compliance:**

Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 16, 1997

Utah has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 19, 1998

The Dispute Resolution Committee could not make a decision on this matter and it was referred to the membership for a vote.

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Utah is required to annually complete audits of at least 3% of its carriers

**Dispute Resolution Committee Decision:** Nov. 11, 2000

Utah complies with all sections of the Plan

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**Peer Review Date:** July 19-20, 2001

**Issues of Non-Compliance:**

Section 210, Base Jurisdiction  
Section 218, Established Place of Business  
Section 238, Preceding Year  
Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 700, Vehicle Withdrawn, Disposition of Fees  
Section 800, Application for Initial Registration  
Section 906, Place of Business (Owner-Operators)

**Follow-up Review Date:** Sept. 5, 2002

**Issue of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 7, 2002

Utah is required to submit quarterly reports of transmittal activity for one year.

**Dispute Resolution Committee Decision:** Nov. 15, 2003

Utah complies with all sections of the Plan.

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**Peer Review Date:** March 22-23, 2006

**Issues of Non-Compliance:**

Section 218 – Established Place of Business

Section 400 – Application Filed with Base Jurisdiction

Section 800 – Application for Initial Registration

Section 906 – Place of Business (Owner-Operators)

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Follow-up Review Date:** March 22, 2007

**Issue of Non-Compliance:**

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**2<sup>nd</sup> Follow-up Review Date:** May 9, 2007

**Issue of Non-Compliance:**

APM Section 803 – Interjurisdictional Audit Report and Distribution of the Audit Findings

**Dispute Resolution Committee Decision:** Sept. 28, 2007

Utah has until Nov. 1, 2007, to come into compliance with APM Section 803. Utah must provide proof of compliance at that time. If Utah does not come into compliance, it will lose its right to vote until it has achieved full compliance.

**Board of Directors Motion:** Oct. 1, 2007

The Board of Directors has been informed by Utah that Utah has come into compliance.

**Dispute Resolution Committee Decision:** Nov. 14, 2007

Utah is in full compliance with the Plan.

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**Peer Review Date:** April 21-22, 2011

**Issue of Non-Compliance:**

Section Article II – Definitions

Section 305 – Selection of Base Jurisdiction

Section 315 – Application Process

Section 1025 – Notification of Audit Finding

Section APM 603 – Request for Operation Records

**Follow-up Review Date:** February 22, 2012

Utah complies with all Sections of the Plan

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**Peer Review Date:** April 13-14, 2016

**Issue of Non-Compliance:**

Section 530 – Unladen Vehicle Registration – Hunter's Permit

Section APM 401 – Pre Audit Notification

Section APM 502 – Evaluation of Internal Control

**Follow-up Review Date:** April 19, 2017

Utah complies with all Sections of the Plan

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## **Vermont Peer Review**

**Peer Review Date:** April 20-22, 1994

### **Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards  
Section 508, Variance of Registered Weights  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 800, Application for Initial Registration  
Section 800, Applications with Estimated Mileage  
Section 1304, Determination of Total Bus Miles  
Audit Section, Audit Program Auditor Qualifications

**Follow-up Review Date:** May 5, 1995

### **Issues of Non-Compliance:**

Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards  
Section 508, Variance of Registered Weights  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 800, Application for Initial Registration  
Section 800, Applications with Estimated Mileage

### **Dispute Resolution Committee Decision Aug. 28, 1995:**

Vermont has 12 months to comply with Section 408 & 800  
Vermont has 90 days to comply with Section 502  
Vermont has 6 months to comply with Section 508 & 702

### **Dispute Resolution Committee Decision April 25, 1996:**

Vermont complies with all sections of the Plan

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**Peer Review Date:** Feb. 28-29, 2000

### **Issues of Non-Compliance:**

Section 110, Registrant from Non-Member Jurisdiction  
Section 300, Determination of Fees  
Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800 (b), Applications with Estimated Mileage  
Section 1116, Rental Passenger Cars  
Section 1304, Determination of Total Bus Miles  
Audit Section, Jurisdiction Accountability  
Audit Section, Standards of Reporting

**Follow-up Review Date:** Feb. March 12, 2001

**Issues of Non-Compliance:**

Vermont complies with all sections of the Plan

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**Peer Review Date:** Aug. 10-11, 2005

**Issues of Non-Compliance:**

Section 218 – Established Place of Business

Section 234 – Owner-Operator

Section 400 – Application Filed with Base Jurisdiction

Section 408 – Jurisdiction Notification of Application Filing

Section 700 – Vehicle Withdrawn: Disposition of Fees

Section 800 – Application for Initial Registration

APM Section 202 – Professional Care

APM Section 204 – Adequate Planning and Supervision

**Follow-up Review Date:** Sept. 20, 2006

**Issue of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction

Section 800 – Application for Initial Registration

APM Section 202 – Professional Care

APM Section 204 – Adequate Planning and Supervision

**2<sup>nd</sup> Follow-up Review Date:** July 18, 2007

**Issue of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction

Section 800 – Application for Initial Registration

**Dispute Resolution Committee Decision:** Nov. 14, 2007

Vermont was found out of compliance with Sections 400 and 800 of the Plan. Vermont has until March 1, 2008, to come into compliance with Sections 400 and 800. Vermont must provide proof of compliance to the Peer Review Committee. If Vermont does not come into compliance by March 1, 2008, it will lose its right to vote until it has achieved full compliance. In addition, if Vermont does not comply by the next meeting of the Dispute Resolution Committee, additional sanctions may be imposed by the Dispute Resolution Committee.

**Dispute Resolution Committee Decision:** March 29, 2008

Vermont is now in compliance with Sections 400 and 800.

Vermont complies with all Sections of the Plan

**Peer Review Date:** Aug. 11-12, 2010

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

**Follow-up Review Date:** September 14, 2011

Vermont complies with all Sections of the Plan

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**Peer Review Date:** Aug. 25-27, 2015

**Issues of Non-Compliance:**

Article II, Definitions

Section 305 – Selection of Base Jurisdiction

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

Section 1050 – Netting Audit Adjustments

Section APM 401 – Pre Audit Notification

Section 1025 – Frequency of Audit

Section 1055 – Audit Reports

**Follow-up Review Date:** August 17, 2016

**Issue of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction

**2<sup>nd</sup> Follow-up Review Date:** February 22, 2017

Vermont complies with all Sections of the Plan

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## **Virginia Peer Review**

**Peer Review Date:** Nov. 7-9, 1995

### **Issues of Non-Compliance:**

Section 110(a), Registrant from Non-Member Jurisdiction  
Section 110(b), Registrant from Non-Member Jurisdiction  
Section 204, Definition of Apportioned Vehicle  
Section 218, Established Place of Business  
Section 256, Total Distance  
Section 400, Application Filed with Base Jurisdiction  
Section 408(a), Jurisdiction Notification of Application Filing  
Section 408(b), Commentary — Exchange of Canadian Fees  
Section 410, Jurisdiction Cooperation  
Section 502, Identification Plates and Cab Cards  
Section 506, Operation Under Apportioned Registration  
Section 704, Temporary Registration  
Section 800(b), Applications with Estimated Mileage  
Section 906, Place of Business (Owner-Operators)  
Section 1116, Rental Passenger Cars  
Section 1202, Owner-Operator Leased Equipment  
Section 1404, Interjurisdiction or Intrajurisdiction Operation  
Section 1304, Determination of Total Bus Miles  
Audit Section, Jurisdiction Accountability

**Follow-up Review Date:** Nov. 15, 1996

### **Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 410, Jurisdiction Cooperation  
Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Sept. 11, 1997

Virginia has 12 months to come into compliance with Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 16, 1997

Virginia complies with Sections 400 and 410 of the Plan

**Dispute Resolution Committee Decision:** Nov. 19, 1998

The Dispute Resolution Committee could not make a decision on Audit Section, Jurisdiction Accountability, and it was referred to the membership for a vote.

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Virginia complies with all sections of the Plan

**Peer Review Date:** June 13-14, 2001



**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 1206, Registration in Base of Carrier

**Follow-up Review Date:** July 30, 2002

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 1206, Registration in Base of Carrier

**Dispute Resolution Committee Decision:** Nov. 7, 2002

Virginia complies with all sections of the Plan

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**Peer Review Date:** June 14-15, 2006

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction  
Section 408 – Jurisdiction Notification of Application Filing  
Section 700 – Vehicle Withdrawn: Disposition of Fees  
Section 800 – Application for Initial Registration  
Section 1704 – Netting of Audit Adjustments

**Follow-up Review Date:** July 18, 2007

Virginia complies with all Sections of the Plan

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**Peer Review Date:** September 21-22, 2011

**Issues of Non-Compliance:**

Section 315 – Application Process  
Section 320 – Distance Estimates by Base Jurisdictions  
Section 1215 – Fee Transmittals  
APM 802 – Registrant Audit Report  
APM 803 – Interjurisdictional Audit Report  
Section 1025 – Notification of Audit Findings  
APM 703 – Sampling and Extrapolation Procedures

**Follow-up Review Date:** September 26, 2012

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

**2<sup>nd</sup> Follow-up Review Date:** March 27, 2013

Virginia complies with all Sections of the Plan

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**Peer Review Date:** September 13-15, 2016

**Issues of Non-Compliance:**

Section APM 601 – Audit Report

**Follow-up Review Date:** September 13, 2017

Virginia complies with all Sections of the Plan

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## **Washington Peer Review**

**Peer Review Date:** June 22-23, 1999

**Issues of Non-Compliance:**

Section 256, Total Distance  
Section 400, Application Filed with Base Jurisdiction  
Section 906, Place of Business (Owner-Operator)  
Section 1304, Determination of Total Bus Miles  
Section 1104, Rental Fleet  
Section 1111, Rental Vehicle: Base Jurisdiction  
Section 1116, Rental Passenger Cars  
Section 1118, Rental Trailers and Semi-Trailers  
Section 1120, Utility Trailers  
Section 1122, One-Way Vehicles  
Audit Section, Jurisdiction Accountability  
Audit Section, Field Work Standards

**Follow-up Review Date:** Dec. 14, 2000

**Issues of Non-Compliance:**

Section 256, Total Distance  
Audit Section, Field Work Standards  
Audit Section, Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 1, 2001

The Dispute Resolution Committee postponed a decision until the next Dispute Resolution Committee meeting.

**Dispute Resolution Committee Decision:** Nov. 7, 2002

Washington is in compliance with Audit Section, Jurisdiction Accountability.  
Washington is required to submit evidence of compliance with Section 256 and APM 704.1 by June 1, 2003.

**Dispute Resolution Committee Decision:** Nov. 15, 2003

Washington is in compliance with Section 256 and APM 704.1 but required to submit a status report of continued compliance in Jan. and Aug. 2004.

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**Peer Review Date:** April 23-24, 2003

**Issues of Non-Compliance:**

Section 300, Determination of Fees  
Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800, Application for Initial Registration

**Follow-up Review Date:** April 21, 2004

The committee delayed a decision on Section 300 until additional research is conducted on the issue of continuous registration.

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction

**Follow-up Review Date:** Aug. 11, 2004

Washington complies with all sections of the Plan.

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**Peer Review Date:** September 17-18, 2008

**Issues of Non-Compliance:**

Section 600, Credentials for Apportioned Registration

Section 1604, Notification of Audit Finding

APM 803, Interjurisdictional Audit Report and Distribution of the Audit Finding

**Follow-up Review Date:** September 16, 2009

Washington complies with all Sections of the Plan.

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**Peer Review Date:** September 18-19, 2013

**Issues of Non-Compliance:**

Article II, Definitions

Section 305 – Selection of Base Jurisdiction

**May 14, 2014 DRC Ruling (Limited Scope Review)**

**Issue of Non-Compliance:**

Section 1015 – Inadequate records; Assessment

**Follow-up Review Date:** September 17, 2014

**Issue of Non-Compliance:**

Section 1015 – Inadequate records; Assessment

**Dispute Resolution Committee Decision:** May 14, 2014

Motion and Seconded to find to find Washington out of compliance with Plan Section 1015. Washington shall track and provide a list with details of all audits that would result in an inadequate assessment quarterly to the DRC within 15 days of each quarter beginning with the 3<sup>rd</sup> Qtr. 204. A summary will be provided by the DRC to the member jurisdictions. Washington shall come into compliance by July 1, 2015 and report its progress to the DRC at the 2015 DRC meeting.

*Motion passed unanimously*

**Dispute Resolution Committee Decision:** May 19, 2015

Motion and seconded to find Washington remains out of compliance with Plan Section 1015 (Inadequate Records; Assessment). To confirm Washington's anticipated

compliance, they shall provide to the PRC by April 15, 2016, a list of all audits resulting in an inadequate assessment from the period of July 1, 2015 thru March 31, 2016.

**Dispute Resolution Committee Decision:** May 3, 2016

The DRC determined Washington was now in full compliance based upon the information provided. Washington's new legislation took effect on 7/1/2015.

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**Peer Review Date:** September 11-13, 2018

**Issues of Non-Compliance:**

Section 320 – Average Per-Vehicle Distance

APM 202 – Study and Evaluation

APM 502 – Evaluation of Internal Control

APM 503 – Sampling and Extrapolation Procedures

APM 601 – Audit Report

Section 1025 – Frequency of Audits

Section 1040 – Reexaminations

Section 1055 – Audit Reports

Section 1065 – Audit Appeals

## **West Virginia Peer Review**

**Peer Review Date:** Feb. 8-10, 1994

**Issues of Non-Compliance:**

Section 204, Apportionable Vehicle  
Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards  
Audit Section, Independence

**Follow-up Review Date:** Feb. 28, 1995

**Issues of Non-Compliance:**

Section 408, Commentary — Exchange of Canadian Fees  
Section 502, Identification Plates & Cab Cards

**Dispute Resolution Committee Decision Aug. 28, 1995:**

West Virginia has 12 months to comply with Section 408  
West Virginia has 90 days to comply with Section 502

**Dispute Resolution Committee Decision April 25, 1996:**

West Virginia complies with all sections of the Plan

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**Peer Review Date:** Jan. 26-27, 2000

**Issues of Non-Compliance:**

Section 400, Application Filed with Base Jurisdiction  
Section 408, Jurisdiction Notification of Application Filing  
Section 800, Applications with Estimated Mileage  
Section 1304, Determination of Total Bus Miles  
Section 1404, Interjurisdiction or Intrajurisdiction Operation

**Follow-up Review Date:** Feb. 22, 2001

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Dispute Resolution Committee Decision:** Nov. 1, 2001

West Virginia has 12 months to comply with Section 408

**Dispute Resolution Committee Decision:** Nov. 9, 2002

West Virginia complies with all sections of the Plan.

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**Peer Review Date:** Feb. 16-17, 2005

**Issues of Non-Compliance:**

Section 400 – Application Filed with Base Jurisdiction

Section 800 – Application for Initial Registration

**Follow-up Review Date:** Feb. 15, 2006

West Virginia Complies with all Sections of the Plan

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**Peer Review Date:** February 24-25, 2010

**Issues of Non-Compliance:**

Section 1065 – Netting of Audit Adjustments

APM 206 – Working Paper Documentation

**Follow-up Review Date:** Feb. 16, 2011

APM 206 – Working Paper Documentation

**2<sup>nd</sup> Follow-up Review Date:** March 16, 2011

West Virginia Complies with all Sections of the Plan

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**Peer Review Date:** August 19-20, 2015

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction

Section 530 – Unladen Vehicle Registration – Hunter's Permit

Section APM 203 – Planning and Supervision

Section APM 502 – Evaluation of Internal Control

**Follow-up Review Date:** September 7, 2016

West Virginia Complies with all Sections of the Plan

## Wisconsin Peer Review

**Peer Review Date:** April 1-2, 1998

**Issues of Non-Compliance:**

Section 502, Identification Plates and Cab Cards  
Section 800(a), Application for Initial Registration  
Section 1116, Rental Passenger Cars  
Section 1118(b), Rental Trailers and Semi-Trailers  
Section 1120, Utility Trailers  
Section 1304, Determination of Total Bus Miles

**Follow-up Review Date:** April 15, 1999

Wisconsin complies with all sections of the Plan

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**Peer Review Date:** Feb. 26-27, 2003

**Issues of Non-Compliance:**

Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 702, Replacement Vehicles  
Section 800, Application for Initial Registration

**Follow-up Review Date:** March 17, 2004

Wisconsin complies with all sections of the Plan

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**Peer Review Date:** July 23-24, 2008

**Issues of Non-Compliance:**

(Plan Sections Prior to July 1, 2008)

Section 400, Application Filed with Base Jurisdiction  
Section 800, Application for Initial Registration  
Section 801, Estimated Distance Methodology  
Section 1704, Netting of Audit Adjustments  
APM 701, Initial Audit Procedures

(Current Plan Sections)

Section 315, Application Process  
Section 320, Distance Estimates by Base Jurisdictions

**Follow-up Review Dates:** July 20<sup>th</sup> and July 27<sup>th</sup>, 2009

Wisconsin complies with all sections of the Plan

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**Peer Review Date:** July 23-24, 2013

**Issues of Non-Compliance:**

Section 305 – Selection of Base Jurisdiction

Section 1215 – Fee Transmittals

**Follow-up Review Date:** July 16, 2014

Wisconsin complies with all sections of the Plan

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**Peer Review Date:** July 18-19, 2018

**Issues of Non-Compliance:**

Section 315 – Application Process

APM 501 – Preliminary Audit Procedures

APM 601 – Audit Report

**Follow-up Review Date:**

## **Wyoming Peer Review**

**Peer Review Date:** April 9-11, 1997

**Issues of Non-Compliance:**

Section 408(a), Jurisdiction Notification of Application Filing  
Section 1104, Rental Fleet  
Section 1111, Rental Vehicle: Base Jurisdiction  
Section 1116, Rental Passenger Cars  
Section 1118(b), Rental Trailers and Semi-Trailers  
Section 1120, Utility Trailers  
Section 1122, One Way Vehicles  
Section 1304, Determination of Total Bus Miles  
Section 1404, Interjurisdiction or Intrajurisdiction Operation  
Audit Section, Jurisdiction Accountability  
Audit Section, Registrant's Record Requirements

**Follow-Up Review Date:** May 13, 1998

**Issues of Non-Compliance:**

Jurisdiction Accountability

**Dispute Resolution Committee Decision:** Nov. 19, 1998

Wyoming has 12 months to comply with Section 1600

**Dispute Resolution Committee Decision:** Nov. 13, 1999

Wyoming complies with all sections of the Plan

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**Peer Review Date:** Nov. 13-14, 2002

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing

**Follow-up Review Date:** Dec. 17, 2003

Wyoming complies with all sections of the Plan

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**Peer Review Date:** May 16-18, 2007

**Issues of Non-Compliance:**

Section 408, Jurisdiction Notification of Application Filing  
Section 700, Vehicle Withdrawn: Disposition of Fees  
Section 1120, Rental Utility Trailers  
Section 1704, Netting of Audit Adjustments  
APM 900, Summary

**Follow-up Review Date:** May 14, 2008

**Issues of Non-Compliance:**

Section 1120, Rental Utility Trailers

**2<sup>nd</sup> Follow-up Review Date:** July 16, 2008

Wyoming complies with all sections of the Plan

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**Peer Review Date:** October 9-10, 2012

**Issues of Non-Compliance:**

Section 315 – Application Process

Section 320 – Distance Estimates by Base Jurisdictions

Section 405 – Calculation of Apportionment Percentage

Section 610 – Contents of the Cab Card

Section 1025 – Notification of Audit Finding

**Follow-up Review Date:** October 30, 2013

Wyoming complies with all sections of the Plan

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**Peer Review Date:** October 10-12, 2017

**Issues of Non-Compliance:**

Section 1215 – Fee Transmittals

Section 1055 – Audit Reports

APM 502 – Evaluation of Internal Control

APM 601 – Audit Report

**Follow-up Review Date:** November 14, 2018

**Issues of Non-Compliance:**

Section 1055 – Audit Reports