ISTH Conflict of Interest (COI) Assessment Matrix

When using this assessment, consider each aspect only as it relates to the fields of thrombosis and hemostasis, hematology, and/or cardiology. Kindly note that the purpose of this matrix is to serve as a guide in determining COI—it is not absolute nor does it account for every nuanced scenario or situation.

### STEP 1
Does the individual have material or intellectual interests outside the ISTH?
*Check all the boxes that apply.*

- [ ] Academic consulting, membership on grant application review groups, and honoraria
- [ ] Currently serving as an Officer, Board member, Editor or Congress Chair or similar leadership positions with direct decision-making influence in another medical/scientific organization
- [ ] Currently participate on a Speakers Bureau or speaking roster for an entity’s products or services (any amount)
- [ ] Ownership interest, including stock or stock options in a private or publicly traded entity (excluding mutual funds in any value)
- [ ] Research Funding for a pharmaceutical or medical device company in any amount (excluding funding from government agencies and non-profit organizations)
- [ ] Other Honoraria (excluding fees received for serving on grant application review groups/study sections and fees given as honoraria by another academic institution for an academic activity, such as seminars and grand rounds)
- [ ] Issued Patents and/or Licensed Inventions
- [ ] Any other interests/relationships including not-for-profit organizations

If any are checked, move on to Step 2
If none are checked, a COI likely does not exist

### STEP 2
What kind of interest outside the ISTH does the person have?
*Determine the severity of the COI by checking the appropriate box.*

- [ ] Financial and commercial?
- [ ] Non-financial?
  * (Examples include voluntary leadership position in another organization, non-compensated consultancy and voluntary or leadership position in foundations/fundraising organizations)

If financial is checked, move on to Step 3a, page 2
If non-financial is checked, move on to Step 3b, page 3
**STEP 3a**  What is the likelihood that the financial/commercial interest will either influence or be perceived as influencing the individual’s decisions regarding the ISTH and how serious is the damage that could result if the individual’s interest were to influence their decisions or actions?  *Check all the boxes that apply.*

<table>
<thead>
<tr>
<th>Statement</th>
<th>Yes</th>
<th>No</th>
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<tbody>
<tr>
<td>The monetary value of the interest is high</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The duration of the relationship with the interest is long-term</td>
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<tr>
<td>The depth of the relationship with the interest is deep</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The individual has a great deal of independence in making decisions about the interest</td>
<td></td>
<td></td>
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<tr>
<td>There is no oversight of the individual in making decisions about the interest</td>
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<tr>
<td>ISTH’s value in terms of scientific, scholarly, professional and organizational integrity/reputation could be harmed</td>
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<tr>
<td>The damage to the ISTH could be great if the interest were to influence the individual's decisions or actions</td>
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</tr>
<tr>
<td>The individual has a great deal of independence within the ISTH</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The individual has a great deal of oversight within the ISTH</td>
<td></td>
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</tbody>
</table>

If many or all answers for 3a were Yes, the likelihood of influence and damage is moderate to high.

If all or most answers for 3a were No, there is a low likelihood of influence and damage.

- **RESOLUTION**
  - **Recusal**
    - *Withdrawal from the specific discussion or decision*
  - **Resignation/Divestment**
    - *To either permanently give up a role within the organization (resignation) or the interest held that is in conflict (divestment)*
  - **Disclosure**
STEP 3b What is the likelihood that the non-financial interest will either influence or be perceived as influencing the individual’s decisions regarding the ISTH and how serious is the damage that could result if the individual’s interest were to influence their decisions or actions? Check all the boxes that apply.

The interest has a high value in terms of prestige, advancement and/or status
The duration of the relationship with the interest is long-term
The depth of the relationship with the interest is deep
The individual has a great deal of independence in making decisions about the interest
There is no oversight of the individual in making decisions about the interest
ISTH's value in terms of scientific, scholarly, professional and organizational integrity/reputation could be harmed
The damage to the ISTH could be great if the interest were to influence the individual’s decisions or actions
The individual has a great deal of independence within the ISTH
The individual has a great deal of oversight within the ISTH

If many or all answers for 3b were Yes, the likelihood of influence and damage is moderate to high

If all or most answers for 3b were No, there is a low likelihood of influence and damage

The individual is able to fulfill their role within the ISTH and has not exhibited biased behavior or action
The individual is not able to fulfill their role within the ISTH and has exhibited biased behavior or action

RESOLUTION Recusal
Withdrawal from the specific discussion or decision

RESOLUTION Resignation/Divestment
To either permanently give up a role within the organization (resignation) or the interest held that is in conflict (divestment)

RESOLUTION Disclosure