



SBA Information Notice

TO: All SBA Employees and SBA Lenders

CONTROL NO.: 5000-180009

SUBJECT: SBA Form 413 - Renewed

EFFECTIVE: 7/24/18

The purpose of this Notice is to announce the issuance of SBA Form 413 (7a/504/SBG), “Personal Financial Statement,” which was renewed for continued use pursuant to the Paperwork Reduction Act of 1995.

The new version of the form, dated (01-18), will expire on March 31, 2021. It includes the following changes:

- The certification field was amended to require a certification from the spouse of any 20% or more owner if that spouse’s assets are also being considered.
- The Privacy Act, debt collection, and debarment and suspension notices were revised to clarify the standards for the use and disclosure of personal or confidential information and the eligibility requirements for financial assistance.
- The burden disclosure statement on page 3 was amended to inform respondents they may decline to respond if the form does not display a currently valid OMB Control Number.

SBA Lenders and Surety Companies/Surety Agents must begin to utilize the renewed version of SBA Form 413 (7a/504/SBG) immediately. However, for applications currently in process, SBA Lenders and Sureties may continue to use the previously approved version. Beginning 60 days after the date of this notice, SBA will only accept the renewed version of the form.

Questions concerning this Notice should be directed to the Lender Relations Specialist in the local SBA Field Office. The locations of the local SBA Field Offices may be found at <https://www.sba.gov/tools/local-assistance/districtoffices>.

Dianna L. Seaborn
Director
Office of Financial Assistance

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EXPIRES: 7-1-19

SBA Form 1353.3 (4-93) MS Word Edition; previous editions obsolete

Must be accompanied by SBA Form 58