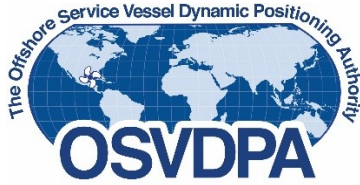


Accepted Unclassified Vessel Audit Application	Version 2	Date Effective: <b>October 1, 2019</b>	Section: N/A
	Prepared by: <b>ACS</b> Prepared Date: <b>September 15, 2019</b>	Approved/Reviewed by: BoD: <b>No</b> TAC: <b>No</b> ED: <b>YES</b> Counsel: <b>N/A</b> Accountant: <b>N/A</b>	Page 1 of 4



**OFFSHORE SERVICE VESSEL  
DYNAMIC POSITIONING  
AUTHORITY**

**FORM AUV-1-002, THE AUV AUDIT APPLICATION.**

**Vessel Operator Information.**

**1. Name and address of the Vessel Operator.**

Check this box if the Vessel Operator is Enrolled in the OSVDPA, or has submitted a Form VO-1-CV, the Vessel Operator Enrollment Application (Current Version). If this box is checked, only the "Legal Company Name," needs to be completed in this section.

Legal Company Name: \_\_\_\_\_ D/B/A Company Name: \_\_\_\_\_

Corporate Mailing Address: \_\_\_\_\_

Street Address \_\_\_\_\_ Suite/Unit # \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

*If your address is outside the United States substitute your province (or other political subdivision) and Postal Code for "State" and "Zip Code."*

Check this box if your company's mailing address is also your physical address.

Corporate Physical Address: \_\_\_\_\_

Street Address \_\_\_\_\_ Suite/Unit # \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

*If your address is outside the United States substitute your province (or other political subdivision) and Postal Code for "State" and "Zip Code."*

**Point of Contact.** (See "Definitions and Instructions" below).

Name: \_\_\_\_\_ Title: \_\_\_\_\_ Preferred method of contact: \_\_\_\_\_

Email Address: \_\_\_\_\_ Direct Dial: \_\_\_\_\_ Mobile Phone: \_\_\_\_\_

*Include Country Code(s) if number is outside U.S.*

**Principal.** (See "Definitions and Instructions" below).

Name: \_\_\_\_\_ Title: \_\_\_\_\_ Preferred method of contact: \_\_\_\_\_

Email Address: \_\_\_\_\_ Direct Dial: \_\_\_\_\_ Mobile Phone: \_\_\_\_\_

*Include Country Code(s) if number is outside U.S.*

**Vessel Information.**

**2. General Vessel Information.**

Vessel Name: \_\_\_\_\_ IMO or Official Number: \_\_\_\_\_ Flag: \_\_\_\_\_

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Vessel Type: \_\_\_\_\_ Class Society: \_\_\_\_\_ Call Sign: \_\_\_\_\_ MMSI: \_\_\_\_\_  
*e.g. "crewboat," "OSV," etc.*

**DP Operations Manual.** Attach to this form the vessel's DP Operations Manual, including the name of the attachment(s) in the space below.

*Note. The information required below is not required to be contained in a single document, if all of the requirements described below are contained in resources contained on the vessel in a location or locations where they are readily accessible by the crew, these documents or resources shall, for the purposes of this section and the approval process listed in Section 15.6, collectively be considered the "Qualified Operations Manual."*

**Operations Manual Contents.** Please initial that each of the below resources are included in the DP Operations Manual. If these resources are included in other locations, please indicate.

- \_\_\_\_\_ Clear statement on the DP philosophy for the vessel along with company policies and procedures for DP operations.
- \_\_\_\_\_ Definitions of the terms, symbols, and abbreviations used in the manual and on the vessel
- \_\_\_\_\_ A description of the DP system and the DP control systems as defined by Sections 1.2.10 and 1.2.11 IMO MSC/Circ. 1580, "Guidelines for Vessels and Units with Dynamic Positioning (DP) Systems." Specifically, this includes computer/joystick system, the sensors, control and display systems, position reference systems, associated cabling and cable routing, networks, power systems, thruster systems, and DP control system.
- \_\_\_\_\_ A block diagram showing how the various components are related
- \_\_\_\_\_ Details of the DP alarm system and any interconnection with the main alarm system
- \_\_\_\_\_ Operating instructions for the normal operational modes, the process for transitioning between modes, and the operational modes after a failure. At a minimum, this shall include auto heading mode, auto position mode, and heading control (autopilot) mode (if fitted).
- \_\_\_\_\_ Decision support tools, preferably including an Activity Specific Operation Guidelines (ASOG).
- \_\_\_\_\_ The checklists that are utilized on the vessel, including at a minimum a location and/or field arrival checklist.

Stamp this Document with the Vessel's Stamp in the space at right (Note. If so desired, this step can be completed during the physical audit of the vessel):

**Disclaimer, Signature and Relationship Agreement.**

The below must be signed by the Principal (See "Definitions and Instructions")

I certify that my answers, the information included above, and the associated attachments are true and complete to the best of my knowledge.

If this application leads to OSVDPA Approval, I understand that false or misleading information in my application or interview may result in the revocation of my approval.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
*MM/DD/YYYY*

Printed Name: \_\_\_\_\_

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## Definitions and Instructions.

### Definitions.

**Accepted Unclassed Vessel (AUV):** A vessel without an official DP class notation as issued by a recognized classification society that is fitted with a qualified dynamic positioning system. Such system must have a centralized manual position control and automatic heading control to maintain the vessel's position and heading. In order to receive AUV Documentation, the vessel must pass an OSVDPA-approved audit, ensuring the vessel meets the OSVDPA's equipment, capability, and documentation requirements. DPOs and Prospective DPOs can use AUVs to accrue Sea Time, Practical Experience, complete Taskbook tasks, and conduct Phase 4B assessments. Sea-time, practical experience, and assessments conducted on an AUV are treated as having been completed on a vessel classed as a DP-1.

**Auditor.** An individual approved by the OSVDPA as capable of systematically and independently obtaining and objectively evaluating evidence for the purposes of determining if the asset or entity meets the criteria developed by the OSVDPA.

**AUV Documentation.** A document issued by the OSVDPA indicating a vessel is currently approved as an Accepted Unclassed Vessel by an OSVDPA.

**Enrollment.** The process of the OSVDPA approving a Vessel Operator, in order for the OSVDPA to accept Phase 4 Assessments, Phase 5 Assessments, and Revalidation Assessments conducted by the Vessel Operator on its vessels.

**Principal.** A representative of the training provider, vessel operator, or other OSVDPA partnering entity who is authorized to contractually obligate the entity

**Point of Contact.** An individual who has intricate knowledge and can answer OSVDPA questions about the training provider, vessel operator, or other OSVDPA partnering entity's proposal, application, request, or submission. For training providers, this individual shall be listed publically as the point of contact for prospective DPOs and DPOs. This does not need to have signed a Form P-2-CV, Participant Rights and Responsibilities Acknowledgement (Current Version), or Form P-3-CV, Participant Supervisory Rights and Responsibility Acknowledgment (Current Version) or be a Principal of the entity.

**Qualified Dynamic Positioning System.** A Qualified Dynamic Positioning System is, a hydrodynamic system, which controls or maintains the position and heading of the vessel by centralized manual control or by automatic response to the variations of the environmental conditions.

**Vessel Operator.** A company that owns or operates DP-equipped vessels and employs or contracts with DPOs.

### Instructions for completing this form.

1. This form shall be completed by Vessel Operators seeking to obtain AUV Documentation for a vessel. A separate form must be prepared for each vessel. The Vessel operator shall submit payment of
2. Once this form is submitted the OSVDPA shall review to ensure all of the required information has been submitted. Once this review is completed, the OSVDPA shall provide the Vessel Operator with an invoice for Fee AUV-1 or Fee AUV-2 (if Fee AUV-1 has already been paid by the vessel operator in the last 365 days). Once this fee has been paid, the OSVDPA shall connect the Vessel Operator's Point of Contact with an OSVDPA approved Auditor to conduct the AUV audit.
3. It is the responsibility of the Auditor and the Vessel Operator to find a mutually acceptable time for this audit.
4. During the physical inspection and DP trial portion of this audit, the Auditor shall need access to the vessel along with personnel capable of operating the vessel as the auditor does need to see the AUV hold position and heading.

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5. Once this audit is conducted and the results are submitted to the OSVDPA by the Auditor, and if the audit finds the vessel meets the requirements, the OSVDPA shall provide AUV Documentation to the Vessel Operator. This AUV Documentation is valid for five years or until such time as a significant retrofit is performed, provided the Vessel Operator submits annual trials on a yearly basis.
6. The OSVDPA welcomes any questions or concerns of applicants. Questions may be directed to the email address listed above or made via phone at +1 (504) 528-9414.