



The PCMA Presents: Dealing Representative Town Hall An Educational Collaboration by DR's for DR's

AGENDA

Wednesday, October 20th, 2021

3:00pm – 5:00pm ET

Online Virtual Webinar

Date: **Wednesday, October 20, 2021**

Time: **3:00pm ET - 5:00pm ET**

AGENDA* (all times in ET)

3:00 pm Welcome by PCMA DR Committee Co-Chairs

Erica Freeman, Business Development Manager, Olympia Trust Company

Nancy Bacon, Managing Director, Chase Alternatives

3:05 pm Panel 1 - Bad Actor Impact & Discussion

Moderator – Michelle Julien, Exempt Market & Mutual Fund Dealing Representative, WhiteHaven Securities

Panelist – Ralph Altenried, President, RSA Financial Services, Dealing Representative, Accient Capital Management

Panelist – Jaclyn Wu, Director, Monic Financial, Dealing Representative, Rethink & Diversify Securities

Introduction by: Jason Park, CEO Rethink and Diversify Securities Inc

3:35pm Panel 2 - Client Statements & Standardization within industry

Moderator – Mark Samborski, CFP, Private Market Specialist, Pinnacle Wealth Brokers

Panelist – Dale Rabbie, Dealing Representative, Rethink & Diversify Securities

Panelist – Justin Stein, Dealing Representative, Virtus Capital Management

Introduction by: Darvin Zurfluh, CEO Pinnacle Wealth Brokers

4:00pm Panel 3 - Suitability & Concentration Limits

Moderator – Iannick Fallu, Dealing Representative, Axxess Capital Advisors

Panelist – Ilan Handelsman, Dealing Representative, Raintree Financial Solutions

Panelist – Peter Wickwire, Dealing Representative, Pinnacle Wealth Brokers

Introduction by: Peter Kinkaide, CEO Raintree Financial Solutions

4:25pm Panel 4 - Shared Strategies & Successes

Moderator – Patrick MacDonald, Dealing Representative, Pinnacle Wealth Brokers

Panelist – Brandon Renault, Dealing Representative, Liahona Capital Inc. & LLQP LIC., Liahona Insurance & Wealth Creation Inc

Panelist – Michelle Julien, Exempt Market & Mutual Fund Dealing Representative, WhiteHaven Securities

Introduction by: Cory Clapperton, Chief Compliance Officer & Vice President Operations, Liahona Capital Inc.

4:55pm - 5:00pm - Surprise appearances to help thank everyone & close the PCMA DR Town Hall

*Agenda is subject to change without notice.