

SHARPEN YOUR FOCUS

UPPO ANNUAL CONFERENCE

Specialized knowledge codes:

A – Audit/enforcement N – Networking
 C – Compliance PD – Personal Development
 L – Legal R – Asset Recovery.

Note: A does not indicate a CPE audit category.

- Basic
- Intermediate
- Advanced
- Special Focus

SUNDAY, MARCH 27, 2022

1:00 - 6:30 PM	Registration Opens
2:30 - 3:30 PM	Unclaimed Property 101 Kick-start the conference by learning about UPPO and the foundations of unclaimed property, terms, definitions and compliance basics, as well as the roles and responsibilities of various stakeholders. (C)
3:45 - 4:45 PM	Industry Primer Join UPPO's Industry Ambassadors to learn about the key similarities and critical distinctions for how different industries must address unclaimed property. Find out how to make the most of the conference industry breakouts and conversations. (N, C)
4:45 - 5:30 PM	First-Time Attendee Reception Join other first-time conference attendees, UPPO leaders and committee chairs as your UPPO annual conference journey begins. (N)
5:30 -6:30 PM	Welcome Reception (N)

MONDAY, MARCH 28, 2022

7:15- 8:00 AM	Breakfast (N)			
8:00 - 8:45 AM	Opening			
9:00 - 10:00 AM	Industry Breakouts Banking/Finance, Securities/Mutual Funds/Broker Dealers/Transfer Agents, Life Insurance, P&C Insurance, Manufacturing, Retail/Hospitality, Energy, Telecommunications & Technology, Healthcare Providers and Insurers, Non-industry-Specific, and State Representatives. (A, C, L, N, PD, R)			
10:00 - 10:30 AM	Morning Break (N)			
10:30 - 11:30 AM Concurrent Sessions (choose one)	Priority Rules Learn about which states' rules apply to unclaimed property, what exactly constitutes an address for reporting, and exceptions and additions to the rules set forth in state and federal laws. (Basic Certificate Program Session) (C)	Reporting and Remittance & NAUPA III Stay up to date on report format, delivery, remittance methods and current state validation points. This session will also include a discussion about the current NAUPA III initiative. (C)	Indications of Interest Companies interact with owners in a multitude of ways. As electronic forms of communication become more prevalent, participate in a discussion around which forms of contact and activity are acceptable by states to maintain accounts and customer relationships. (C, L)	Industry Conversation: Retail/Hospitality Learn about audit trends and compliance changes affecting the retail and hospitality industries. Take a deep dive into topics affecting gift card, loyalty, rewards and subscription programs. (A, C, L, N)
11:45 AM - 12:45 PM	Lunch & Association Meeting (N)			
12:45 - 1:45 PM Concurrent Sessions (choose one)	Audit 101 Get an introduction to audit concepts, timelines and expectations with a focus on audit scope and methodology for audits conducted by states and third parties. (A)	Mergers and Acquisitions Learn how to assemble your unclaimed property due diligence team, identify risk areas and address potential unclaimed property liabilities to be merged, acquired or sold. (C, L)	Litigation Updates Review the year's most important legal actions, developments and rulings with insight and potential operational effects. (A, C, L, R)	Industry Conversation: Banking and Finance Learn about audit trends and compliance changes affecting the banking industry. (A, C, L, N)
2:00 - 3:00 PM Concurrent Sessions (choose one)	Policies and Procedures Workshop Participate in an interactive discussion identifying and documenting key aspects of compliance procedures. (C, L, N)	Legal Issues for Non-Lawyers Learn about legal concepts common in unclaimed property, such as private escheat, derivative rights and anti-limitations clauses, to gain an understanding of key issues frequently at the heart of litigation and enforcement disputes. (L)	Unique Accounts and Property Types Dive into the specific requirements and considerations for unique account types in the unclaimed property process. Explore developments related to these property types: annuities, IOLTA, UGMA/UGTA, ESA, HSA, FSA. (C, L)	Claimant's Representative/Asset Recovery Industry Breakout Network , discuss industry issues, benchmark and collaborate with your peers on topics of interest. (N, R)
3:00 - 3:30 PM	Afternoon Break (N)			
3:30 - 4:30 PM	Automation and Analytics Automation, robotics, analytics and data enablements present unique opportunities to drive improvements and efficiencies in unclaimed property reviews. Learn from others about the ways these new technologies are being implemented to maintain and monitor the unclaimed property lifecycle. (C, A, PD)			
4:45 - 6:00 PM	Scholarship Program Event			

TUESDAY, MARCH 29, 2022

7:15 - 8:00 AM	Breakfast (N)			
8:00 - 9:00 AM	According to the States Participate in an interactive state panel with real-time discussion on hot topics from both the states and holders. (A, C, L, PD, R)			
9:00 - 9:30 AM	Morning Break (N)			
9:30 - 10:30 AM Concurrent Sessions (choose one)	Exemptions, Exclusions and Deductions Exemptions provide holders with the ability to reduce their unclaimed property exposures. Learn how to identify possible exemptions and deductions, such as B2B and de minimis. (C, L)	Online Gaming and Gambling Learn the background details and unclaimed property trends related to online gaming and gambling. (C, L)	IRA Recent law changes at the state and federal levels are affecting the reporting of property held in traditional and Roth individual retirement accounts (IRAs). Examine the effects of differing interpretations of these law changes on IRA-related unclaimed property compliance. (C, L)	Industry Conversation: Healthcare Discuss audit trends and compliance changes affecting the healthcare industry, including developments related to HIPAA, prompt-pay, and patient credit balances. (A, C, L, N)
10:45 - 11:45 AM Concurrent Sessions (choose one)	Due Diligence Letter Workshop An effective due diligence program ensures compliance, protects companies and improves customer relations. Explore due diligence letter templates, ideas for increasing response rates, and best practices. (C, L, N)	Audit and Remediation Strategies Prevent an assessment that could "shock the conscious." Successfully mitigating exposures in unclaimed property audits requires holders to know how to identify, detect and document accounting errors, reissued payments and other remediation methods. Learn about common strategies, acceptable documentation and unique approaches undertaken by different audit firms. (A, L) CLOSED TO STATE ADMINISTRATORS	Evolving Developments in Virtual Currencies In the past year, more states have considered or adopted legislation specifically pinpointing virtual currency and cryptocurrency as potential unclaimed property. Learn more about this rapidly changing regulatory landscape and implementation hurdles. (C, L)	Industry Conversation: Life Insurance Discuss audit trends and compliance changes affecting the life insurance industry. (A, C, L, N)
11:45 AM - 12:45 PM	Lunch (N)			
1:00 - 2:00 PM Concurrent Sessions (choose one)	Tangible Property Considerations Special rules affect the reporting of tangible property when it becomes dormant. Examine trends and guidelines for reporting safe deposit box contents and other tangible items as unclaimed property, including when and where to remit it, and what to do if property is not accepted by a state. (C)	Navigating the Audit From kickoff to closure, unclaimed property audits can take time and energy to resolve. Explore key aspects of the unclaimed property examination process, including negotiating NDAs, preparing for records requests, managing data and closing/settlement. Learn tips, tricks, and best practices will be shared with attendees. (A, L) CLOSED TO STATE ADMINISTRATORS	Managed Self-Audits and Voluntary Reviews The past year has seen the expansion of third-party administered state compliance review programs in many jurisdictions. Explore this development, including details about these programs, their requirements, and pros and cons of this approach. (A, C, L)	Industry Conversation: Securities Discuss audit trends and compliance changes affecting the securities industry. (A, C, L, N)
2:15 - 3:15 PM Concurrent Sessions (choose one)	Record Retention What should you keep and for how long? Most states require holders to retain sufficient records to demonstrate compliance with their unclaimed property statutes, but the exact documents to keep can be driven by a multitude of factors. Learn about the state requirements and best practices learned from audits and enforcement actions. (A, C, L)	Estimating Exposures When extensive look-back periods and a lack of records collide, holders may be required to estimate unclaimed property liabilities. Learn about common estimation approaches, statistical sampling nuances, defining base periods, and the potential accounting effects of calculating an estimated exposure. (A)	Data Privacy Issues Security breaches can be costly and embarrassing. With ongoing litigation and legislative changes affecting state rights to demand information and holders' abilities to safeguard their data, examine types of protected information and important considerations in dealing with third parties. (C, L) CLOSED TO STATE ADMINISTRATORS	Industry Conversation: Energy Discuss audit trends and compliance changes affecting the energy industry. (A, C, L, N)
3:15 - 4:00 PM	Afternoon Break (N)			
4:00 - 5:00 PM	The Legislature Strikes Back Review the past year's most significant legislative changes and trends with insights and potential operational impacts. (A, C, L)			
6:00 - 10:00 PM	Conference Event (N)			

WEDNESDAY, MARCH 30, 2022

7:45 AM	Continental Breakfast Get breakfast and join your industry peers. (N)			
8:00 - 9:00 AM	Industry Breakouts Banking/Finance, Securities/Mutual Funds/Broker Dealers/Transfer Agents, Life Insurance, P&C Insurance, Manufacturing, Retail/Hospitality, Oil & Gas/Energy, Telecommunications & Technology, Healthcare Providers and Insurers, Non-industry-Specific, and State Government. (A, C, L, N, PD, R)			
9:15 - 10:15 AM Concurrent Sessions (choose one)	Why Unclaimed Property Matters to Management Take a trip down the road to unclaimed property compliance. Learn how to identify key stakeholders, communicate the importance of unclaimed property to your company's financial statements and SOX compliance, and gain management buy-in and continued support. (Basic Certificate Program Session) (C, PD)	Internal Controls and Oversight of Your Third-Party Administrator Many businesses use third-party administrators to assist them with disbursements related to employee and insured benefits, payroll, equity, etc. Gain an understanding of each party's roles and responsibilities, and the relevance to unclaimed property compliance. (C, L)	International Issues Holders with foreign operations, customers and employees must be proactive in understanding the special impacts global operations can have on their unclaimed property compliance programs. Explore issues including due diligence mailings to non-U.S. addresses, effects of military-addressed property, coordinating with foreign shared services centers, compliance and enforcement insights with overseas affiliates, and considerations to non-U.S. employees and shareholders. (C, L)	
10:15 - 11:00 AM	Brunch (N)			
11:00 AM - Noon	Mock Trial Witness a mock experience using recent unclaimed property cases as the backdrop. (L)			