

Any Asset Held by a Custodian or Holder

Lack of Owner Contact or Activity



Response from owner Means Account/Asset Maintains Active Status



Notification Sent to Owner (pre-Due Diligence)



No Response or Owner Activity



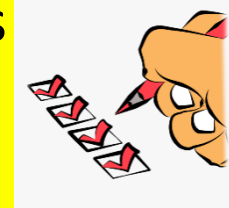
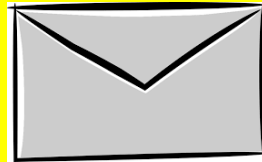
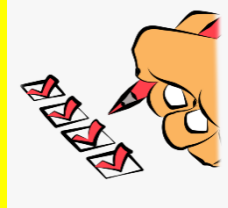
next slide



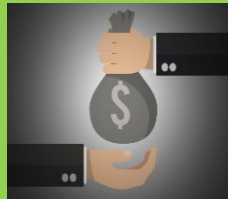
Dormancy
Period



Due Diligence Mailed
per State Regulations



Asset Movement
to State



Unclaimed Property
Report Compiled



Report Uploaded or
Mailed to State as
Mandated